



C2-4 16:45-17:00 Nov. 4, 2015 (Wed)

Finite Aperture Time Effects in Sampling Circuit

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Contents

- Research Objective
- Waveform Sampling and Sampling Circuit
- Finite Aperture Time
 - Problem Formulation
 - Formula Derivation
 - Effective Finite Aperture Time
- Uncertainty Relationship in Sampling Circuit
- Summary

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Research Objective

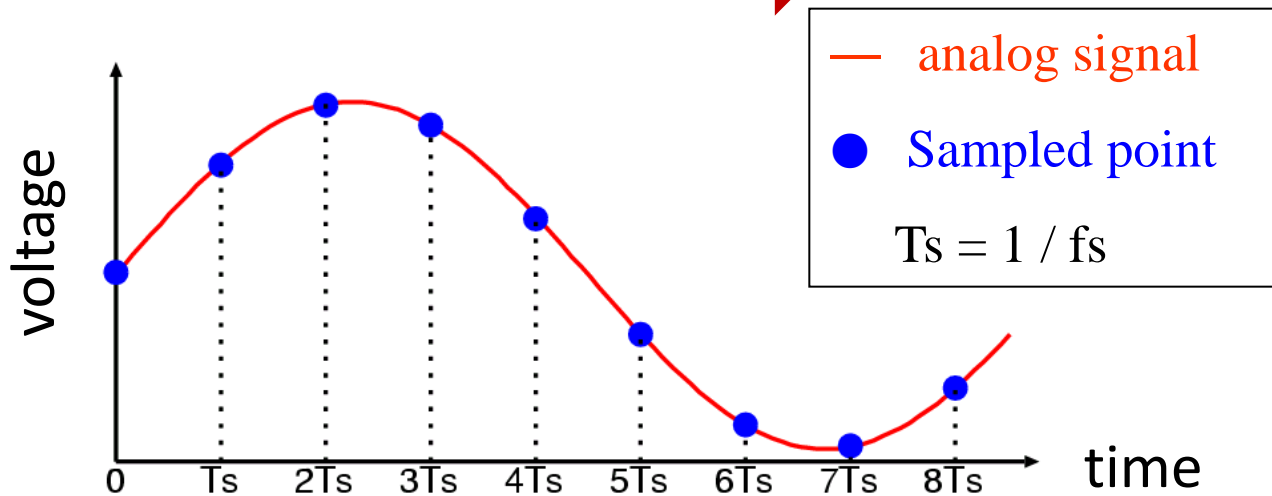
- To establish fundamental theory of sampling circuit for high-frequency and high-precision waveform acquisition
- Especially, to clarify finite aperture time effect.

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Waveform Sampling

Waveform acquisition  Sampling



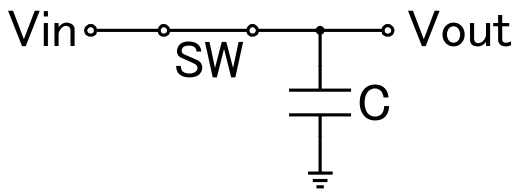
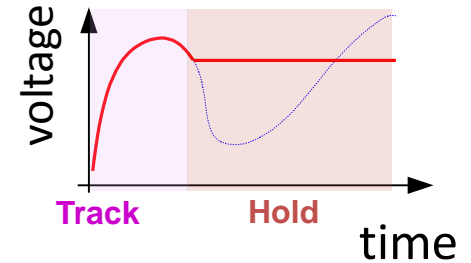
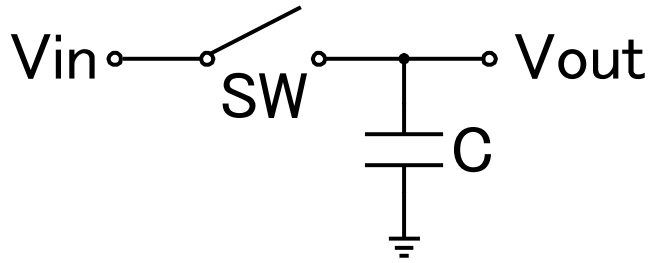
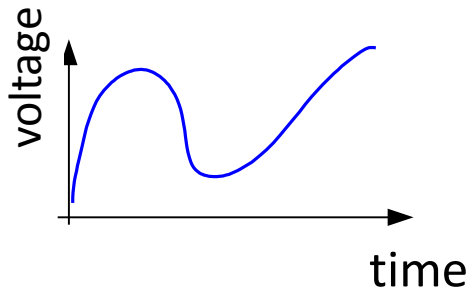
High-frequency signal sampling

suffers from



- Finite aperture time (non-zero turn-off time)
- Aperture jitter

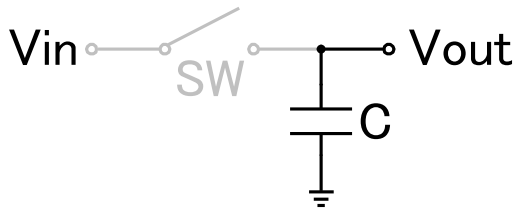
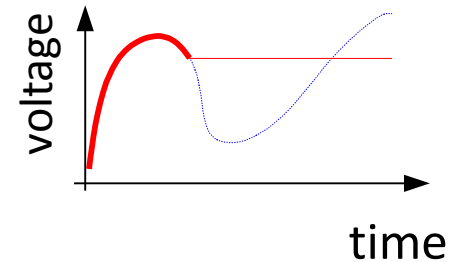
Sampling Circuit



• SW: ON

• $V_{out}(t) = V_{in}(t)$

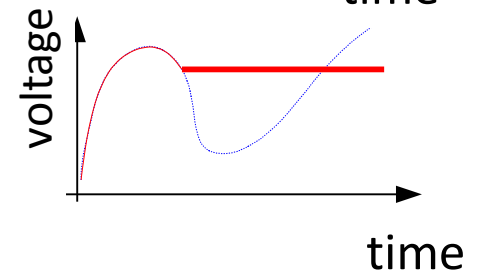
Track mode



• SW: OFF

• $V_{out}(t) = V_{in}(t_{OFF})$

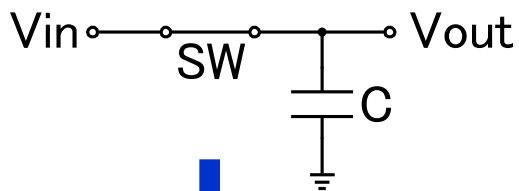
Hold mode



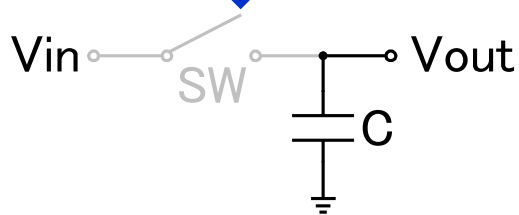
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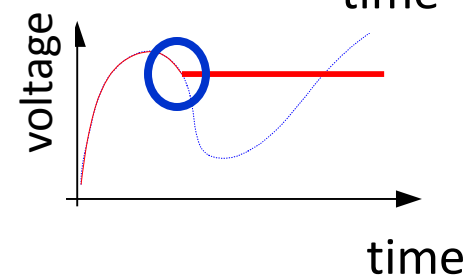
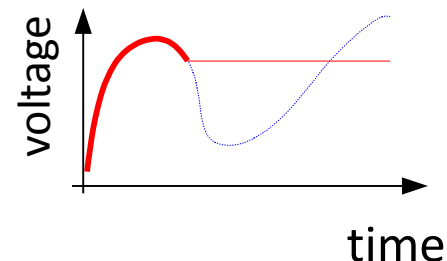
Finite Aperture Time



- SW: ON
 - $V_{out}(t) = V_{in}(t)$
- Track mode**



- SW: OFF
 - $V_{out}(t) = V_{in}(t_{OFF})$
- Hold mode**



Finite transition time from track to hold modes

Analogy with Camera Shutter Speed

Camera: Finite Shutter Speed



↓ Moving Object



Blurred

Sampling Circuit:

