

ATS Doctoral Thesis Award



Virtual Event Hosted by Japan, Nov. 22-24, 2021

Semi-Final of 2022 TTTC's E. J. McCluskey Doctoral Thesis Award

Histogram Method for Efficient ADC Linearity Test: Input Signal for Code Selection and Ratio of Input and Sampling Frequencies

Student: Yujie Zhao

Supervisor: Prof. Haruo Kobayashi

Division of Electronics and Informatics,
Gunma University, Japan



Outline

- **1. Research Background and Objective**
- **2. ADC Input Signal**
for Code Selective ADC Histogram Test Method
 - **ADC Test with Histogram Method and Waveform Missing**
 - **Combine Multiple Sine Waves**
 - **General Two-Tone Input Signal**
- **3. Ratio of Input and Sampling Frequencies**
for Efficient ADC Histogram Test Method
 - **Golden Ratio Sampling**
 - **Metallic Ratio Sampling**
 - **Prime Number Ratio Sampling**
- **4. Conclusion**



Outline

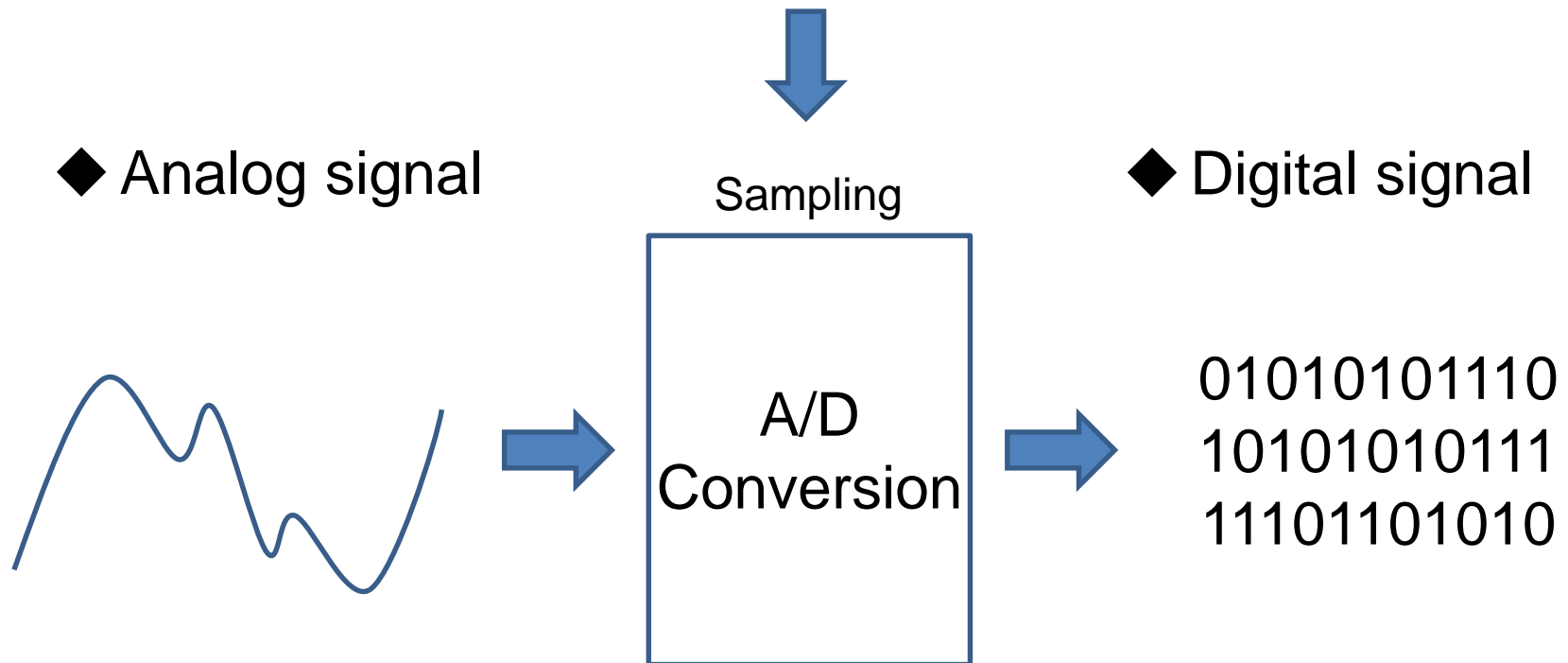
- **1. Research Background and Objective**
- **2. ADC Input Signal**
 - for Code Selective ADC Histogram Test Method
 - ADC Test with Histogram Method and Waveform Missing
 - Combine Multiple Sine Waves
 - General Two-Tone Input Signal
- **3. Ratio of Input and Sampling Frequencies**
 - for Efficient ADC Histogram Test Method
 - Golden Ratio Sampling
 - Metallic Ratio Sampling
 - Prime Number Ratio Sampling
- **4. Conclusion**



Background

IoT era is coming !

