

CS034

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Ringling Test for Second-Order Sallen-Key Low-Pass Filters

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Outline

1. Research Background

- Motivation, objectives and achievements
- Self-loop function in a transfer function

2. Analysis of Behaviors of High-order Systems

- Operating regions of high-order systems

3. Ringing Test for Feedback Amplifiers

- Stability test for shunt-shunt feedback amplifiers
- Stability test for unity-gain and inverting amplifiers

4. Ringing Test for High-order Low-Pass Filters

- Stability test for passive and active RLC circuits
- Stability test for Sallen-Key low-pass filters

5. Conclusions

1. Research Background

Effects of Ringing on Electronic Systems

Performance of a system

Signal to
Noise Ratio:

$$\text{SNR} = \frac{\text{Signal power}}{\text{Noise power}}$$

Performance of a device

Figure of
Merit:

$$F = \frac{\text{Output SNR}}{\text{Input SNR}}$$

Common types of noise:

- Electronic noise, thermal noise, intermodulation noise, cross-talk, flicker noise, ...

Ring~~ing~~ does the following things:

- Causes EMI noise,
- Increases the current flow,
- Decreases the performance, and
- Damages the devices.

Unstable system



STABILITY TEST

1. Research Background

Objectives of Study

- **Derivation of self-loop function based on the proposed comparison measurement**
- **Investigation of operating regions of linear negative feedback networks**
- **Observation of phase margin at unity gain on the Nichols chart**
 - **Over-damping (high delay in rising time)**
 - **Critical damping (max power propagation)**
 - **Under-damping (overshoot and ringing)**

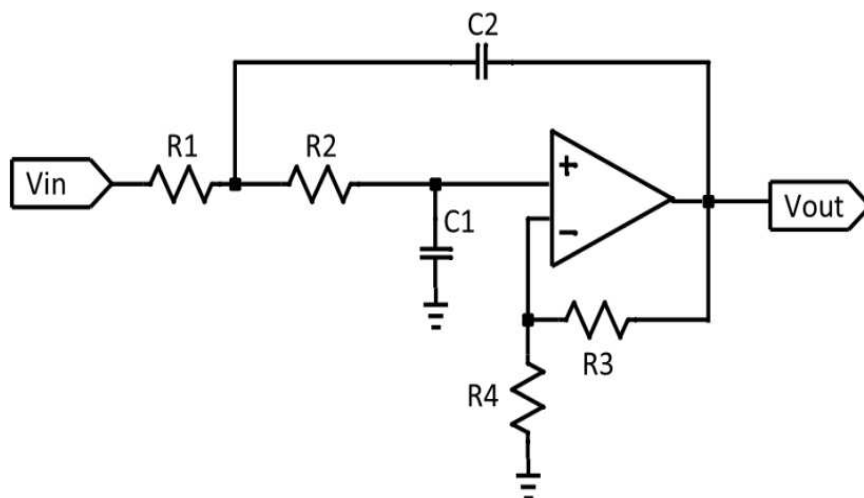
1. Research Background

Achievements of Study

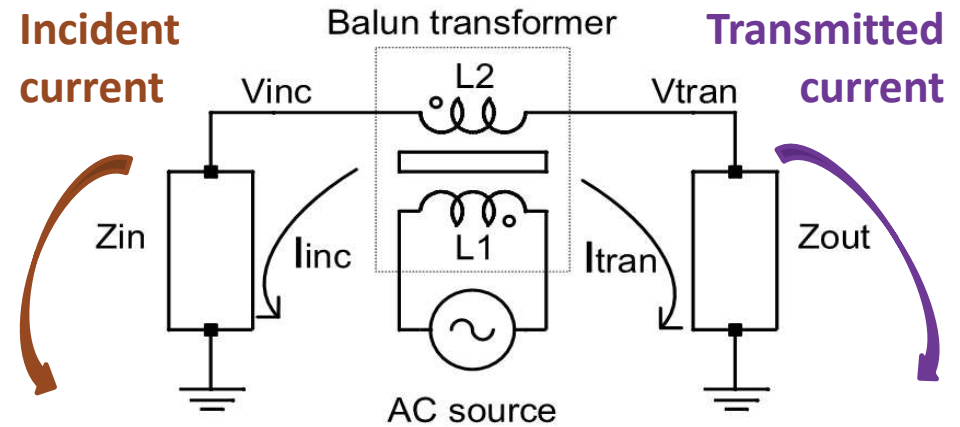
Comparison measurement

- Shunt-shunt amplifiers
- Inverting amplifiers
- Unity-gain amplifiers
- 2nd-order low-pass filters

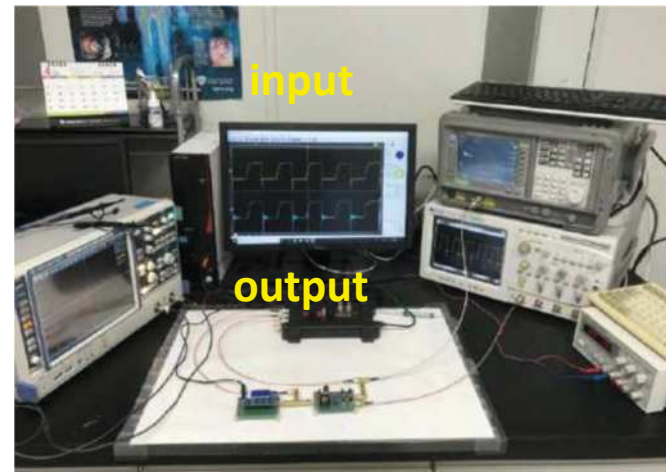
2nd-order Sallen-Key low-pass LPF



Alternating current conservation



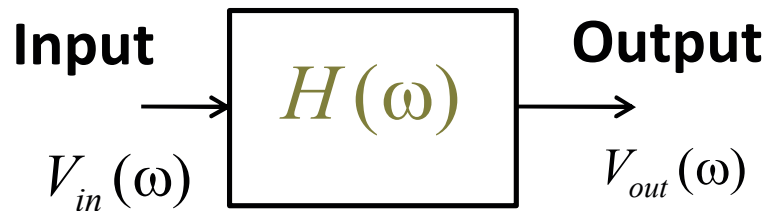
Implemented circuit



1. Research Background

Self-loop Function in A Transfer Function

Linear system



Model of a linear system

$$H(\omega) = \frac{b_0(j\omega)^n + \dots + b_{n-1}(j\omega) + b_n}{a_0(j\omega)^n + \dots + a_{n-1}(j\omega) + a_n}$$

Transfer function

$$H(\omega) = \frac{V_{out}(\omega)}{V_{in}(\omega)} = \frac{A(\omega)}{1 + L(\omega)}$$

$A(\omega)$: Numerator function

$H(\omega)$: Transfer function

$L(\omega)$: Self-loop function

Variable: angular frequency (ω)

○ Polar chart → Nyquist chart

○ Magnitude-frequency plot

○ Angular-frequency plot

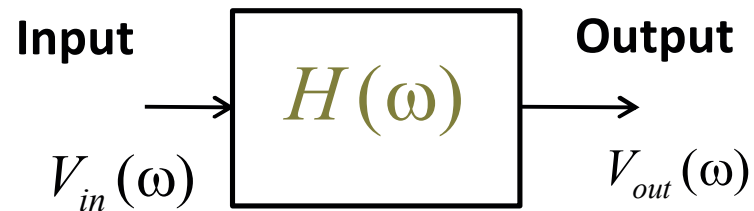
○ Magnitude-angular diagram → Nichols diagram

Bode plots

1. Research Background

Comparison Measurement

Linear system



Model of a linear system

$$H(\omega) = \frac{b_0(j\omega)^n + \dots + b_{n-1}(j\omega) + b_n}{a_0(j\omega)^n + \dots + a_{n-1}(j\omega) + a_n}$$



Transfer function

$$H(\omega) = \frac{V_{out}(\omega)}{V_{in}(\omega)} = \frac{A(\omega)}{1 + L(\omega)}$$



Self-loop function

$$L(\omega) = \frac{A(\omega)}{H(\omega)} - 1$$

Sequence of steps:

- (i) Measurement of **numerator function $A(\omega)$** ,
- (ii) Measurement of **transfer function $H(\omega)$** , and
- (iii) Derivation of **self-loop function**.

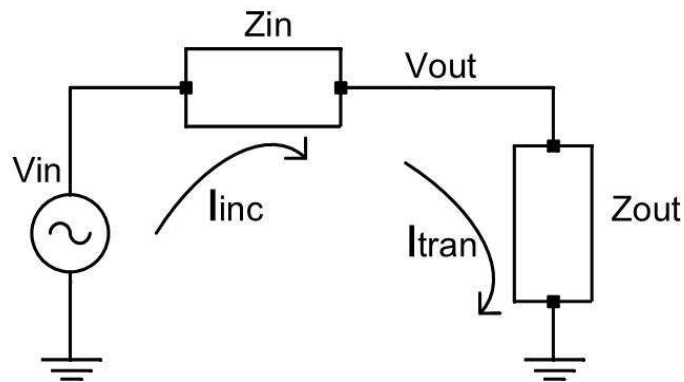
1. Research Background

Alternating Current Conservation

Transfer function

$$H(\omega) = \frac{V_{out}(\omega)}{V_{in}(\omega)} = \frac{1}{1 + \frac{Z_{in}}{Z_{out}}}$$

$$\Rightarrow L(\omega) = \frac{Z_{in}}{Z_{out}};$$



Simplified linear system

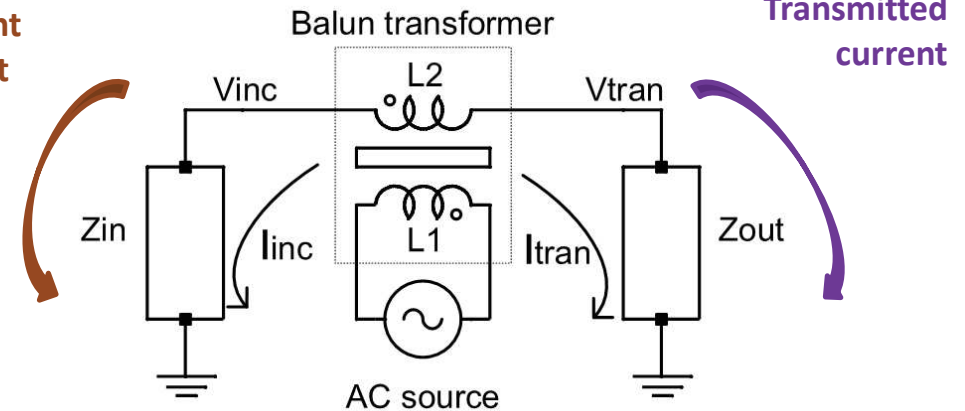
Self-loop function

$$\frac{V_{inc}}{Z_{in}} = -\frac{V_{trans}}{Z_{out}} \Rightarrow L(\omega) = -\frac{V_{inc}}{V_{trans}} = \frac{Z_{in}}{Z_{out}}$$



10 mH
inductance

Incident
current

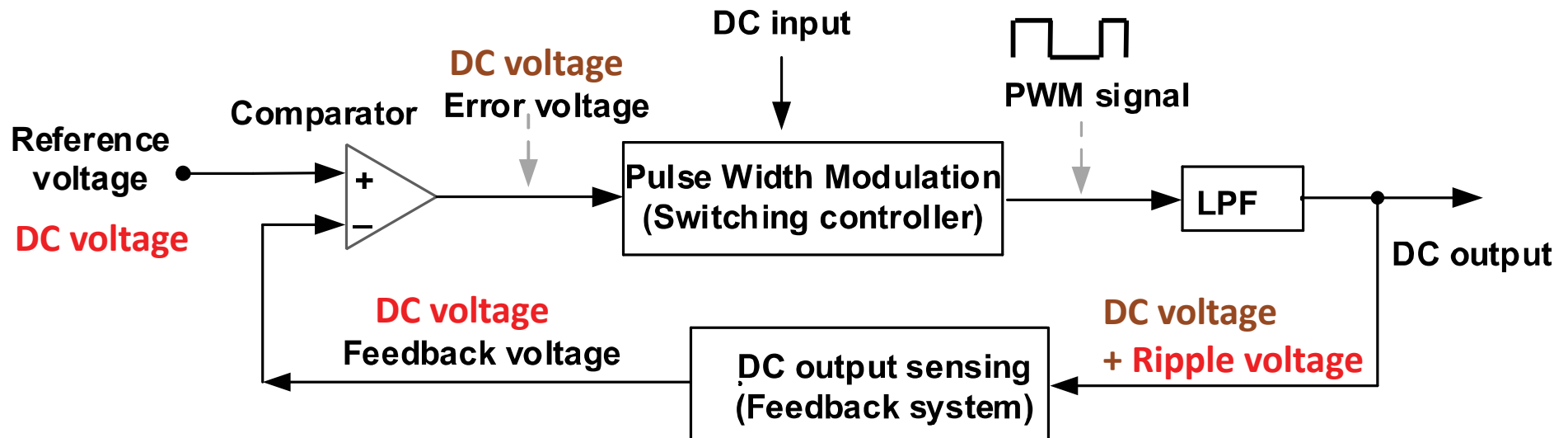


Derivation of self-loop function

1. Research Background

Characteristics of Adaptive Feedback Network

Block diagram of a typical adaptive feedback system



Adaptive feedback is used to control the output source along with the decision source (**DC-DC Buck converter**).