Finite Aperture Time

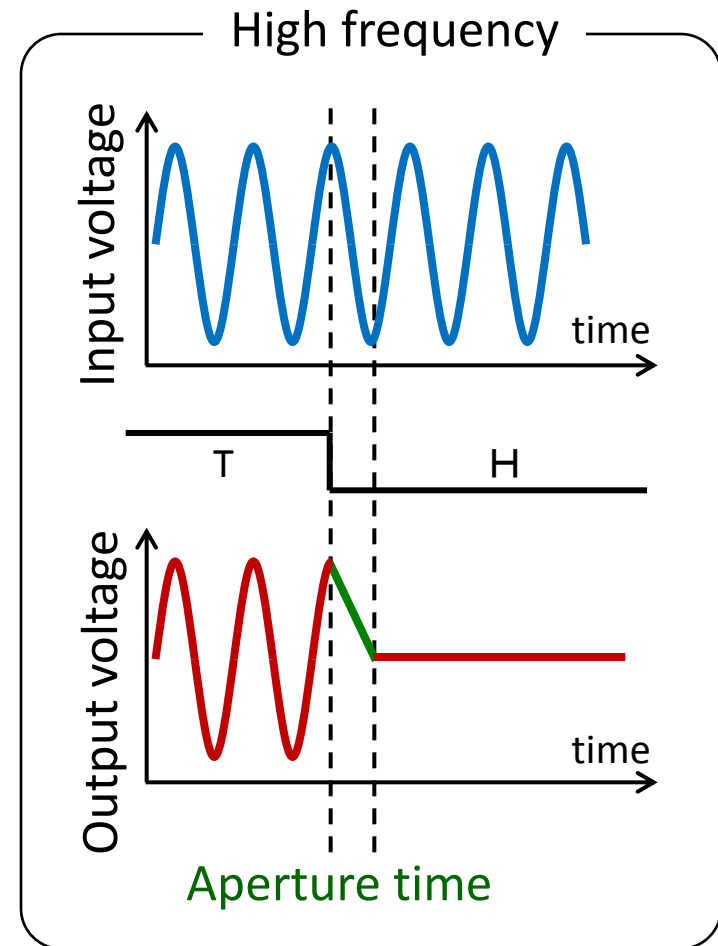
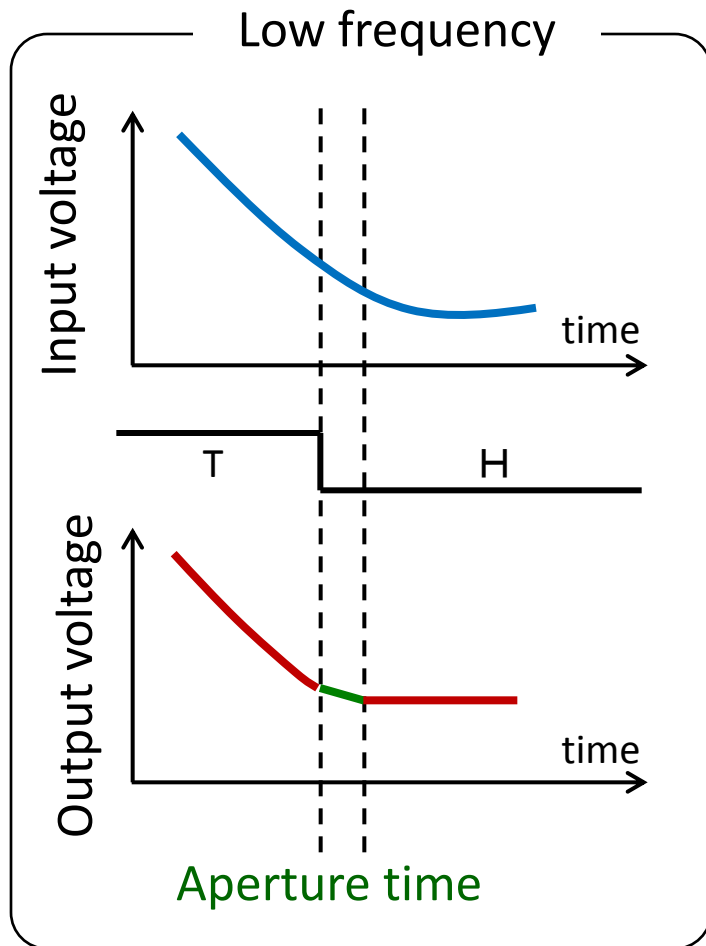


↓ High frequency



Low pass filtered

Signal Frequency and Aperture Time

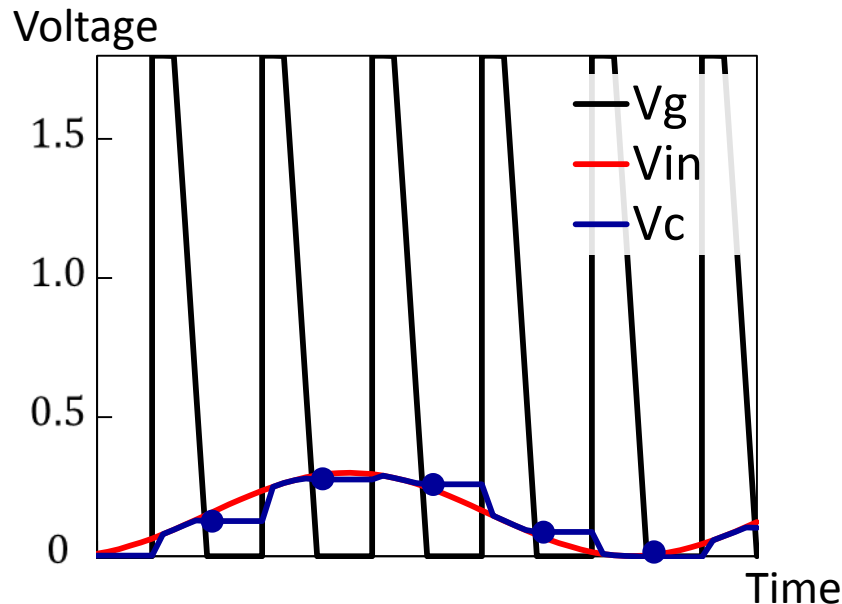
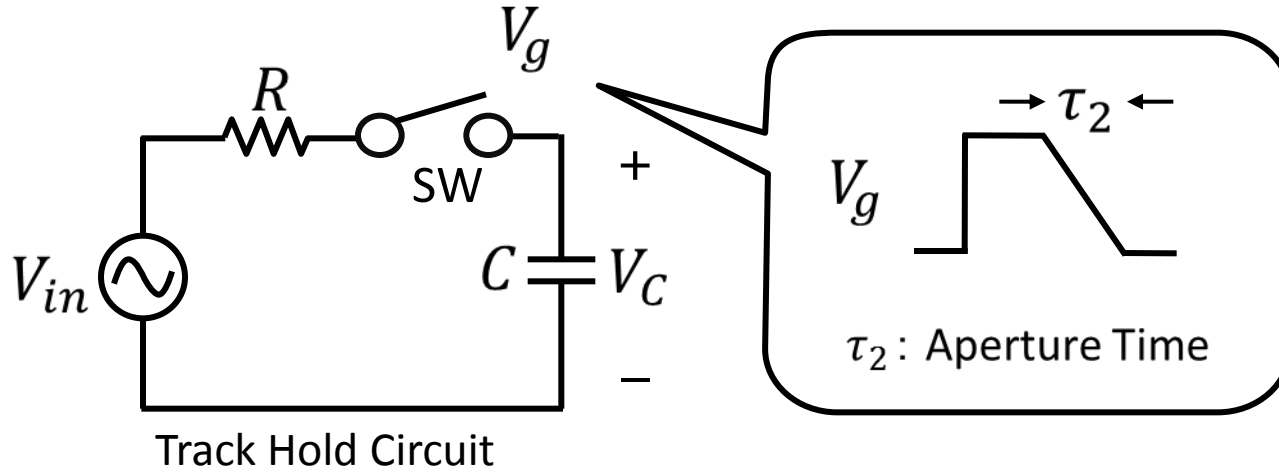