ADC is a key component



High quality & low cost ADC test is required



Research Objective

SAR ADC linearity test takes a long time

- low-speed sampling
- high-resolution



Test cost → Proportional to test time

Development of
efficient ADC testing method with histogram



Our Approach

ADC linearity test with histogram method

➔ Test time reduction

- Code selective histogram method

Two-tone input signal:

Output code concentration on codes where ADC nonlinearity likely occur

- Ratio of input and sampling frequencies of ramp input for better test accuracy

Metallic ratio, prime number ratio



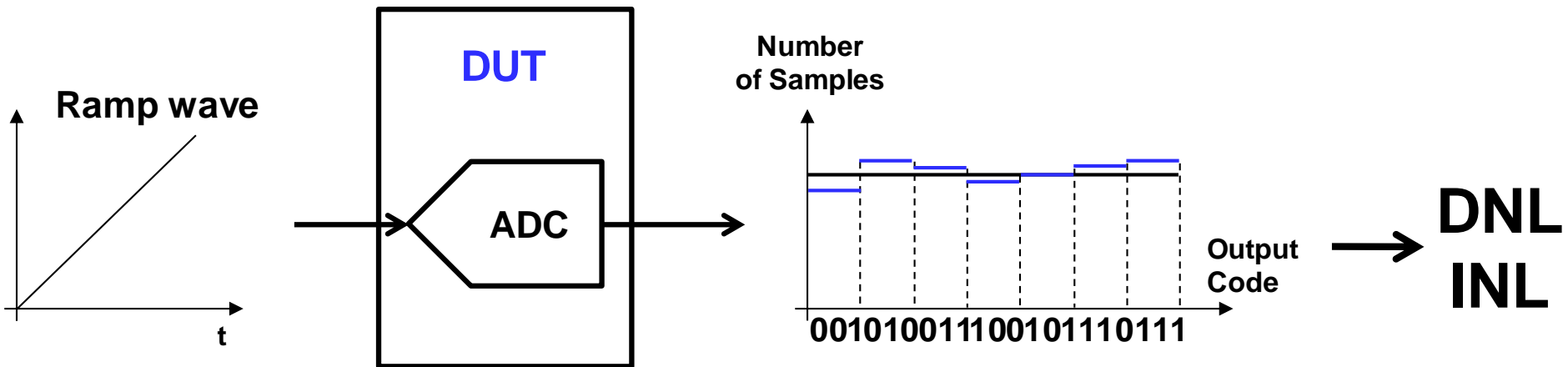
Outline

- 1. Research Background and Objective
- **2. ADC Input Signal**
for Code Selective ADC Histogram Test Method
 - **ADC Test with Histogram Method and Waveform Missing**
 - Combine Multiple Sine Waves
 - General Two-Tone Input Signal
- 3. Ratio of Input and Sampling Frequencies
for Efficient ADC Histogram Test Method
 - Golden Ratio Sampling
 - Metallic Ratio Sampling
 - Prime Number Ratio Sampling
- 4. Conclusion



Conventional Linearity Testing 1

■ Histogram method (**Ramp wave input**)