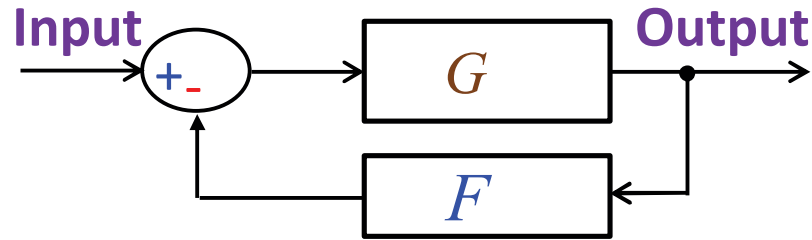
Transfer function of an **adaptive feedback network** is **significantly different from** transfer function of a **linear negative feedback network**.

→ **Loop gain is independent** of frequency variable (referent voltage, feedback voltage, and error voltage are **DC voltages**).

1. Research Background

Loop Gain in Feedback Systems

Adaptive feedback systems

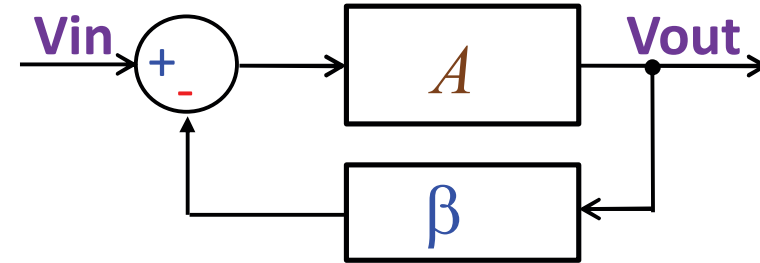


Transfer function

GF : loop gain

$$H = \frac{G}{1 + GF} \approx 1$$

Inverting amplifier

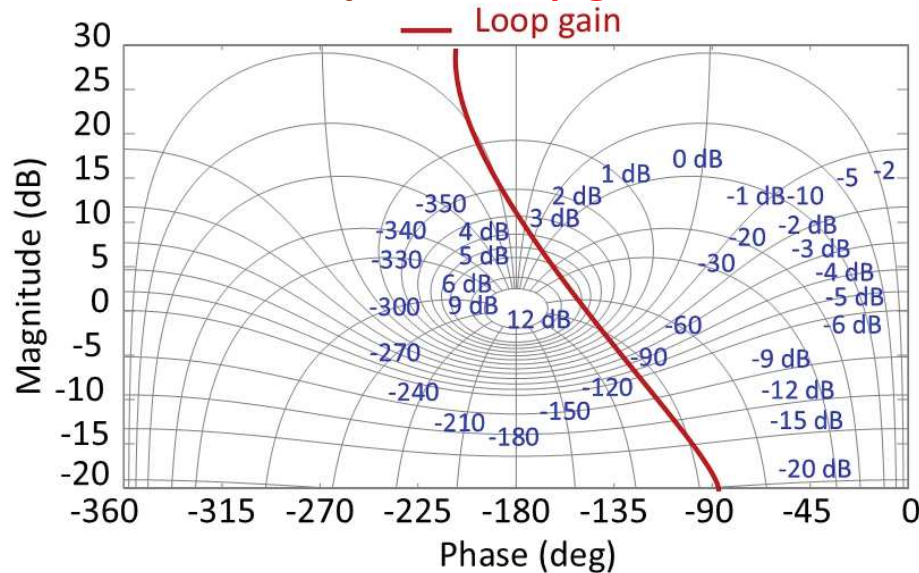


Transfer function

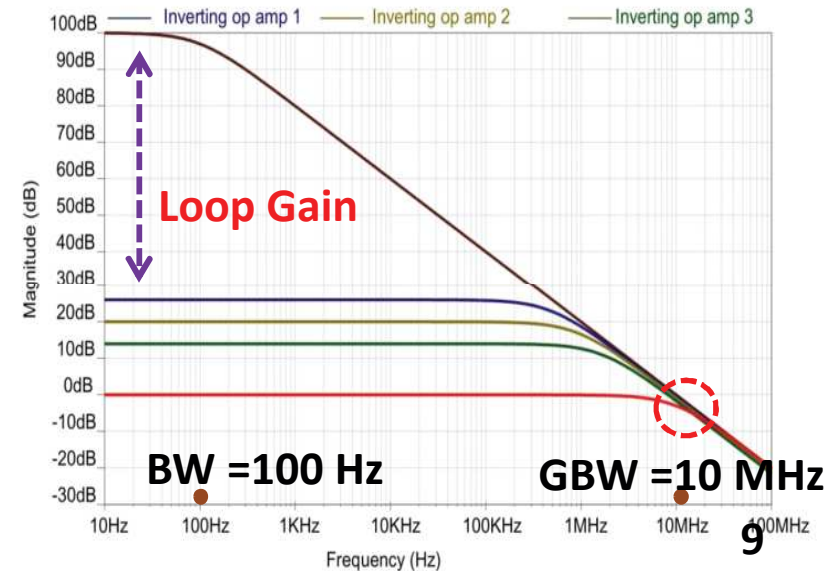
$A\beta$: loop gain

$$H = \frac{A}{1 + A\beta} \approx \frac{1}{\beta}$$

Nichols plot of loop gain



Gain reduction



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2. Analysis of Behaviors of High-order Systems

- **Operating regions of high-order systems**

3. Ringing Test for Feedback Amplifiers

- Stability test for shunt-shunt feedback amplifiers
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5. Conclusions

2. Analysis of Behaviors of High-order Systems

Characteristics of 2nd-order Transfer Function

Second-order transfer function:
$$H(\omega) = \frac{1}{1 + a_0(j\omega)^2 + a_1j\omega}$$

Case	Over-damping	Critical damping	Under-damping
Delta (Δ)	$\frac{1}{a_0} < \left(\frac{a_1}{2a_0}\right)^2 \Rightarrow \Delta = a_1^2 - 4a_0 > 0$	$\frac{1}{a_0} = \left(\frac{a_1}{2a_0}\right)^2 \Rightarrow \Delta = a_1^2 - 4a_0 = 0$	$\frac{1}{a_0} > \left(\frac{a_1}{2a_0}\right)^2 \Rightarrow \Delta = a_1^2 - 4a_0 < 0$
Module $ H(\omega) $	$\frac{1}{a_0} \sqrt{\omega^2 + \left(\frac{a_1}{2a_0} - \sqrt{\left(\frac{a_1}{2a_0}\right)^2 - \frac{1}{a_0}}\right)^2} \sqrt{\omega^2 + \left(\frac{a_1}{2a_0} + \sqrt{\left(\frac{a_1}{2a_0}\right)^2 - \frac{1}{a_0}}\right)^2}$	$\frac{1}{a_0} \left[\omega^2 + \left(\frac{a_1}{2a_0}\right)^2 \right] = \frac{1}{2} = -6dB$	$\frac{1}{a_0} \sqrt{\left(\omega - \sqrt{\frac{1}{a_0} - \left(\frac{a_1}{2a_0}\right)^2}\right)^2 + \left(\frac{a_1}{2a_0}\right)^2} \sqrt{\left(\omega + \sqrt{\frac{1}{a_0} - \left(\frac{a_1}{2a_0}\right)^2}\right)^2 + \left(\frac{a_1}{2a_0}\right)^2}$
Angular $\theta(\omega)$	$-\arctan\left(\frac{\omega}{\frac{a_1}{2a_0} - \sqrt{\left(\frac{a_1}{2a_0}\right)^2 - \frac{1}{a_0}}}\right) - \arctan\left(\frac{\omega}{\frac{a_1}{2a_0} + \sqrt{\left(\frac{a_1}{2a_0}\right)^2 - \frac{1}{a_0}}}\right)$	$-2 \arctan\left(\frac{2a_0\omega}{a_1}\right)$	$-\arctan\left(\frac{\omega - \sqrt{\frac{1}{a_0} - \left(\frac{a_1}{2a_0}\right)^2}}{\frac{a_1}{2a_0}}\right) - \arctan\left(\frac{\omega + \sqrt{\frac{1}{a_0} - \left(\frac{a_1}{2a_0}\right)^2}}{\frac{a_1}{2a_0}}\right)$
$\omega_{cut} = \frac{a_1}{2a_0}$	$ H(\omega_{cut}) < \frac{2a_0}{a_1}$ $\theta(\omega_{cut}) > -\frac{\pi}{2}$	$ H(\omega_{cut}) = \frac{2a_0}{a_1}$ $\theta(\omega_{cut}) = -\frac{\pi}{2}$	$ H(\omega_{cut}) > \frac{2a_0}{a_1}$ $\theta(\omega_{cut}) < -\frac{\pi}{2}$

2. Analysis of Behaviors of High-order Systems

Characteristics of 2nd-order Self-loop Function

Second-order self-loop function: $L(\omega) = j\omega[a_0 j\omega + a_1]$

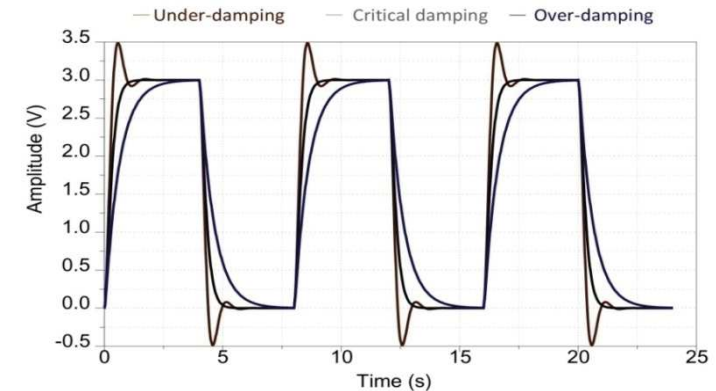
Case	Over-damping	Critical damping	Under-damping
Delta (Δ)	$\Delta = a_1^2 - 4a_0 > 0$	$\Delta = a_1^2 - 4a_0 = 0$	$\Delta = a_1^2 - 4a_0 < 0$
$ L(\omega) $	$\omega\sqrt{(a_0\omega)^2 + a_1^2}$	$\omega\sqrt{(a_0\omega)^2 + a_1^2}$	$\omega\sqrt{(a_0\omega)^2 + a_1^2}$
$\theta(\omega)$	$\frac{\pi}{2} + \arctan \frac{a_0\omega}{a_1}$	$\frac{\pi}{2} + \arctan \frac{a_0\omega}{a_1}$	$\frac{\pi}{2} + \arctan \frac{a_0\omega}{a_1}$
$\omega_1 = \frac{a_1}{2a_0}\sqrt{5}-2$	$ L(\omega_1) > 1$ $\pi - \theta(\omega_1) > 76.3^\circ$	$ L(\omega_1) = 1$ $\pi - \theta(\omega_1) = 76.3^\circ$	$ L(\omega_1) < 1$ $\pi - \theta(\omega_1) < 76.3^\circ$
$\omega_2 = \frac{a_1}{2a_0}$	$ L(\omega_2) > \sqrt{5}$ $\pi - \theta(\omega_2) > 63.4^\circ$	$ L(\omega_2) = \sqrt{5}$ $\pi - \theta(\omega_2) = 63.4^\circ$	$ L(\omega_2) < \sqrt{5}$ $\pi - \theta(\omega_2) < 63.4^\circ$
$\omega_3 = \frac{a_1}{a_0}$	$ L(\omega_3) > 4\sqrt{2}$ $\pi - \theta(\omega_3) > 45^\circ$	$ L(\omega_3) = 4\sqrt{2}$ $\pi - \theta(\omega_3) = 45^\circ$	$ L(\omega_3) < 4\sqrt{2}$ $\pi - \theta(\omega_3) < 45^\circ$