Higher frequency signal \Rightarrow More affected by finite aperture time

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Transfer Function Derivation



Obtain values of ●



Equivalent time sampling



Obtain gain, phase for each frequency

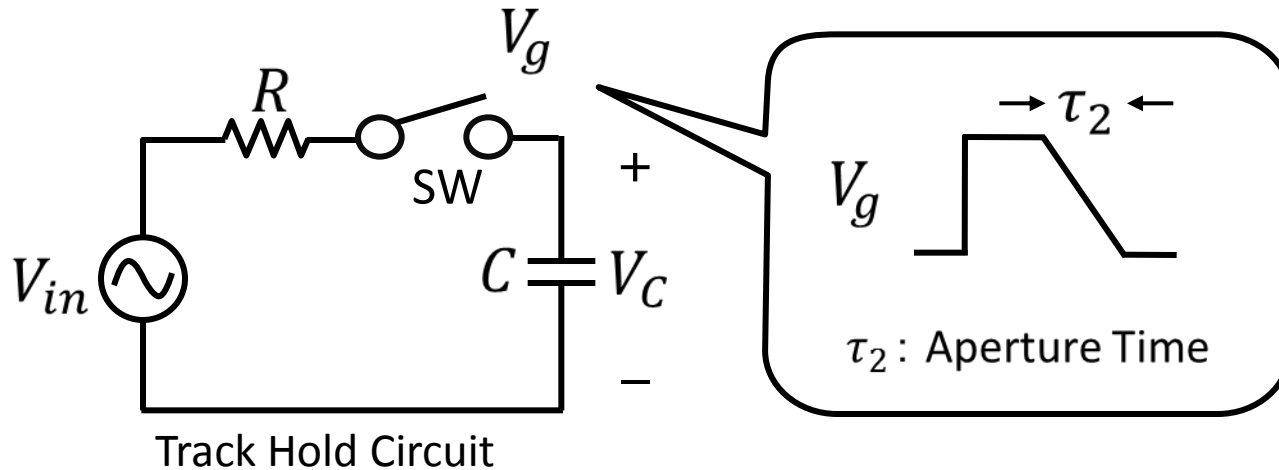


Frequency transfer function

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Derived Transfer Function



$$\frac{V_C}{V_{in}} = \frac{\text{sinc}(\omega\tau_2)}{\text{sinc}(\omega\tau_2) + j\omega\tau_1}$$

$$\tau_1 = R C$$

Transfer function in case of finite aperture time

The formula derivation was done by Prof. Asad Abidi.

- [1] A. Abidi, M. Arai, K. Niitsu, H. Kobayashi, "Finite Aperture Time Effects in Sampling Circuits," 24th IEICE Workshop on Circuits and Systems, Awaji Island, Japan (Aug. 2011)

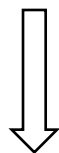
Consistency with Zero Aperture Time Case

$$\frac{V_C}{V_{in}} = \frac{\text{sinc}(\omega\tau_2)}{\text{sinc}(\omega\tau_2) + j\omega\tau_1}$$