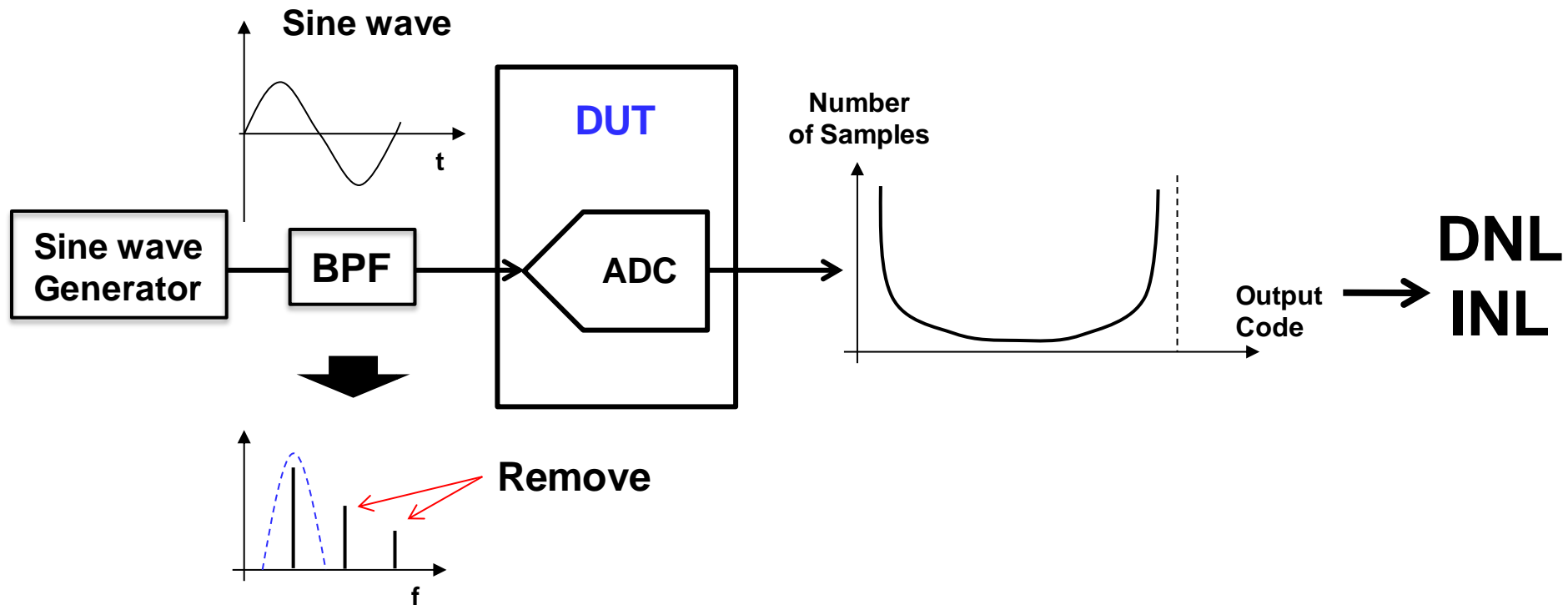


- if ADC is perfectly linear →
“ADC output histograms for all bins → Equal”
- **Highly linear ramp signal generation → Difficult**
(limitation up to 14-bit ADC)



Conventional Linearity Testing 2

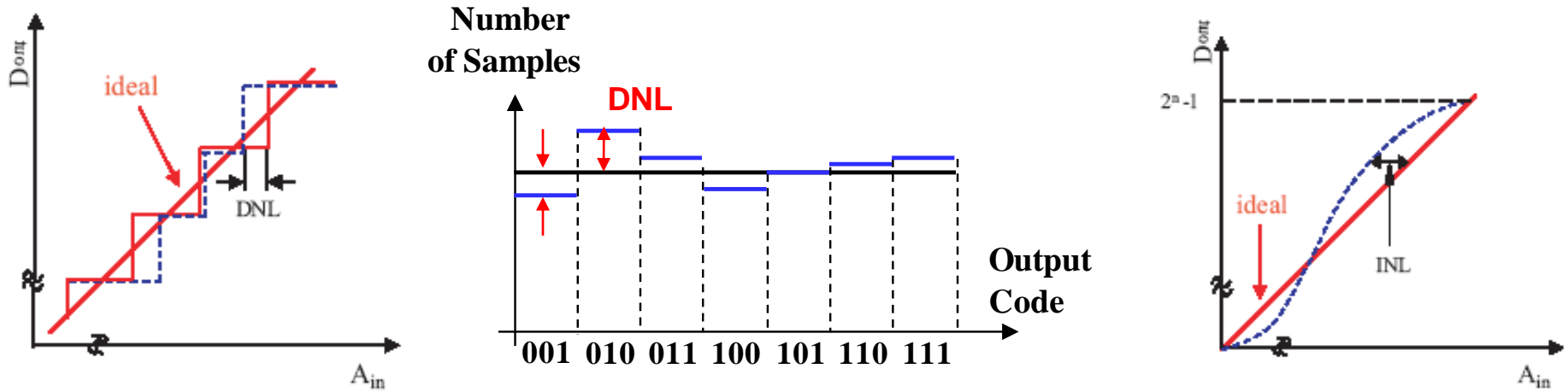
■ Histogram method (Single sine wave input)