2. Analysis of Behaviors of High-order Systems

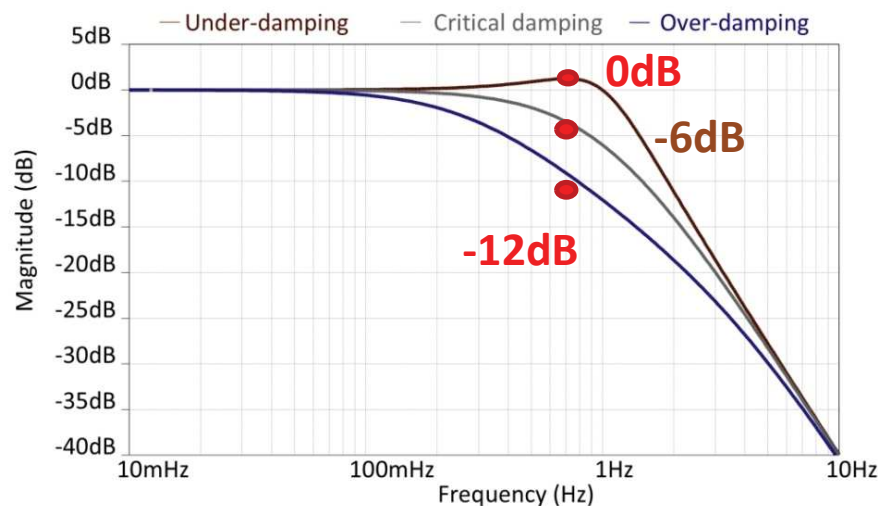
Operating Regions of 2nd-Order System

- **Under-damping:** $H_1(\omega) = \frac{1}{(j\omega)^2 + j\omega + 1}$;
 $L_1(\omega) = (j\omega)^2 + j\omega$;
- **Critical damping:** $H_2(\omega) = \frac{1}{(j\omega)^2 + 2j\omega + 1}$;
 $L_2(\omega) = (j\omega)^2 + 2j\omega$;
- **Over-damping:** $H_3(\omega) = \frac{1}{(j\omega)^2 + 3j\omega + 1}$;
 $L_3(\omega) = (j\omega)^2 + 3j\omega$;

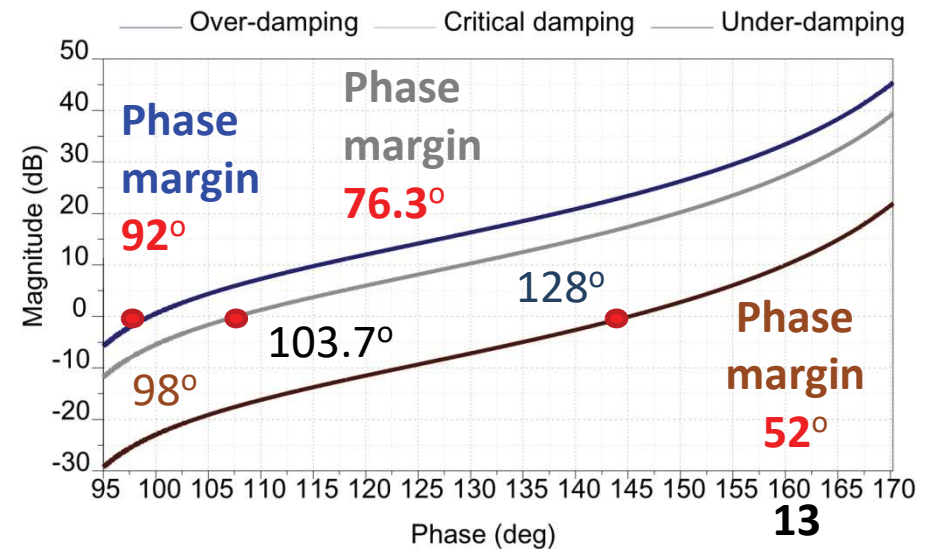
Transient response



Bode plot of transfer function



Nichols plot of self-loop function



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- **Stability test for unity-gain and inverting amplifiers**

4. Ringing Test for High-order Low-Pass Filters

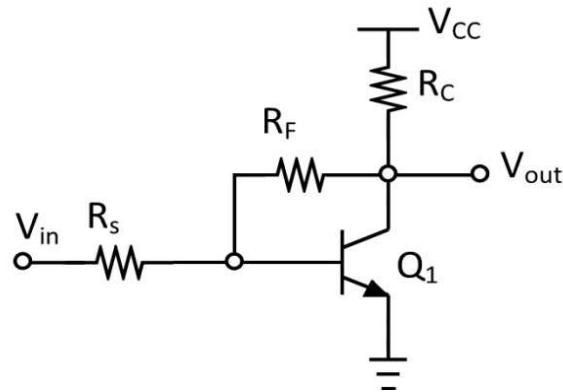
- Stability test for passive and active RLC circuits
- Stability test for Sallen-Key low-pass filters

5. Conclusions

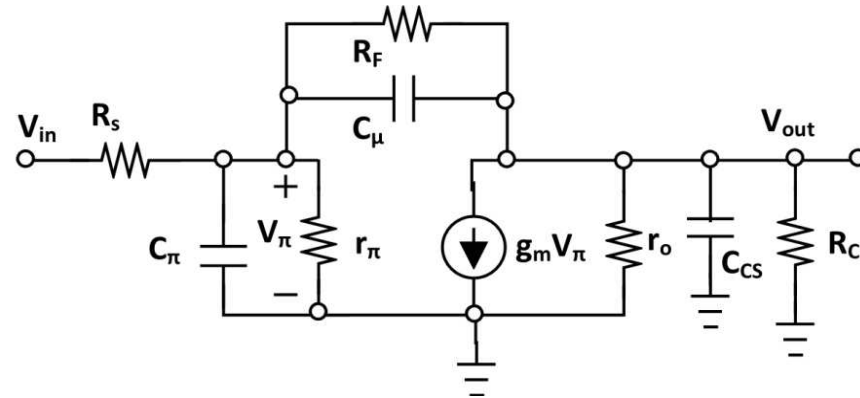
3. Ringing Test for Feedback Amplifiers

Analysis of Shunt-Shunt Feedback Amplifier

BJT shunt-shunt feedback amplifier



Small signal model



Apply **superposition** at the nodes V_π and V_{out} , we have

$$V_\pi \left(\frac{1}{R_s} + \frac{1}{r_\pi} + \frac{1}{Z_{C\pi}} + \frac{1}{R_F} + \frac{1}{Z_{C\mu}} \right) = \frac{V_{in}}{R_s} + \frac{V_{out}}{Z_{C\mu}}; \quad V_{out} \left(\frac{1}{Z_{C\mu}} + \frac{1}{Z_{CCS}} + \frac{1}{R_C} + \frac{1}{r_o} \right) = V_\pi \left(\frac{1}{Z_{C\mu}} + \frac{1}{R_F} - g_m \right);$$

Transfer function and self-loop function

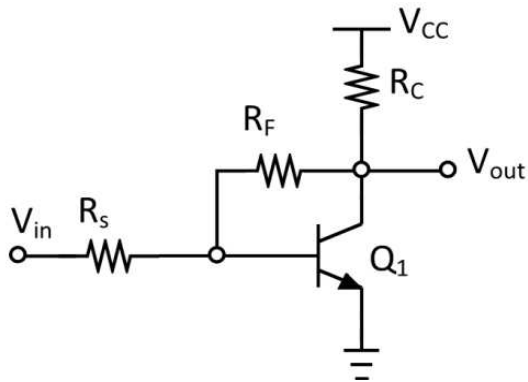
$$H(\omega) = \frac{V_{out}}{V_{in}} = \frac{b_0 j\omega + b_1}{a_0 (j\omega)^2 + a_1 j\omega + 1}; \quad L(\omega) = j\omega [a_0 j\omega + a_1]$$

Where, $b_0 = R_L C_{GD1}; b_1 = -R_L g_{m1}; a_0 = R_S R_L (C_{GD1} C_{GS1} + C_{GD1} C_{DB1} + C_{DB1} C_{GS1});$
 $a_1 = R_L (C_{GD1} + C_{DB1}) + R_S (C_{GS1} + C_{GD1}) + R_S R_L g_{m1} C_{GD1};$

3. Ringing Test for Feedback Amplifiers

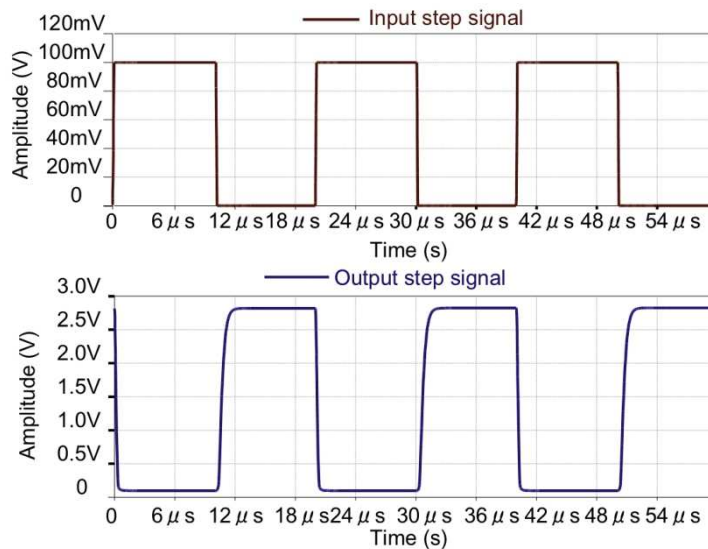
Characteristics of Shunt-Shunt Feedback Amplifier

BJT shunt-shunt feedback amplifier

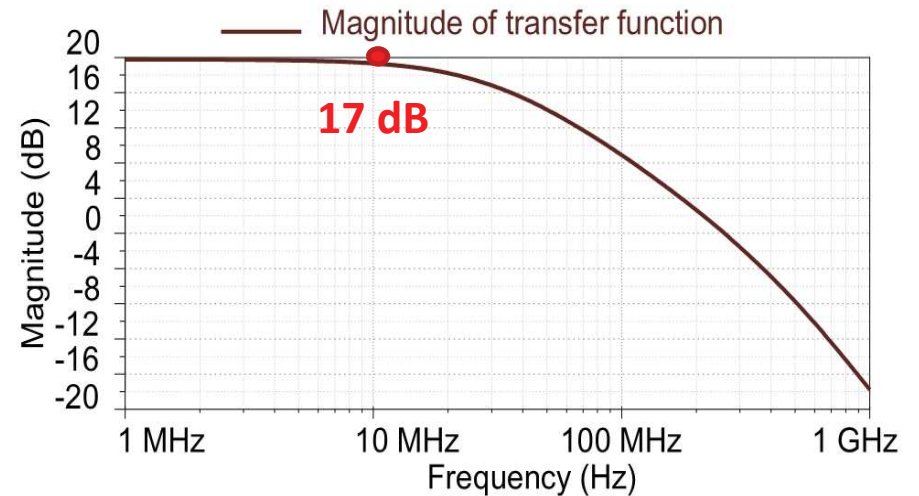


$R_f = 1 \text{ k}\Omega$, $R_C = 10 \text{ k}\Omega$, $R_S = 950 \Omega$.