$(\tau_1 = RC, \tau_2 = \tau)$

Transfer function in case of finite aperture time

$$\tau_2 \rightarrow 0$$

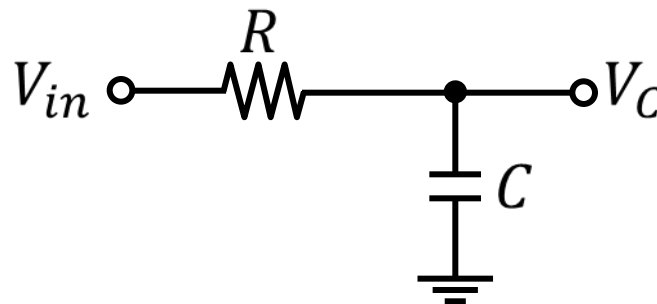


$$\text{sinc}(\omega\tau_2) \rightarrow 1$$

$$\frac{V_C}{V_{in}} = \frac{1}{1 + j\omega\tau_1}$$

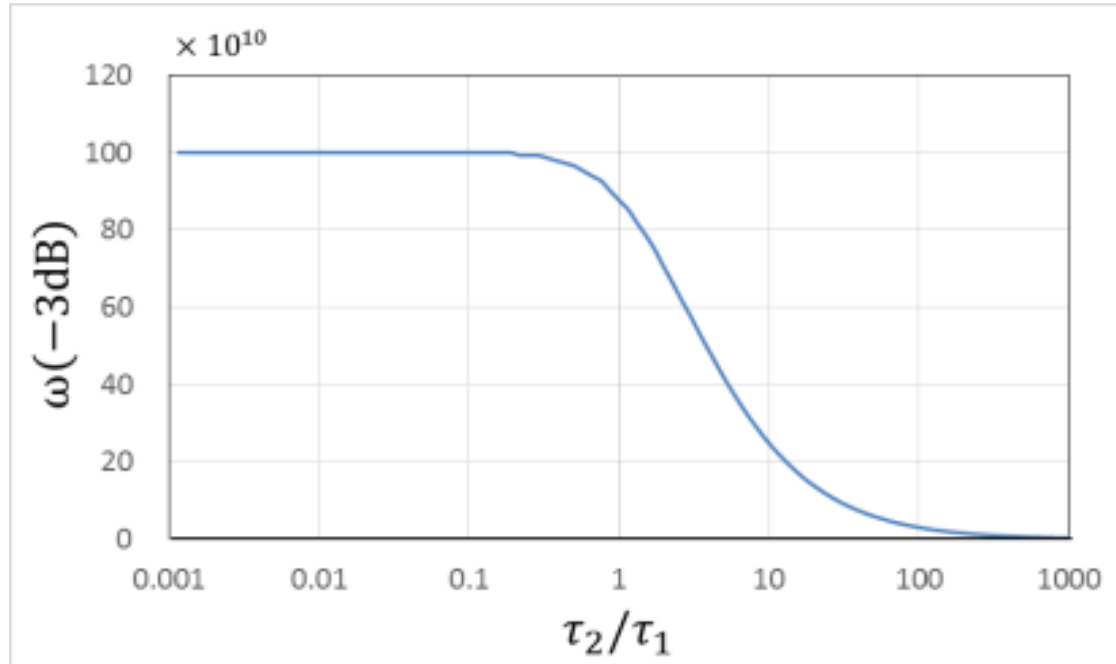
$(\tau_1 = RC)$

Transfer function in case of zero aperture time



τ_1, τ_2 Effects to Bandwidth

Numerical calculation from the derived transfer function



$\tau_1 (= R C)$: fixed

τ_2 (aperture time) : varied

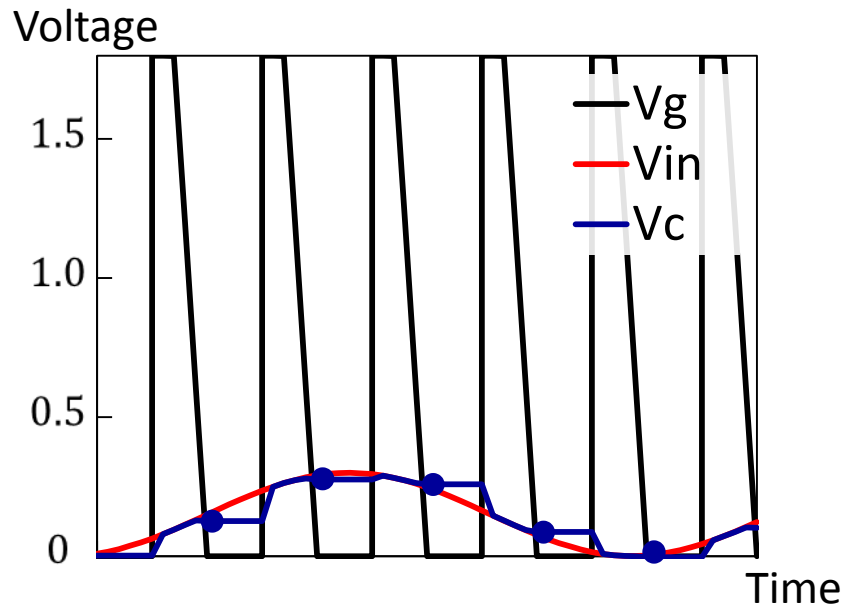
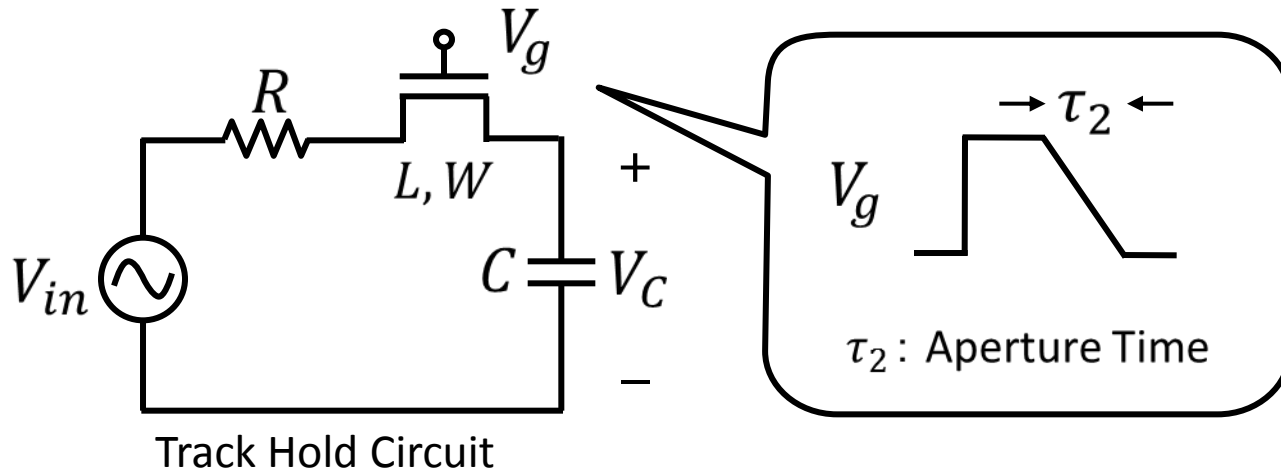
Bandwidth starts to decrease at $\tau_2 / \tau_1 = 1$

τ_1, τ_2 effects to bandwidth are comparable.

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SPICE Simulation Verification