- Low distortion sine using an analog filter
- Number of samples is small around the middle of output range → **Many samples required (long test time)**



DNL & INL



- Important ADC testing items

DNL : Difference between actual step width and ideal value

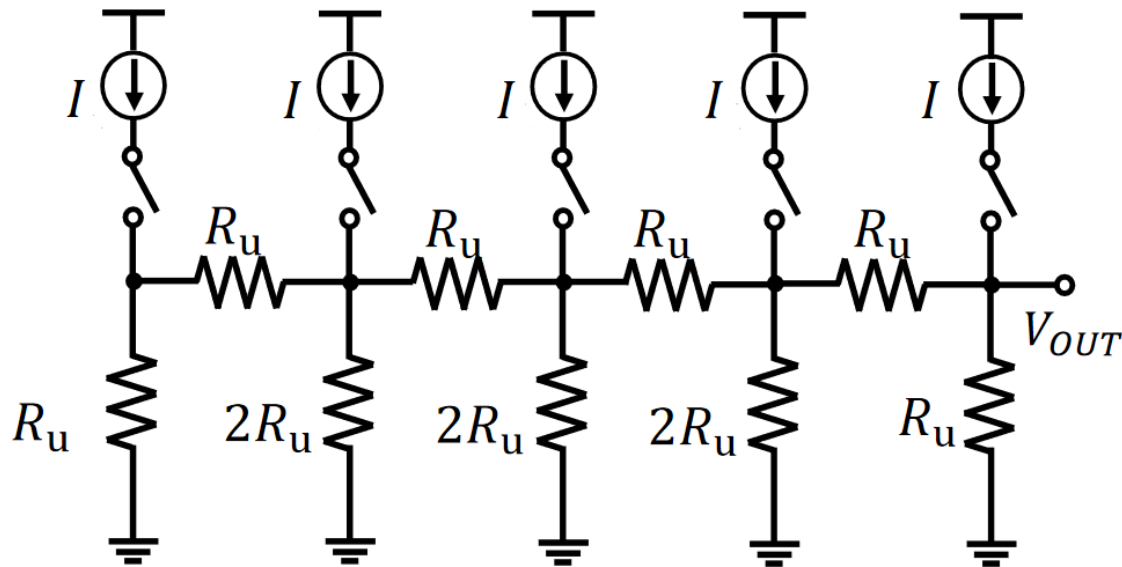
INL : Deviation from ideal conversion line

$$INL(k) = \sum_{i=1}^k DNL(i)$$



DAC Inside ADC

DAC linearity inside ADC → Entire ADC linearity



R-2R ladder network DAC.

Target SAR ADC under test → Binary-weighted DAC inside.

In 10-bit case, large DNL →

At digital codes of 512, 256, 768, 128, 384, 640, 896, ...



ADC Codes Prone to Non-linearity

Increase the number of histogram points in these codes.
→ Measure DNL with high accuracy.