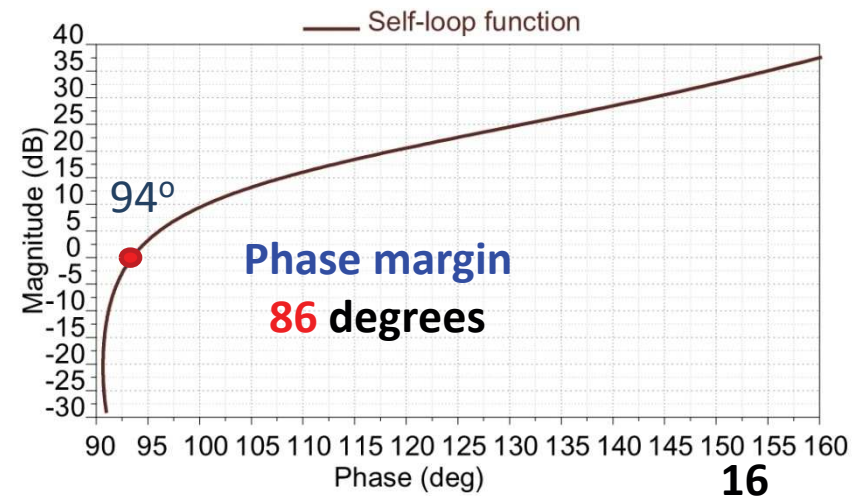
Transient response



Bode plot of transfer function



Nichols plot of self-loop function



3. Ringing Test for Feedback Amplifiers

Analysis of Op Amp without Miller's Capacitor

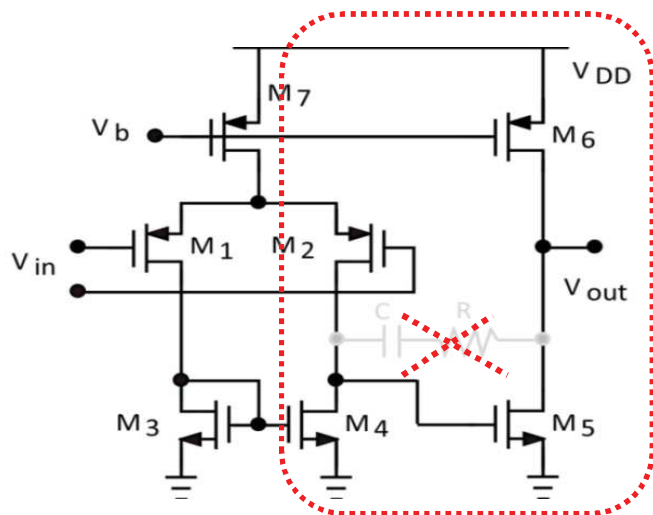
Open-loop function

$$A_{op}(\omega) = \frac{b_0(j\omega)^3 + b_1(j\omega)^2 + b_2j\omega + b_3}{a_0(j\omega)^4 + a_1(j\omega)^3 + a_2(j\omega)^2 + a_3j\omega + 1};$$

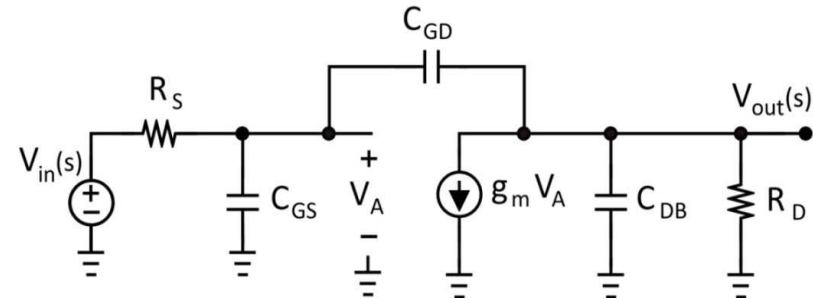
Self-loop function

$$L_{op}(\omega) = a_0(j\omega)^4 + a_1(j\omega)^3 + a_2(j\omega)^2 + a_3j\omega;$$

Without frequency compensation



Small signal model of 2nd-stage



Transfer function

$$H(\omega) = \frac{b_0 j\omega + b_1}{a_0(j\omega)^2 + a_1 j\omega + 1};$$

Self-loop function

$$L(\omega) = a_0(j\omega)^2 + a_1 j\omega$$

Where, $a_0 = R_D C_{GD}$; $a_1 = -R_D g_m$;

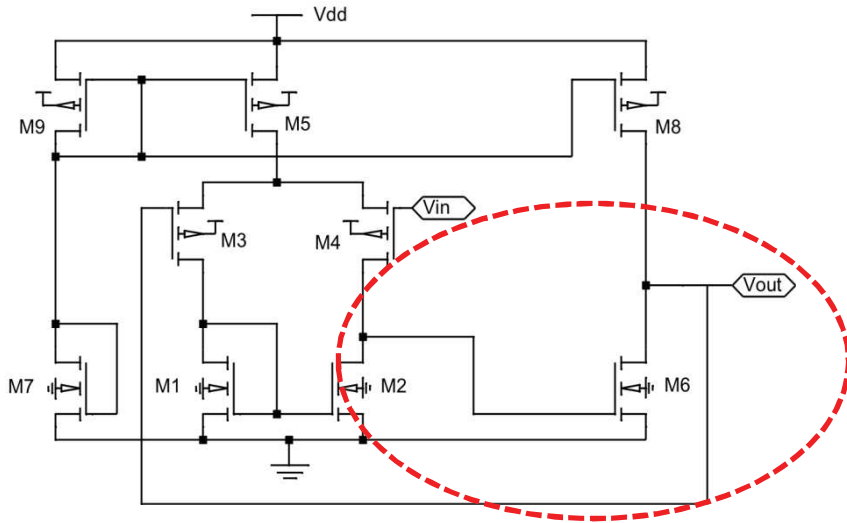
$$b_0 = R_D R_S [(C_{GD} + C_{DB})(C_{GS} + C_{GD}) - C_{GD}^2];$$

$$b_1 = [R_D(C_{GD} + C_{DB}) + R_S(C_{GS} + C_{GD}) + R_D R_S g_m C_{GD}];$$

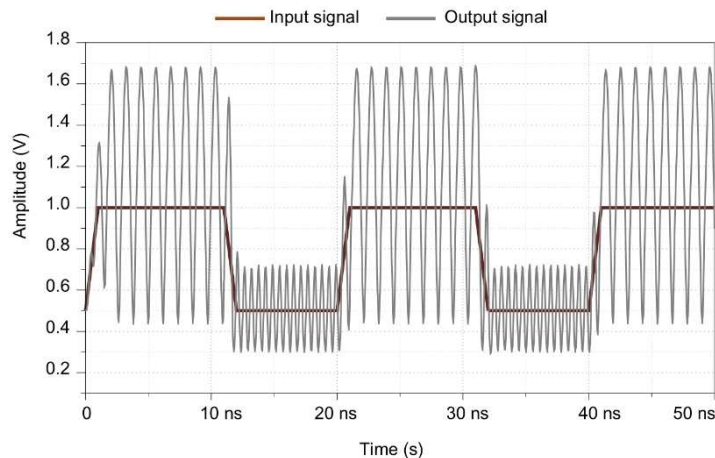
3. Ringing Test for Feedback Amplifiers

Unity-Gain Amplifier without Miller's Capacitor

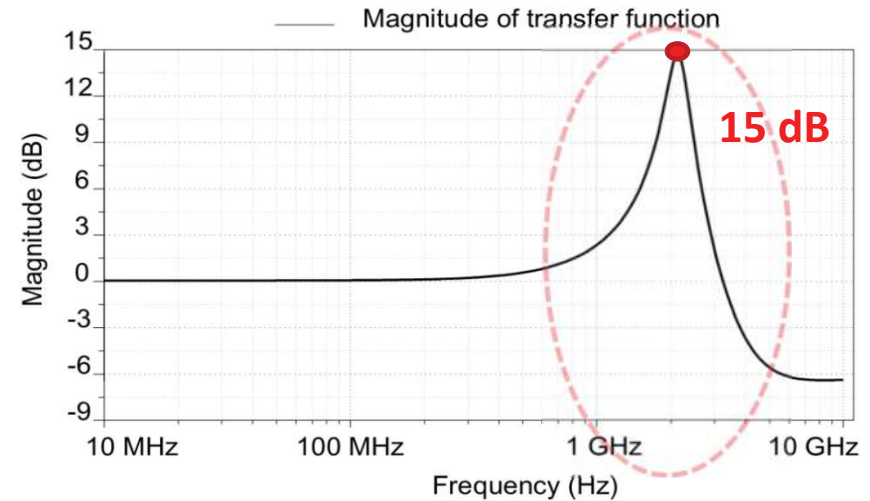
Unity-Gain Amplifier



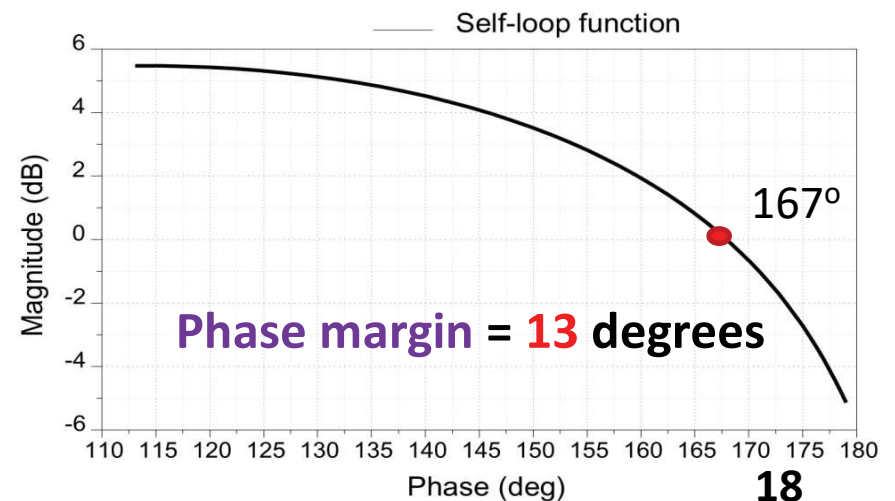
Transient response



Bode plot of transfer function $H(\omega)$



Nichols plot of self-loop function $L(\omega)$



3. Ringing Test for Feedback Amplifiers

Two-stage Op Amp with Frequency Compensation

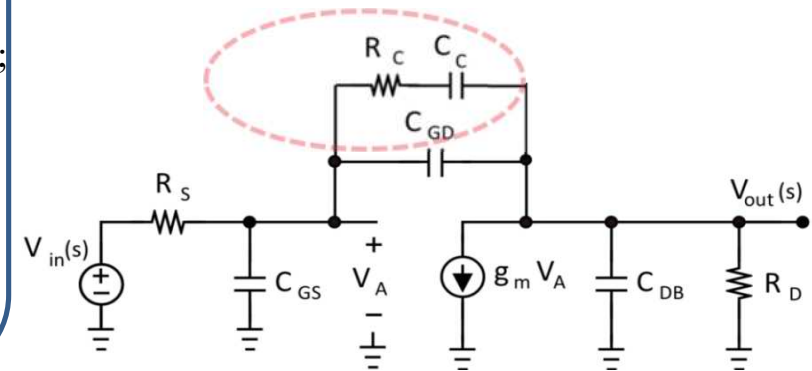
Open-loop function

$$A_{op}(\omega) = \frac{b_0(j\omega)^5 + b_1(j\omega)^4 + b_2(j\omega)^3 + b_3(j\omega)^2 + b_4j\omega + b_5}{a_0(j\omega)^6 + a_1(j\omega)^5 + a_2(j\omega)^4 + a_3(j\omega)^3 + a_4(j\omega)^2 + a_5j\omega + 1};$$

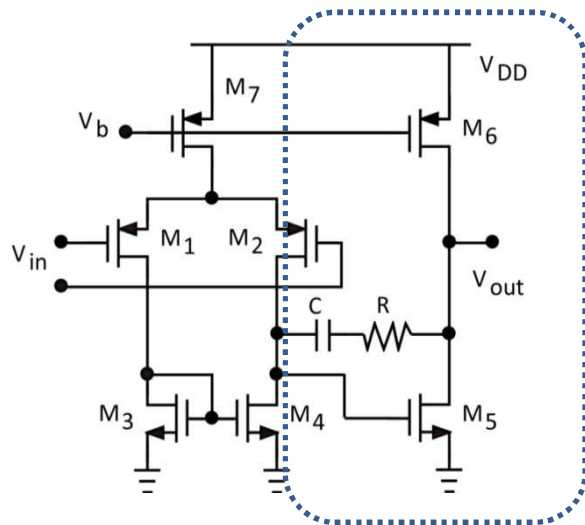
Self-loop function

$$L_{op}(\omega) = a_0(j\omega)^6 + a_1(j\omega)^5 + a_2(j\omega)^4 + a_3(j\omega)^3 + a_4(j\omega)^2 + a_5j\omega;$$

Small signal model of 2nd-stage



With Miller's capacitor and resistor



Transfer function

$$H(\omega) = \frac{b_0(j\omega)^3 + b_1(j\omega)^2 + b_2j\omega + b_3}{a_0(j\omega)^4 + a_1(j\omega)^3 + a_2(j\omega)^2 + a_3j\omega + 1};$$