Obtain values of ●



Equivalent time sampling



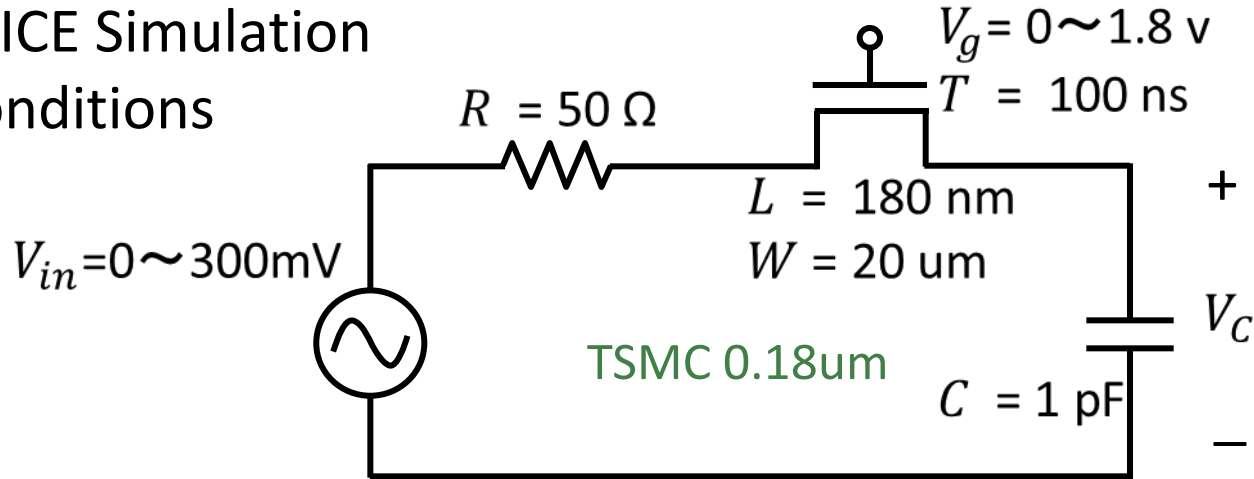
Obtain gain, phase for each frequency



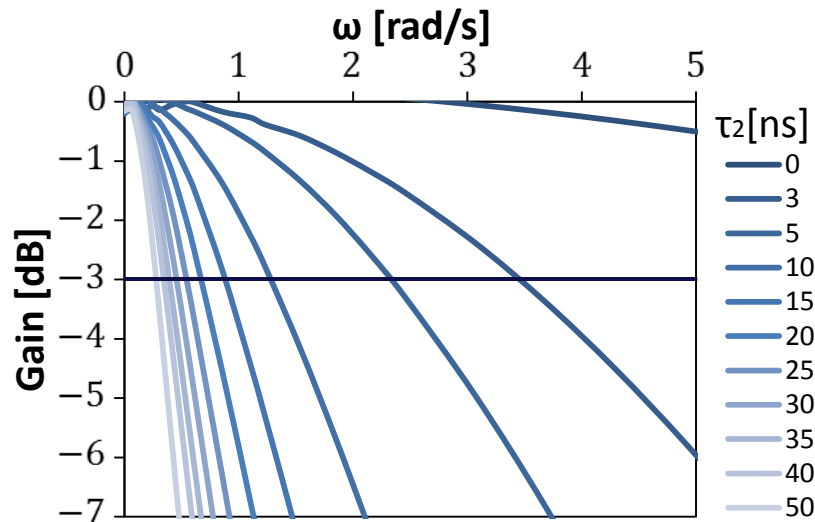
Frequency transfer function

Results

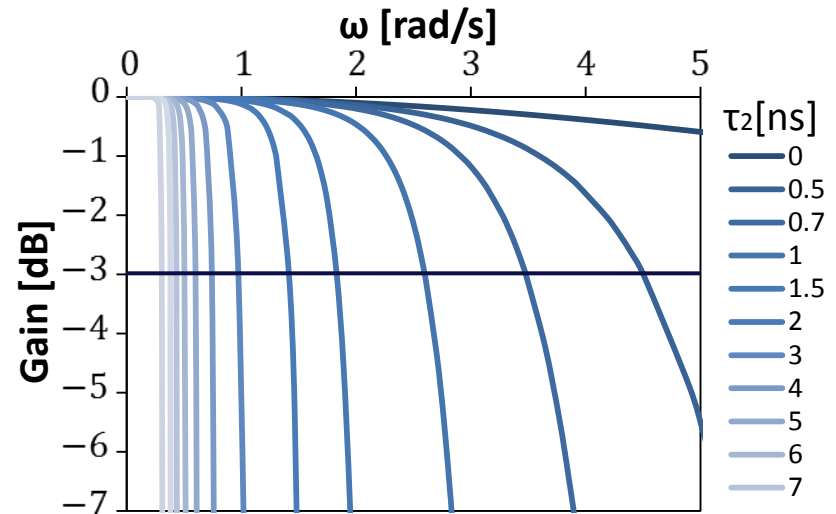
■ SPICE Simulation Conditions



■ Results



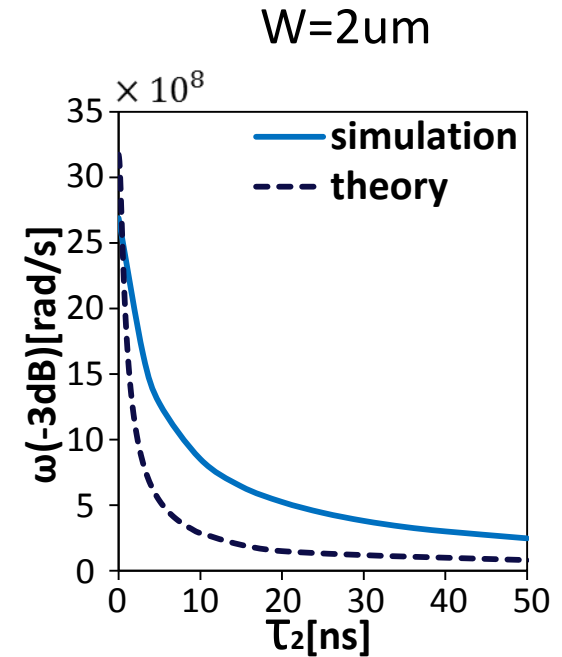
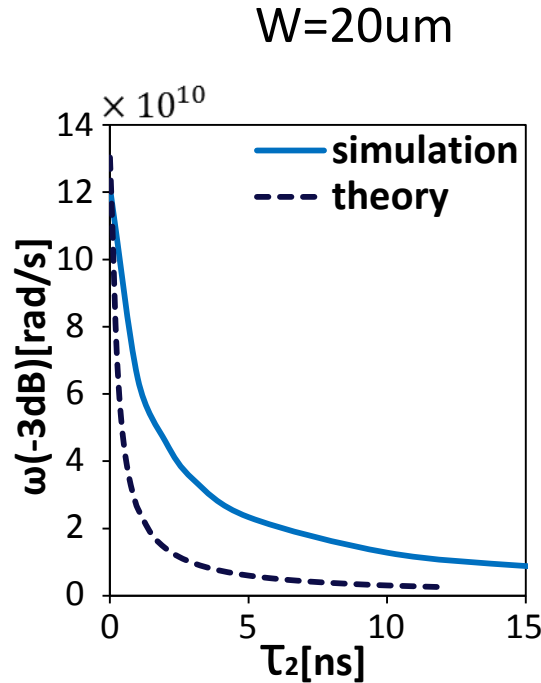
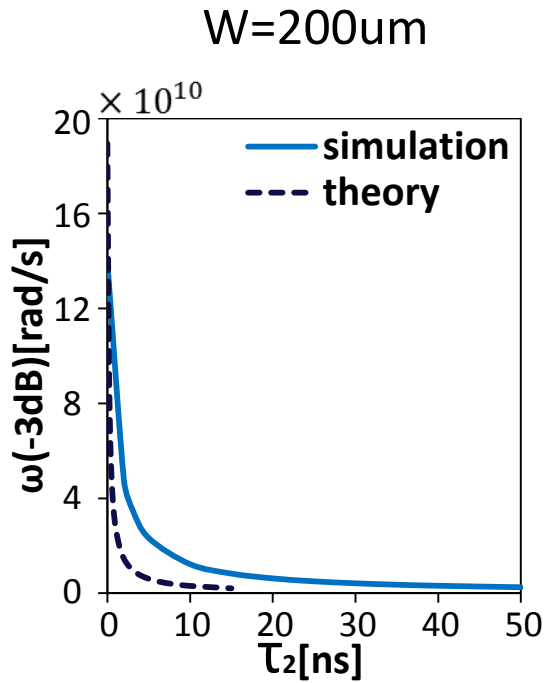
SPICE Simulation Results



Theory

(Derived Transfer Function)

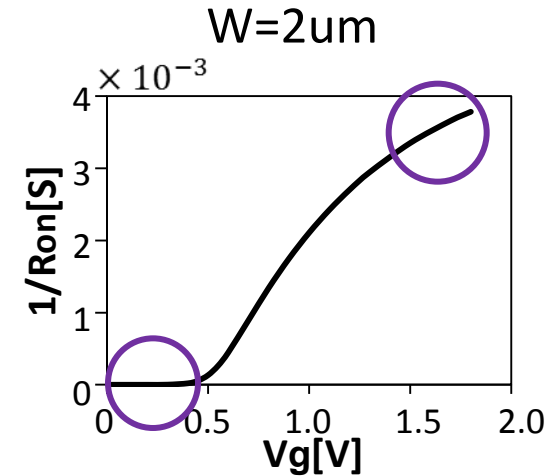
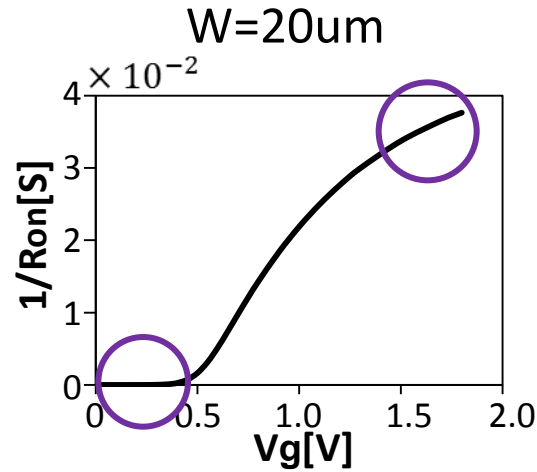
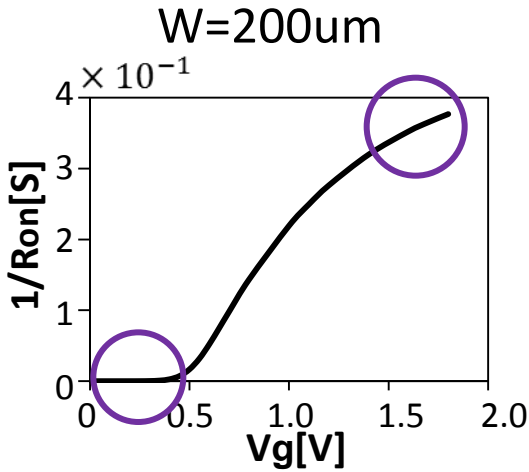
Comparison of -3dB Bandwidth



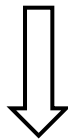
Simulation \neq Theory

Large discrepancies !