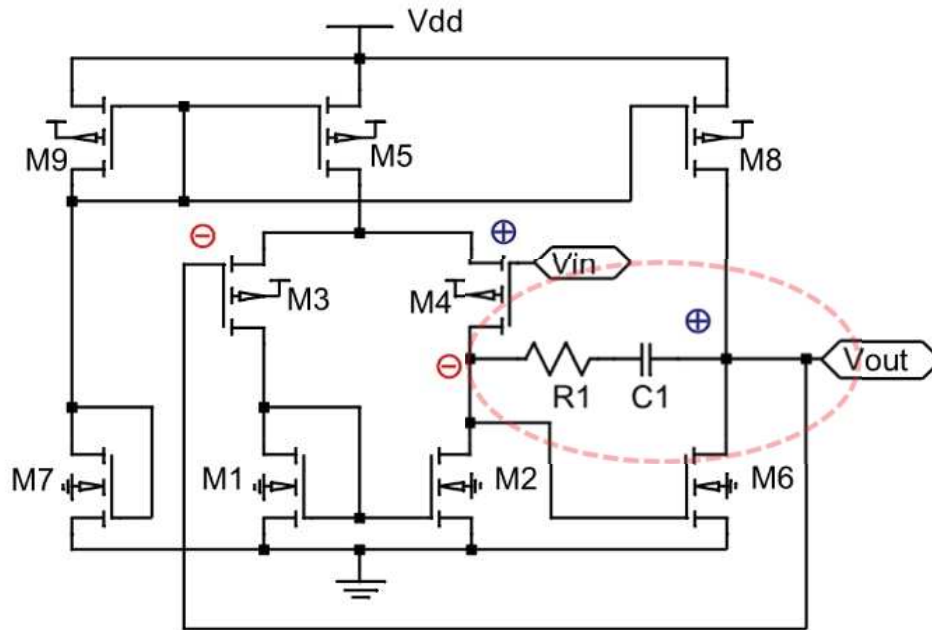
Self-loop function

$$L(\omega) = a_0(j\omega)^4 + a_1(j\omega)^3 + a_2(j\omega)^2 + a_3j\omega$$

3. Ringing Test for Feedback Amplifiers

Unity-Gain Amplifier with Miller's Capacitor

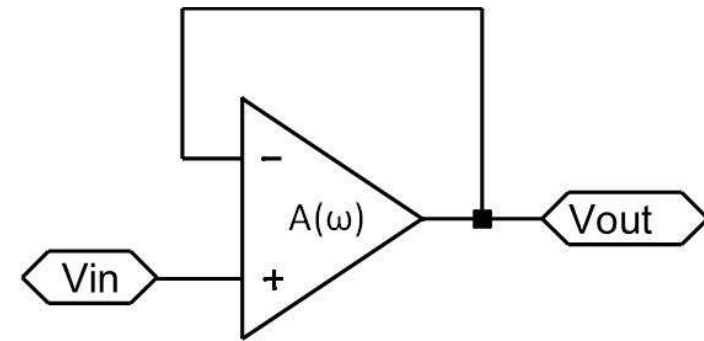
Unity-gain amplifier with Miller's capacitor



Transfer function and self-loop function

$$H(\omega) = \frac{1}{1 + \frac{1}{A(\omega)}} \approx 1; \quad L(\omega) = \frac{1}{A(\omega)};$$

Simplified model



Under-damping:

R1 = 2 kΩ, C1 = 1 pF

Critical damping:

R1 = 3.5 kΩ, C1 = 0.2 pF

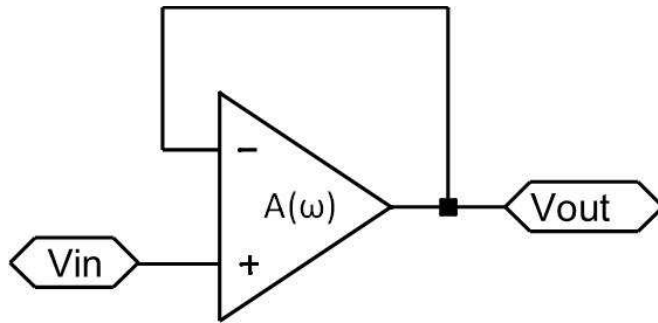
Over-damping:

R1 = 3.5 kΩ, C1 = 0.8 pF

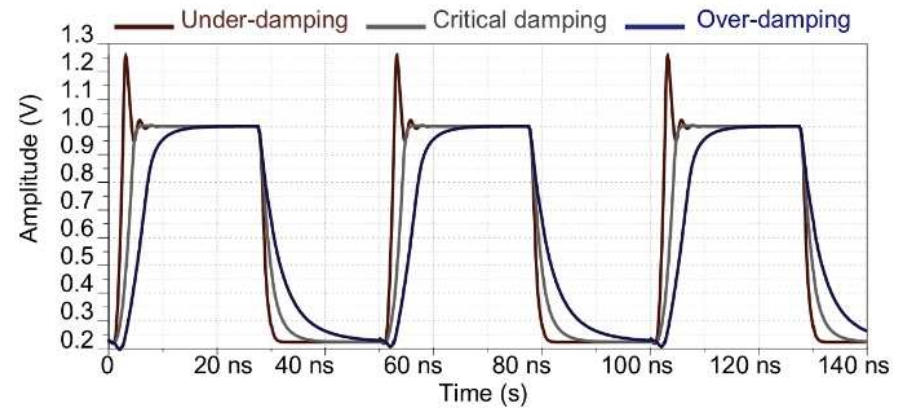
3. Ringing Test for Feedback Amplifiers

Behaviors of Unity-Gain Amplifier

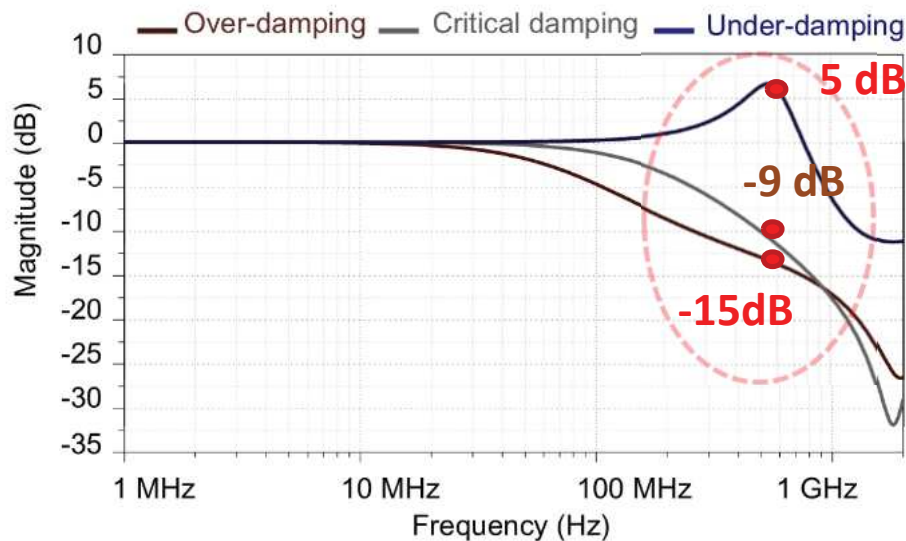
Simplified model of unity gain amplifier



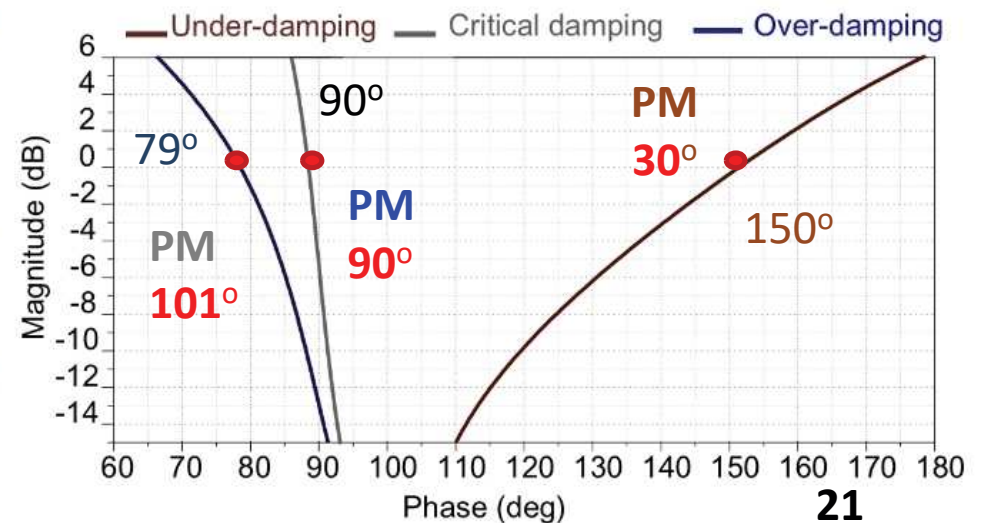
Simulated transient response



Bode plot of transfer function



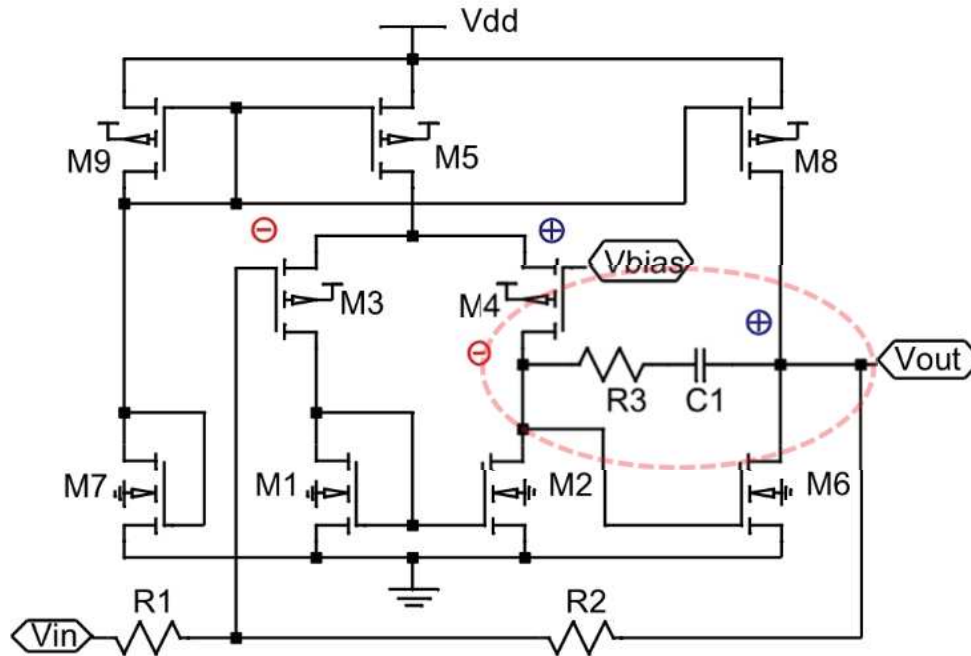
Nichols plot of self-loop function



3. Ringing Test for Feedback Amplifiers

Inverting Amplifier with Miller's Capacitor

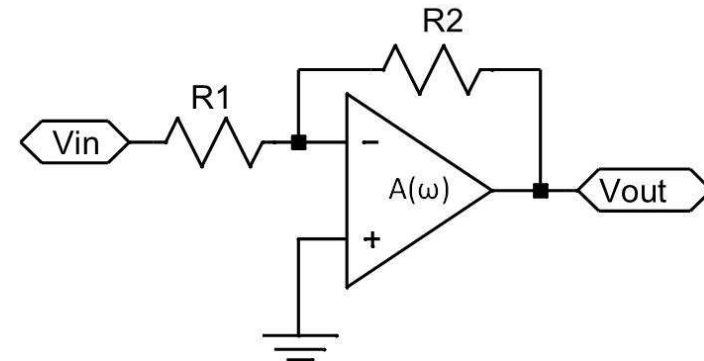
Inverting amplifier



Transfer function and self-loop function

$$H(\omega) = \frac{-\frac{R_2}{R_1}}{1 + L(\omega)} \approx -\frac{R_2}{R_1}; L(\omega) = \frac{1}{A(\omega)} \left(1 + \frac{R_2}{R_1} \right);$$

Simplified model



Under-damping:

$R_3 = 2 \text{ k}\Omega$, $C_1 = 1 \text{ pF}$

Critical damping:

$R_3 = 3.5 \text{ k}\Omega$, $C_1 = 0.2 \text{ pF}$

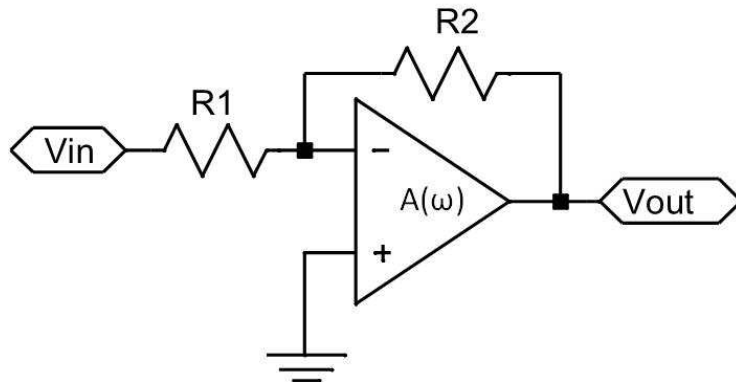
Over-damping:

$R_3 = 3.5 \text{ k}\Omega$, $C_1 = 0.8 \text{ pF}$

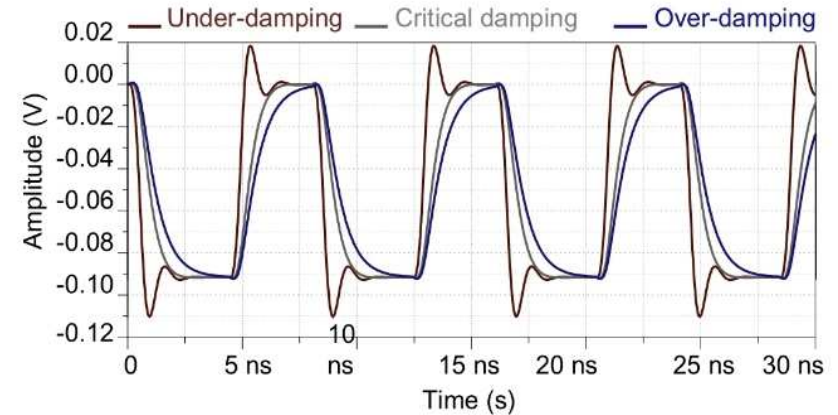
3. Ringing Test for Feedback Amplifiers

Behaviors of Inverting Amplifier

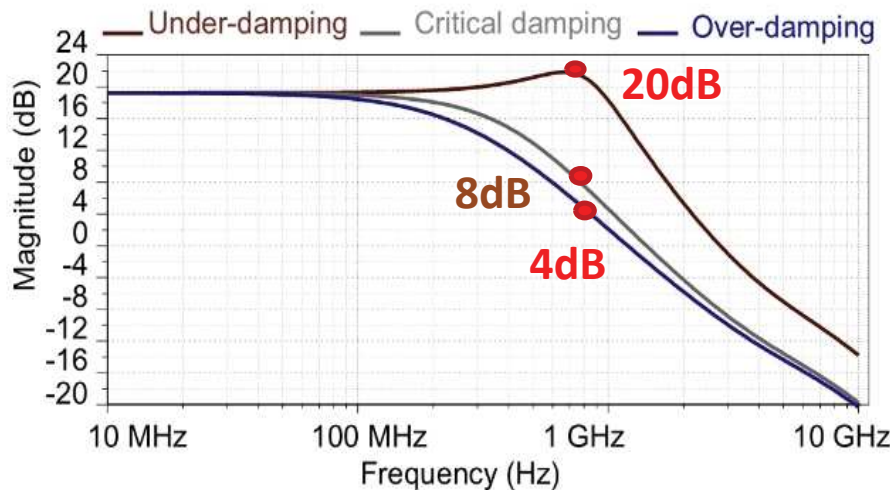
Simplified model of inverting amplifier



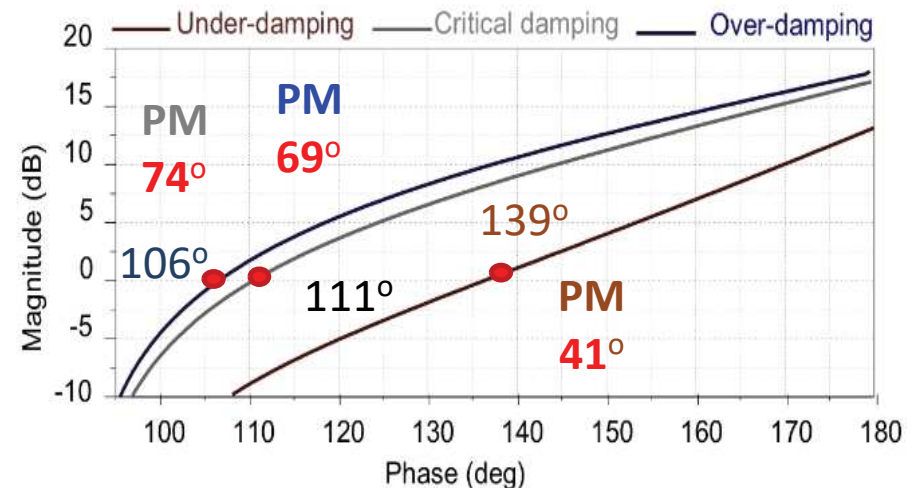
Simulated transient response



Bode plot of transfer function



Nichols plot of self-loop function



Outline

1. Research Background

- Motivation, objectives and achievements
- Self-loop function in a transfer function

2. Analysis of Behaviors of High-order Systems

- Operating regions of high-order systems

3. Ringing Test for Feedback Amplifiers

- Stability test for shunt-shunt feedback amplifiers
- Stability test for unity-gain and inverting amplifiers

4. Ringing Test for High-order Low-Pass Filters

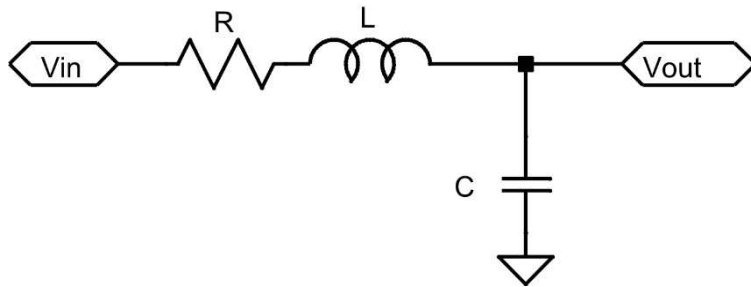
- Stability test for passive and active RLC circuits
- Stability test for Sallen-Key low-pass filters

5. Conclusions

4. Ringing Test for High-order Low-Pass Filters

Analysis of 2nd-Order Passive RLC LPF

Passive RLC Low-pass Filter



Transfer function

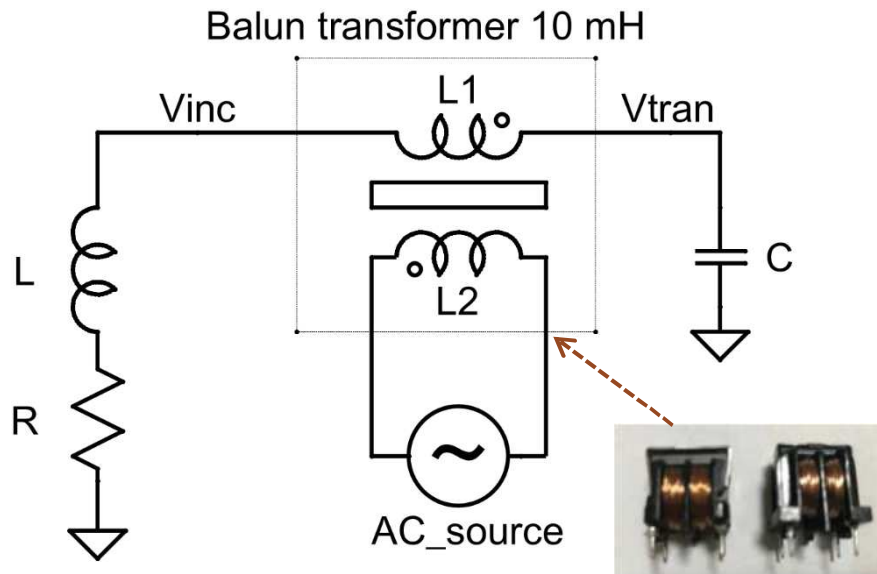
$$H(\omega) = \frac{V_{out}}{V_{in}} = \frac{1}{a_0 (j\omega)^2 + a_1 j\omega + 1};$$

Self-loop function

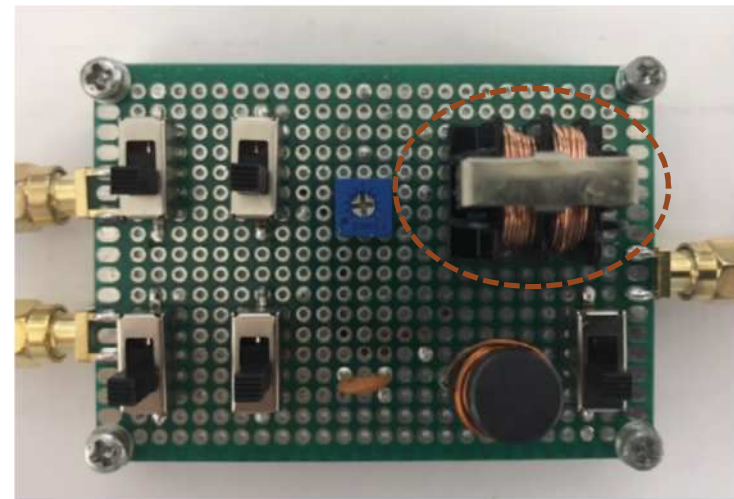
$$L(\omega) = a_0 (j\omega)^2 + a_1 j\omega;$$

where, $a_0 = LC$; $a_1 = RC$;

Derivation of self-loop function



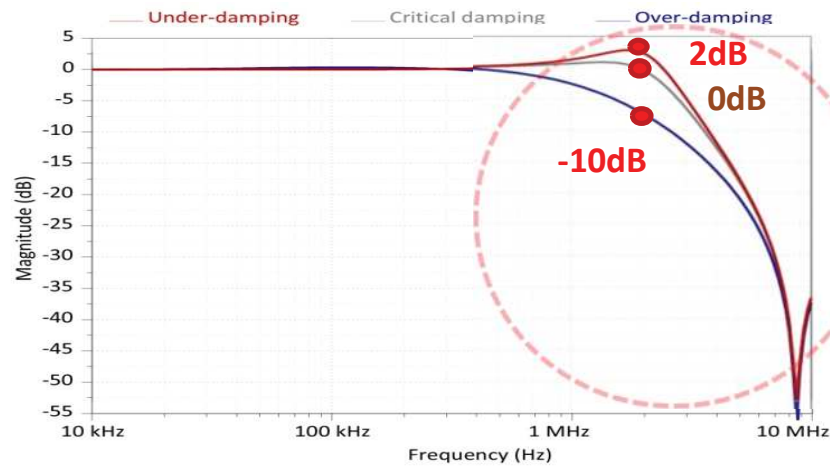
Implemented circuit



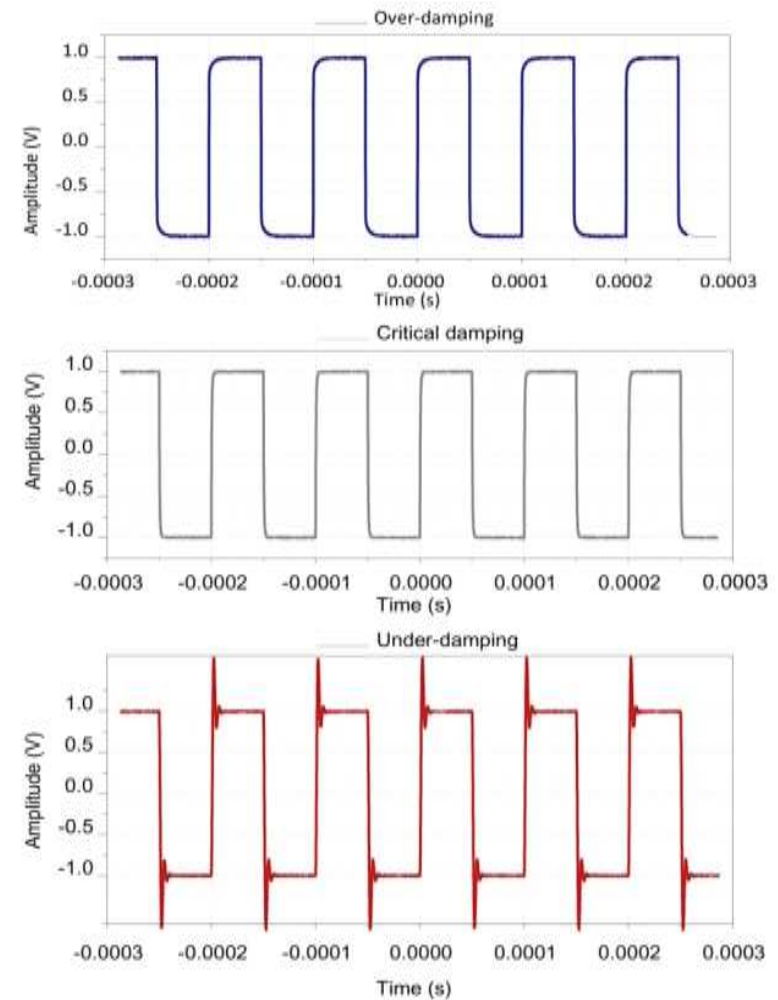
4. Ringing Test for High-order Low-Pass Filters