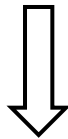
NMOS ON-Conductance Nonlinearity



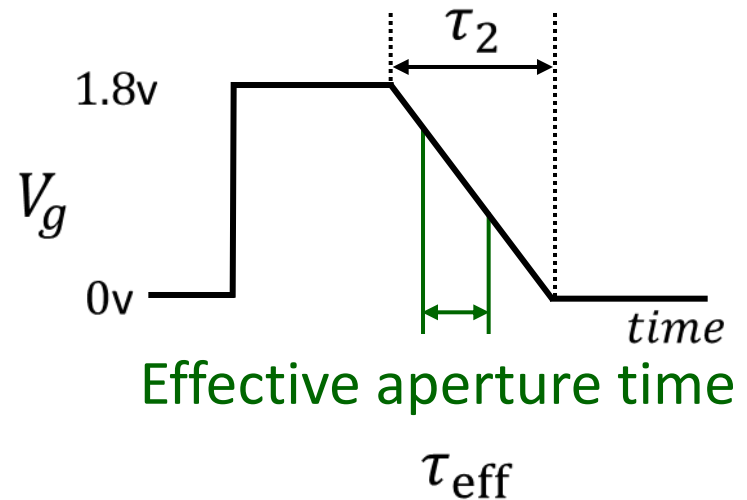
○ region



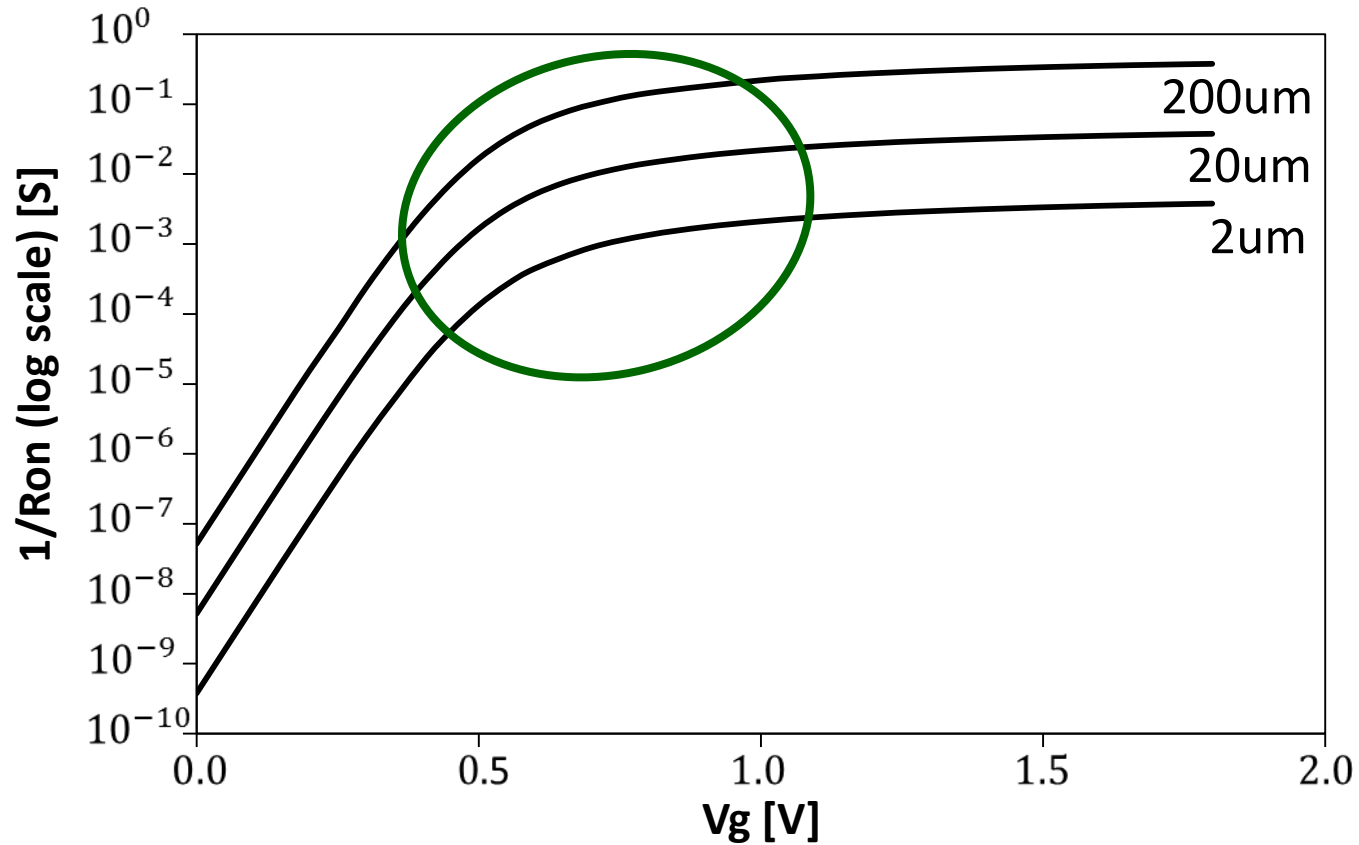
Strong nonlinearity of $1/R_{on}$



Define effective aperture time τ_{eff}



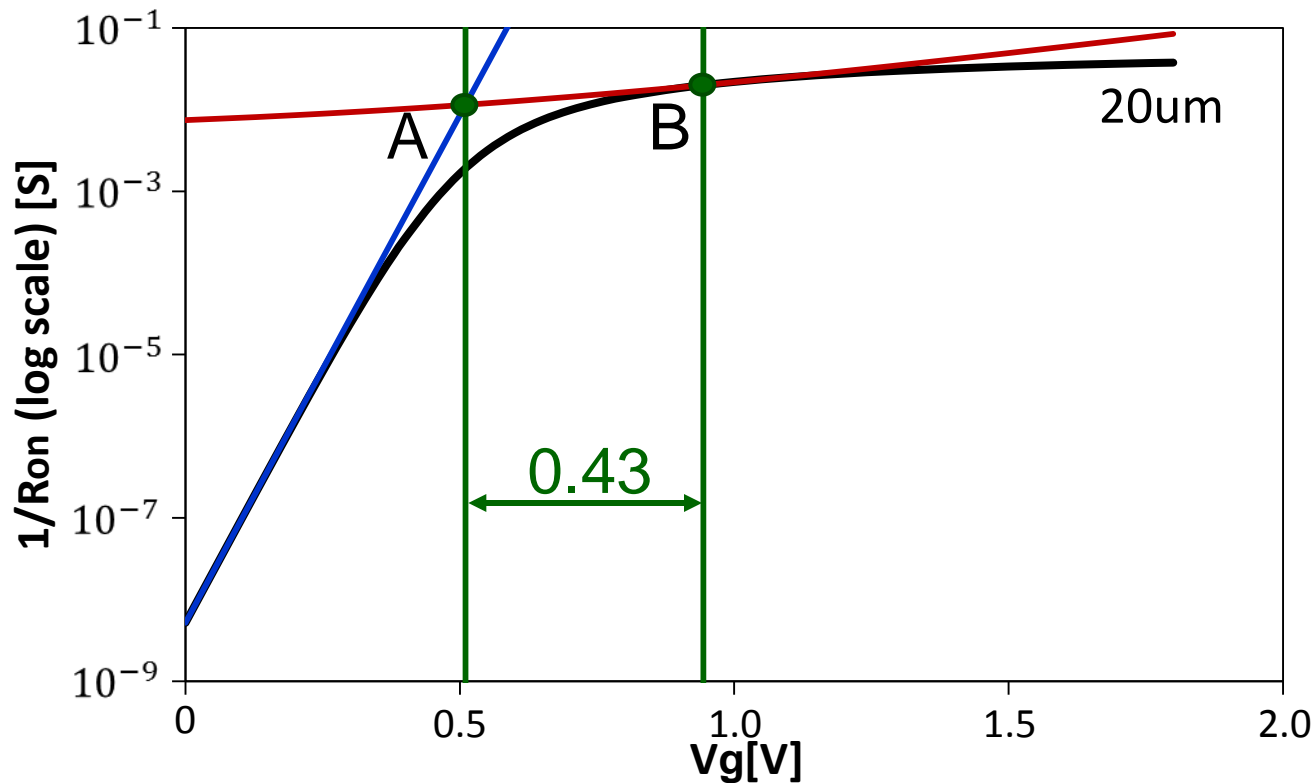
ON-Conductance and Effective Aperture Time



○ Region → Effective aperture time

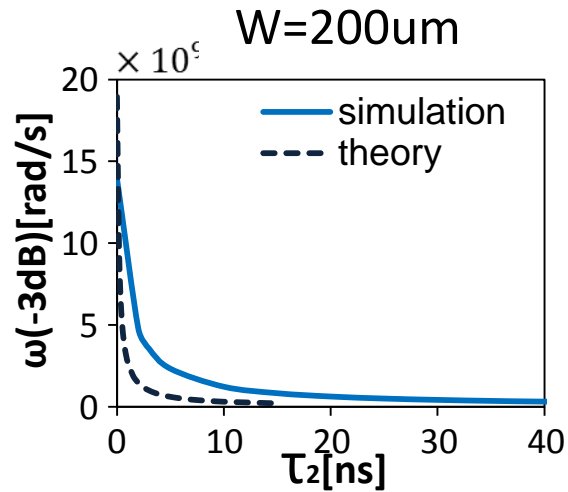
Empirical Effective Aperture Time Derivation

$$y = \left(y_{V_{th}} - 9 \times 10^{-8} \frac{W}{L} \cdot V_{th} \right) e^{\left(\frac{x}{V_{th}} - 1 \right)} + 9 \times 10^{-8} \frac{W}{L} \cdot V_{th}$$

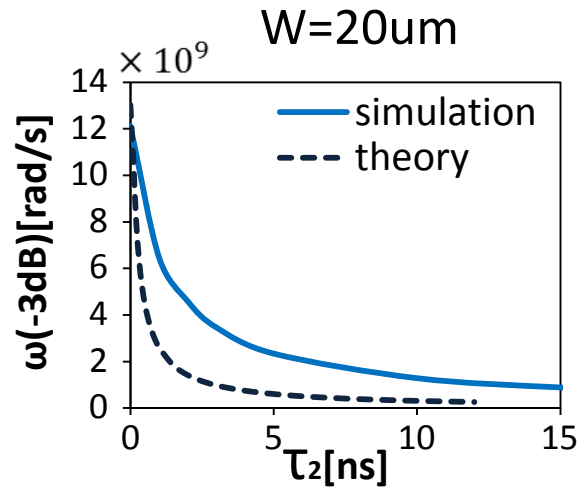
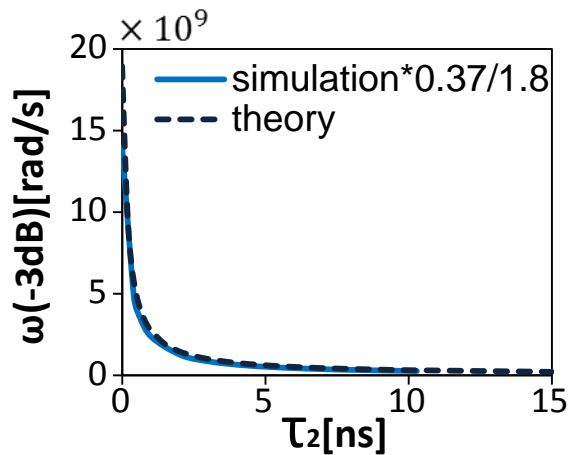


$$\therefore \text{Effective Aperture Time } \tau_{\text{eff}} = \frac{0.43}{1.8} \times \tau_2$$

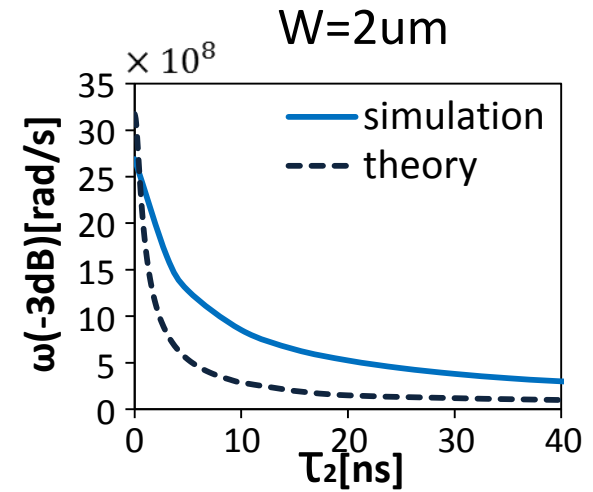
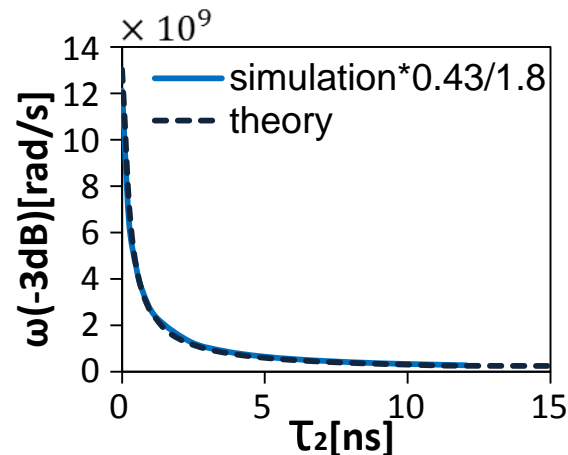
Discussion Again