Measurement Results for 2nd-Order Passive RLC LPF

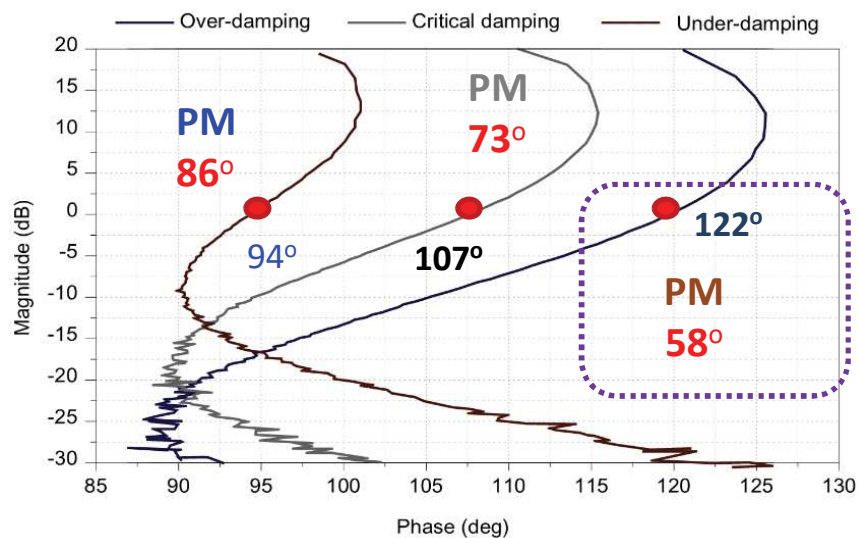
Bode plot of transfer function



Transient responses



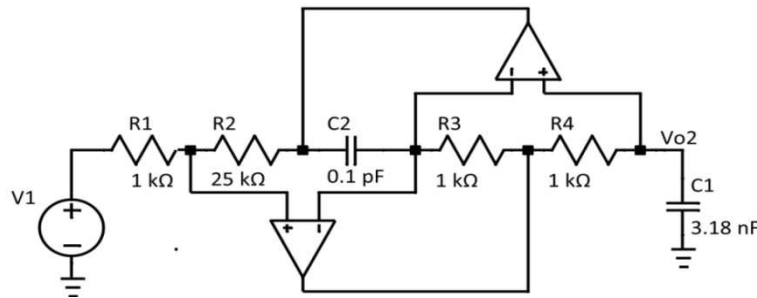
Nichols plot of self-loop function



4. Ringing Test for High-order Low-Pass Filters

Stability Test for 2nd-Order Active Ladder LPF

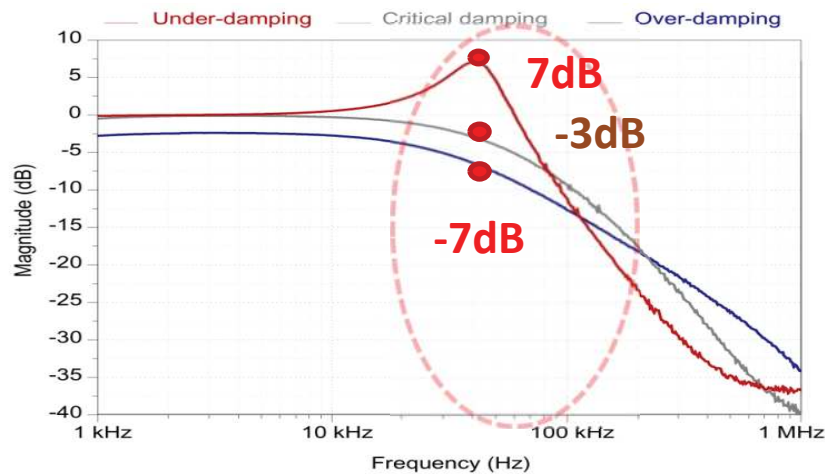
Active ladder low-pass filter



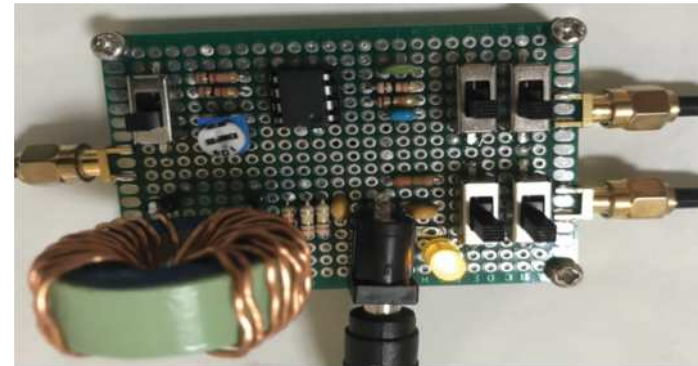
Transfer function

$$H(\omega) = \frac{V_{out}}{V_{in}} = \frac{1}{a_0 (j\omega)^2 + a_1 j\omega + 1};$$

Bode plot of transfer function



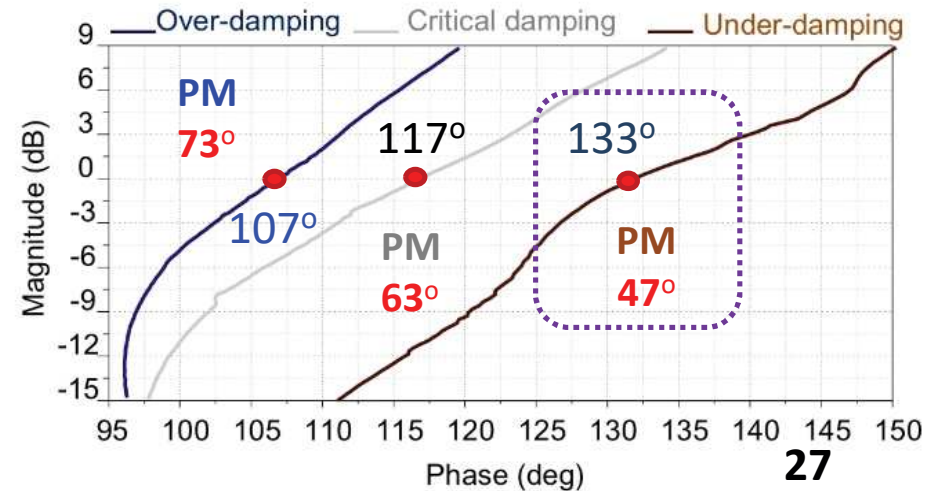
Implemented circuit



Self-loop function

$$L(\omega) = a_0 (j\omega)^2 + a_1 j\omega;$$

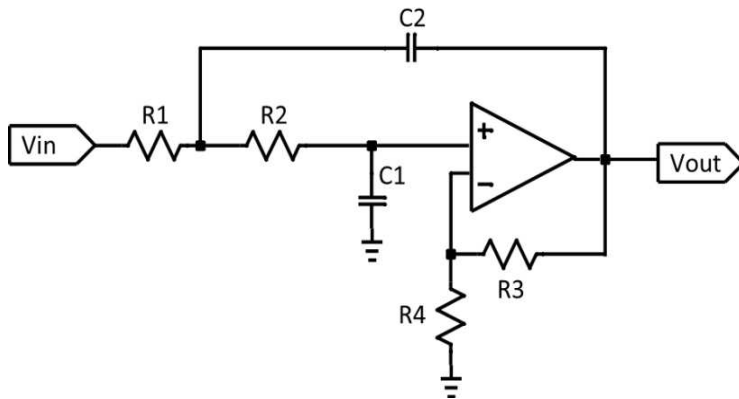
Nichols plot of self-loop function



4. Ringing Test for High-order Low-Pass Filters

Analysis of 2nd-Order Sallen-Key low-pass LPF

Single ended Sallen-Key low-pass LPF Transfer function & self-loop function

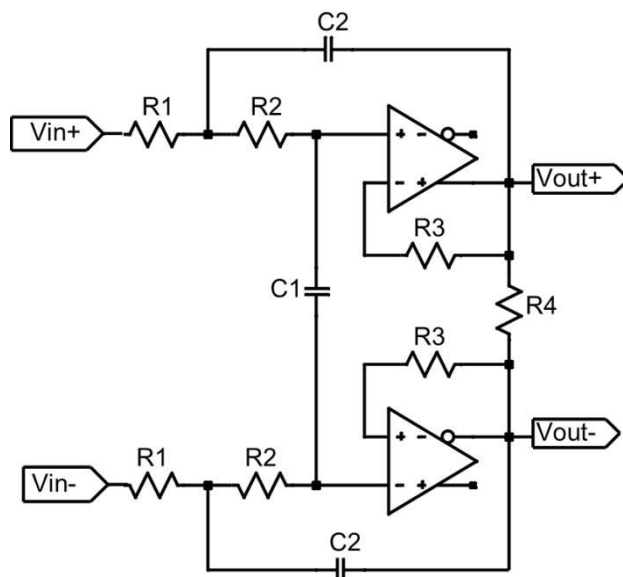


$$H(\omega) = \frac{b_0}{a_0(j\omega)^2 + a_1j\omega + 1};$$

$$L(\omega) = a_0(j\omega)^2 + a_1j\omega;$$

where, $b_0 = 1 + \frac{R_3}{R_4};$

Fully differential Sallen-Key low-pass LPF



$$a_0 = R_1 C_1 R_2 C_2; a_1 = C_1 (R_1 + R_2) - \frac{R_3}{R_4} R_1 C_2;$$

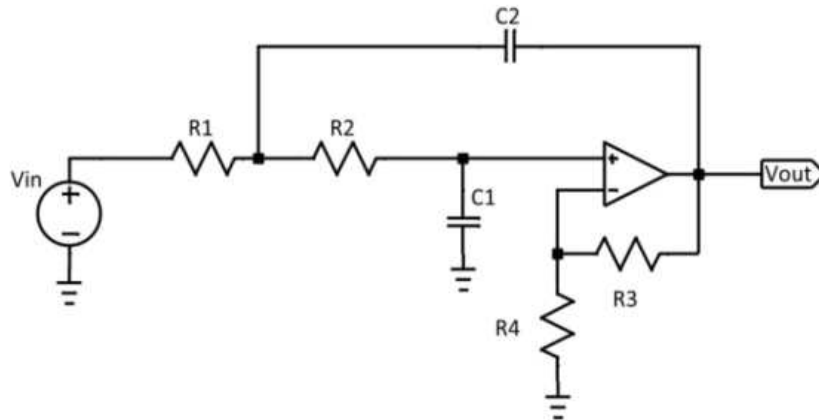
R1 = R2 = 10 kΩ, R3 = 100 Ω, R4 = 100 kΩ, C2 = 2 nF, at f₀ = 25 kHz.

- **Over-damping (C1 = 3 nF),**
- **Critical damping (C1 = 1.5 nF), and**
- **Under-damping (C1 = 0.2 nF).**

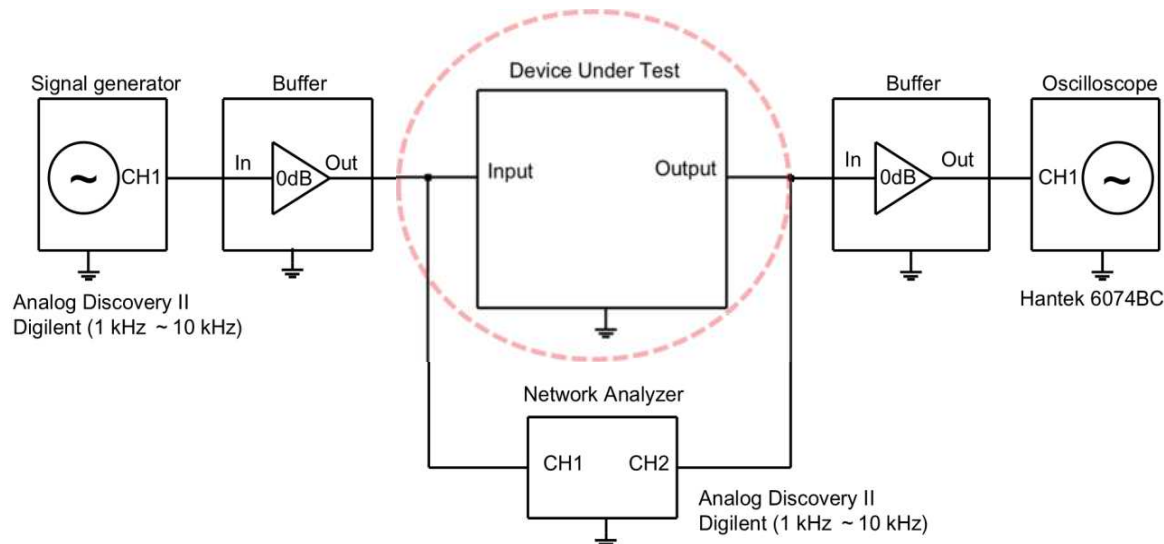
4. Ringing Test for High-order Low-Pass Filters

Measurement Setup for Sallen-Key low-pass LPF

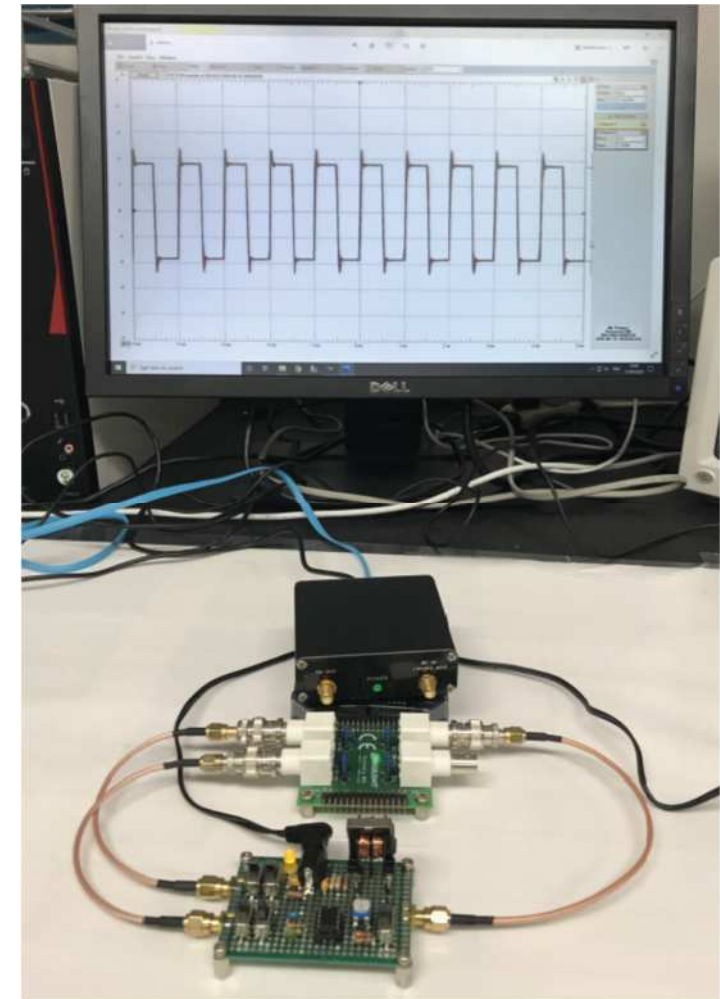
Schematic of Sallen-Key low-pass LPF



System Under Test



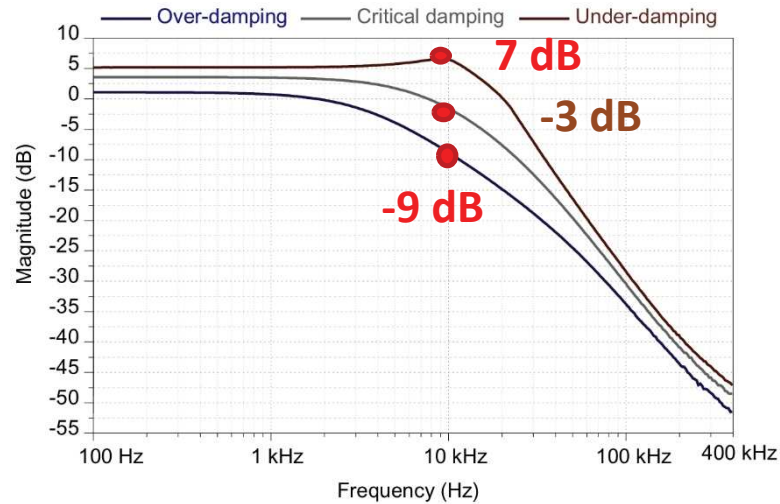
Measurement set up



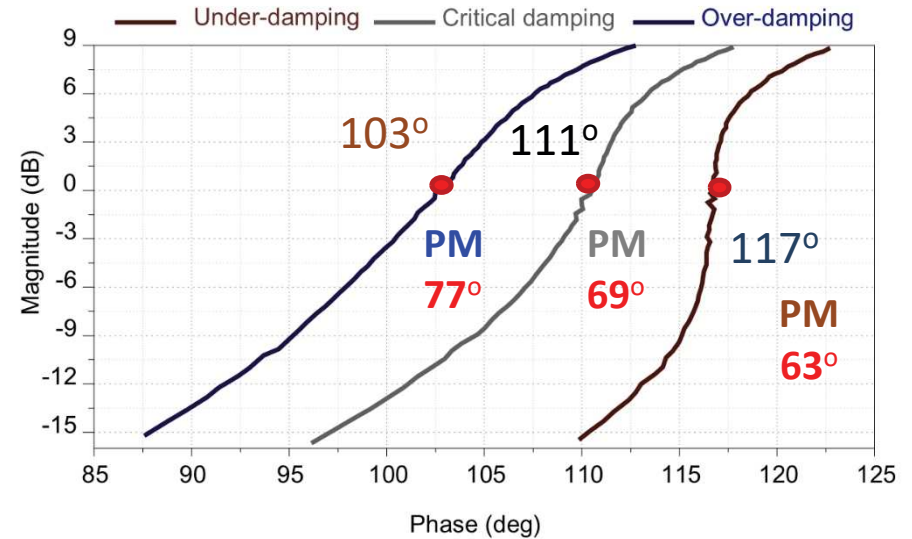
4. Ringing Test for High-order Low-Pass Filters

Measurement Results of Sallen-Key LPF

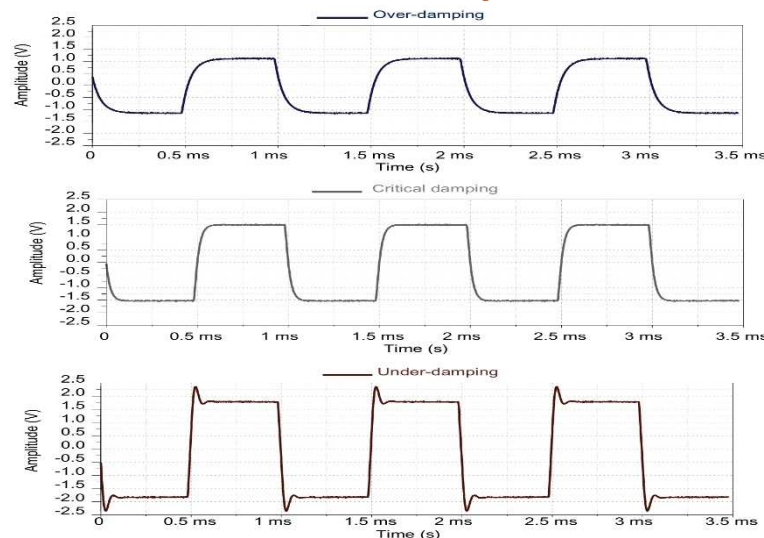
Bode plot of transfer function



Nichols plot of self-loop function



Transient response



Over-damping:

→ Phase margin is **77** degrees.

Critical damping:

→ Phase margin is **69** degrees.

Under-damping:

→ Phase margin is **63** degrees.

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- Stability test for passive and active RLC circuits
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5. Conclusions

5. Limitations of Conventional Methods

- **Middlebrook's measurement of loop gain**
→ Applying only in feedback systems (**DC-DC converters**).
- **Replica measurement of loop gain**
→ Using two identical networks (**not real measurement**).
- **Nyquist's stability condition**
→ Theoretical analysis for feedback systems (**Lab tool**).
- **Nichols chart of loop gain**
→ Only used in feedback control theory (**Lab tool**).

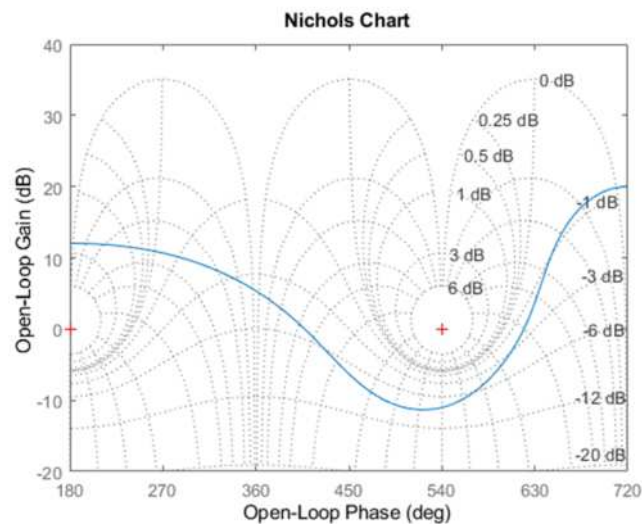
5. Comparison

Features	Comparison measurement	Alternating current conservation	Replica measurement	Middlebrook's method
Main objective	Self-loop function	Self-loop function	Loop gain	Loop gain
Transfer function accuracy	Yes	Yes	No	No
Breaking feedback loop	No	Yes	Yes	Yes
Operating region accuracy	Yes	Yes	No	No
Phase margin accuracy	Yes	Yes	No	No
Passive networks	Yes	Yes	No	No

5. Discussions

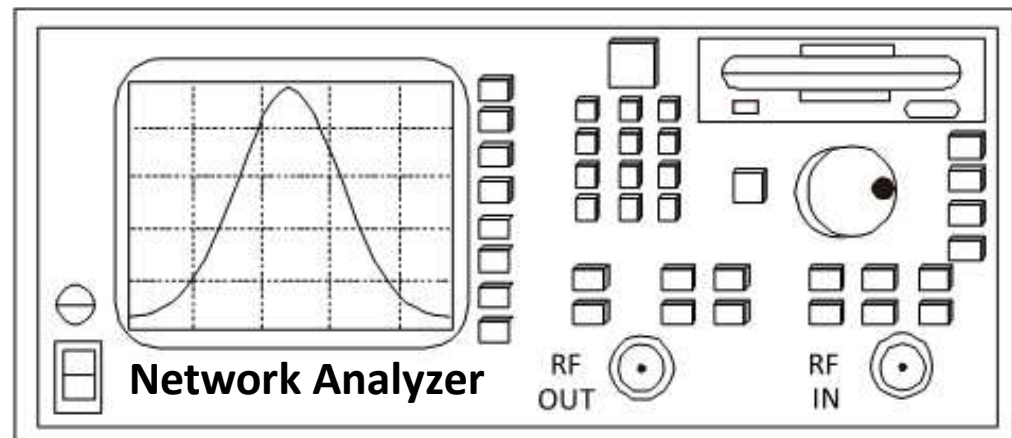
- Loop gain is **independent of** frequency variable.
- Loop gain in adaptive feedback network is **significantly different from** self-loop function in linear negative feedback network.

Nichols chart is **only used** in **MATLAB simulation**.



<https://www.mathworks.com/help/control/ref/nichols.html>

Nichols chart **isn't** used **widely** in practical measurements **(only used in control theory)**.



➔ **(Technology limitations)**

5. Conclusions

This work:

- **Proposal of comparison measurement for deriving self-loop function in a transfer function**
 - **Observation of self-loop function** can help us **optimize the behavior of a high-order system.**
- **Implementation of circuit and measurements of self-loop functions for high-order feedback amplifiers.**
 - **Theoretical concepts of stability test** are verified by **laboratory simulations and practical experiments.**

Future of work:

- **Stability test for parasitic components** in transmission lines, printed circuit boards, physical layout layers

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Thank you very much!

谢谢