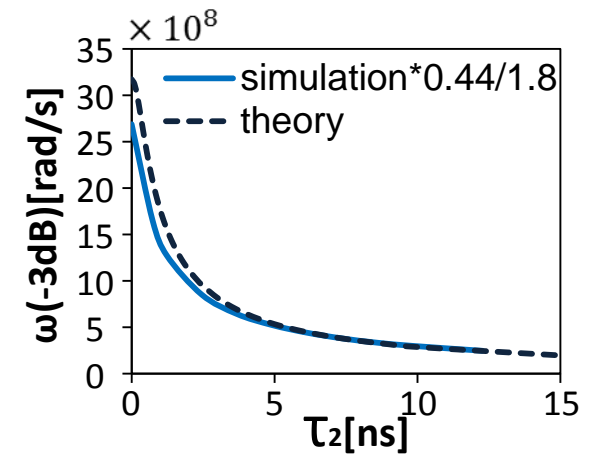
↓ X 0.37/1.8



↓ X 0.43/1.8



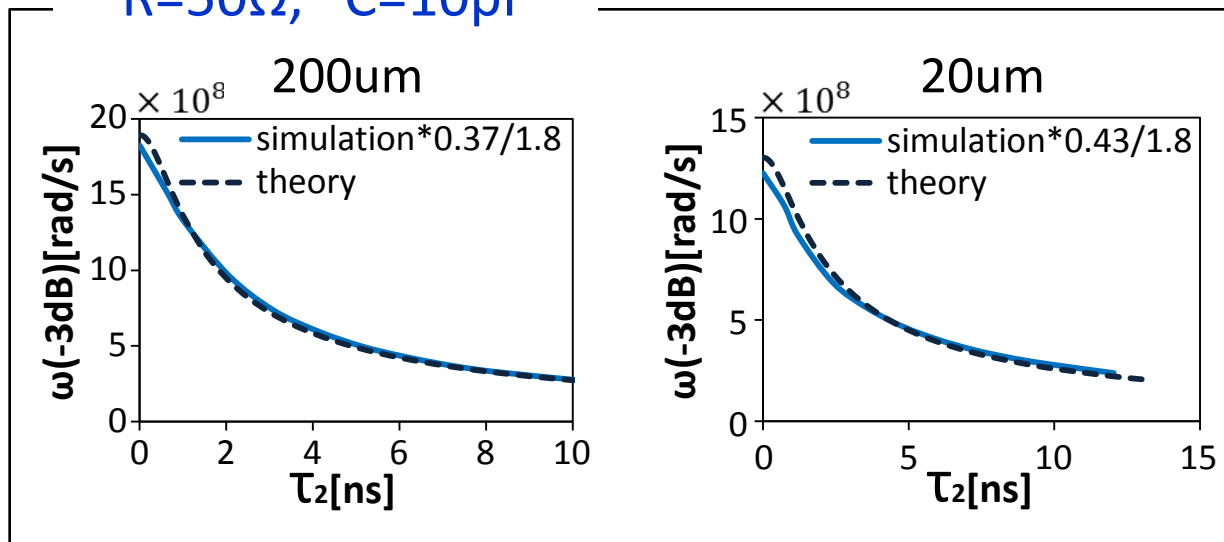
↓ X 0.44/1.8



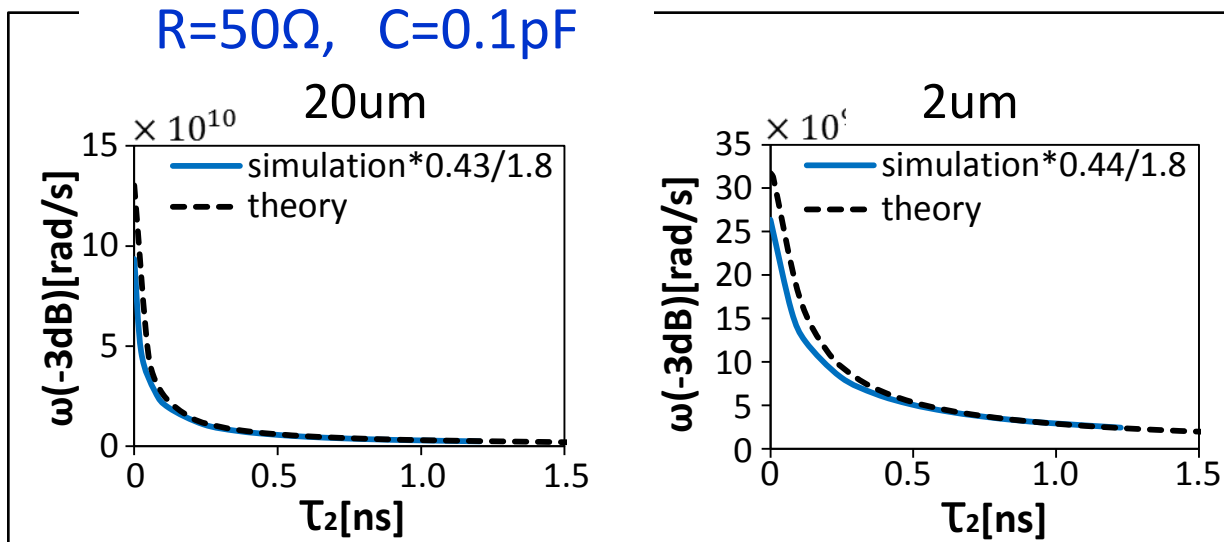
SPICE simulation results \cong Theory

Various Values for RC, W

R=50Ω, C=10pF



R=50Ω, C=0.1pF



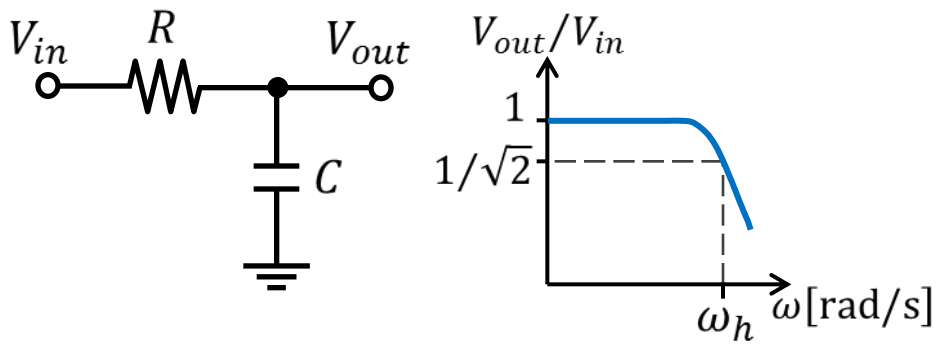
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Trade-off of Time Constant and Bandwidth

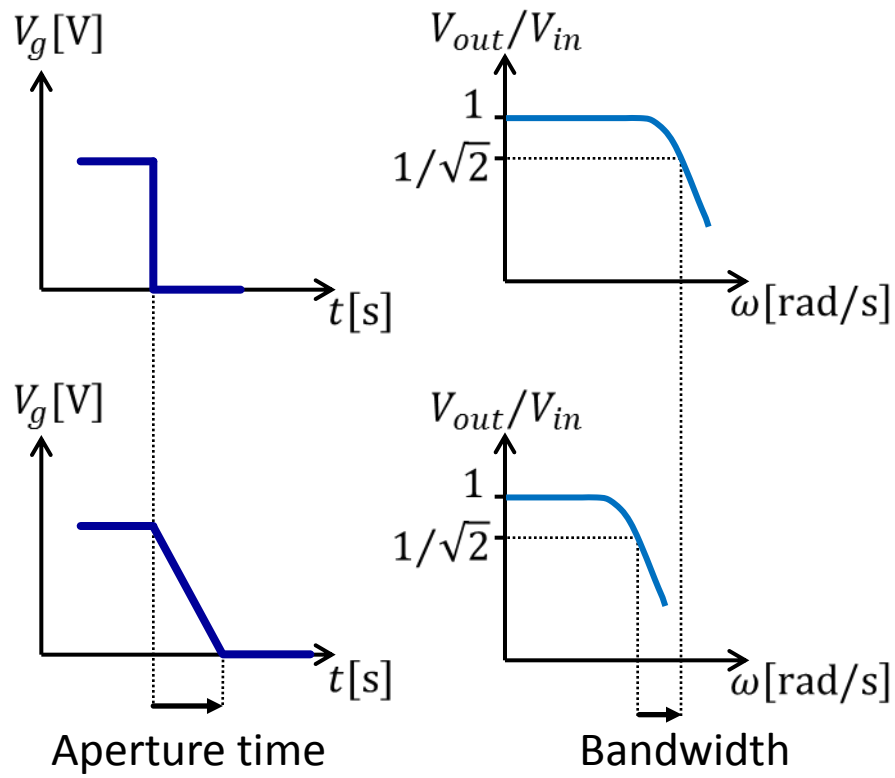
RC time constant and bandwidth



$$\omega_h = \frac{1}{\tau_1}$$

$$\begin{cases} \tau_1 : \text{RC} \\ \omega_h : \text{bandwidth} \end{cases}$$

Aperture time and bandwidth



Time		Band : ω_h
Short	→	Wide
Long	→	Narrow

-3dB Bandwidth

Transfer function

$$\frac{V_C}{V_{in}} = \frac{\text{sinc}(\omega\tau_2)}{\text{sinc}(\omega\tau_2) + j\omega\tau_1}$$

($\tau_1 = RC$, $\tau_2 = \text{aperture time}$)

In case of -3dB bandwidth

$$\sqrt{\frac{\text{sinc}^2(\omega\tau_2)}{\text{sinc}^2(\omega\tau_2) + (\omega RC)^2}} = \sqrt{\frac{1}{2}}$$



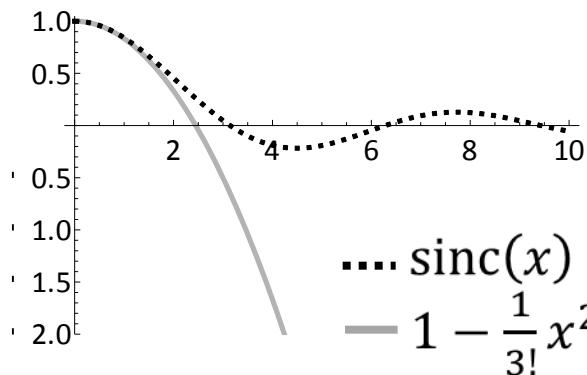
$$\text{sinc}(\omega\tau_2) = \omega\tau_1$$

Uncertainty Relationship

Taylor expansion of Sinc function

$$\text{sinc}(\omega\tau_2) = \omega\tau_1$$

$$\text{sinc}(x) = \sum_{n=0}^{\infty} \frac{(-1)^n}{(2n+1)!} x^{2n} \cong 1 - \frac{1}{3!} x^2$$



$$\text{sinc}(x) \geq 1 - \frac{1}{3!} x^2$$

$$\therefore \omega\tau_1 \geq 1 - \frac{1}{3!} (\omega\tau_2)^2$$

Uncertainty Relationship Formula

$$\begin{array}{l} \omega \rightarrow \sigma_\omega \\ \tau_1 \rightarrow \sigma_{\tau_1} \end{array} \Rightarrow \underline{\sigma_\omega \sigma_{\tau_1} + \frac{1}{6} (\sigma_\omega \tau_2)^2 \geq 1}$$

$\left\{ \begin{array}{l} \sigma_\omega : \text{bandwidth} \\ \sigma_{\tau_1} : \text{RC time constant} \\ \tau_2 : \text{aperture time} \end{array} \right.$

Uncertainty Principle and Relationship

- Uncertainty Principle
- Quantum mechanics

$$\Delta\chi\Delta\rho \geq \frac{\hbar}{2}$$



Prof. W. K. Heisenberg

Impossible to know exactly and simultaneously

- Where the object is
- How fast it is moving

Can NOT be proved

- Uncertainty Relationship
- Sampling circuit

$$\sigma_{\omega}\sigma_{\tau_1} + \frac{1}{6}(\sigma_{\omega}\tau_2)^2 \geq 1$$

Can be proved

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Summary

- Derived explicit transfer function of sampling circuit with finite aperture time effect.
- Verified it with SPICE simulation
- Introduced concept of effective finite aperture time
- Showed uncertainty relationship between time constants and bandwidth in sampling circuit.

Thank you for your kind attention

謝謝