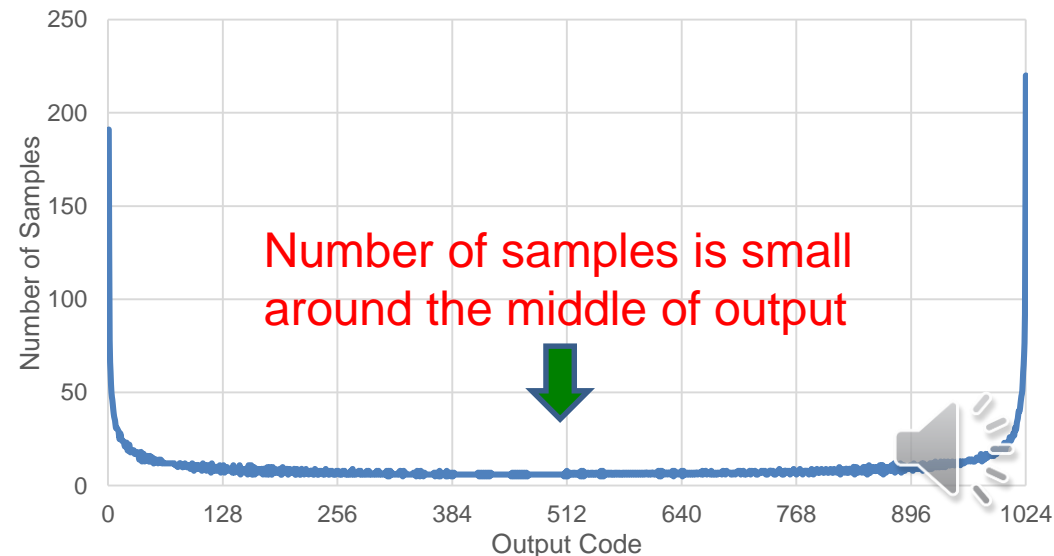
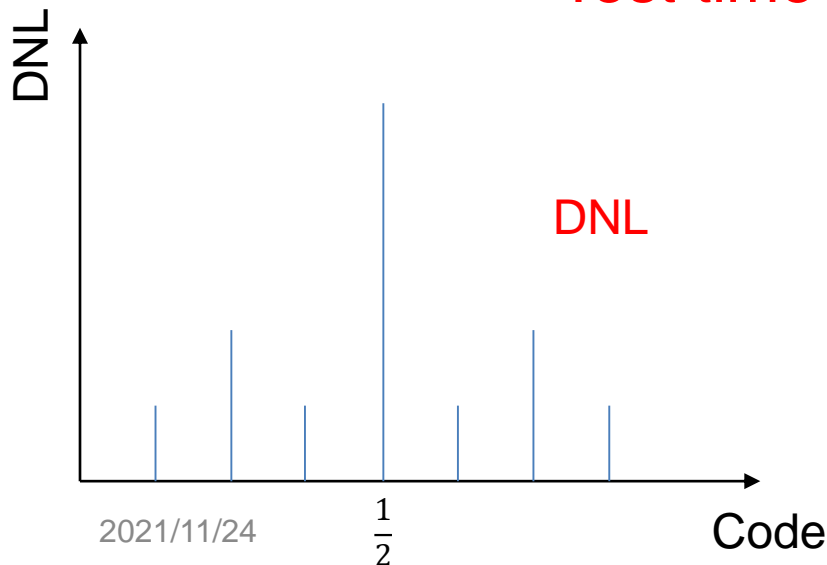
All codes of ADC digital output during testing.



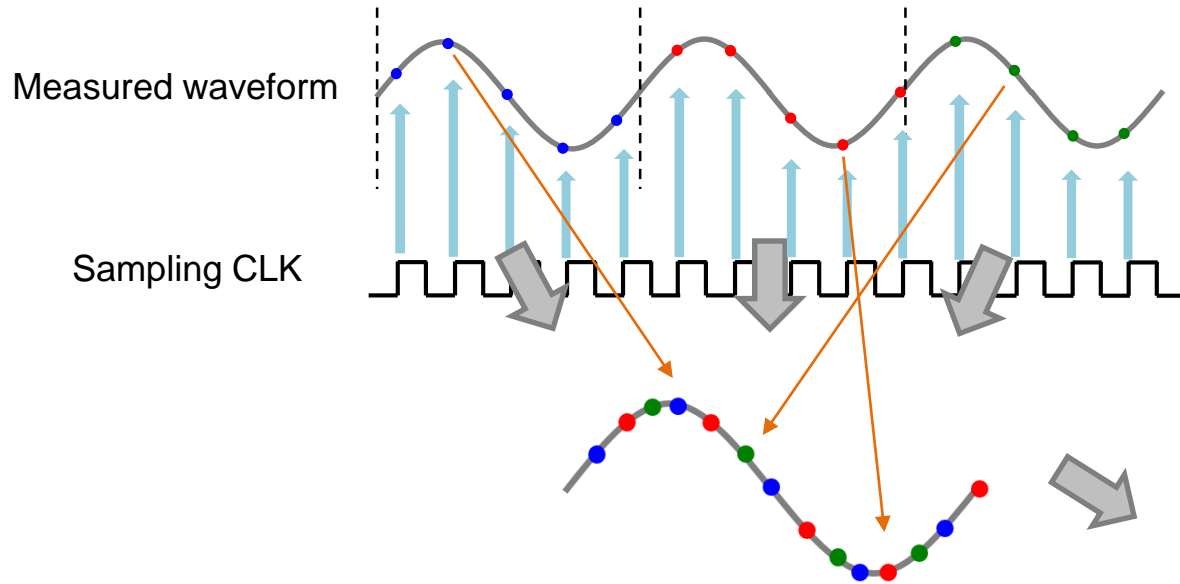
Focus on specific codes prone to non-linearity.



Test time can be shortened.



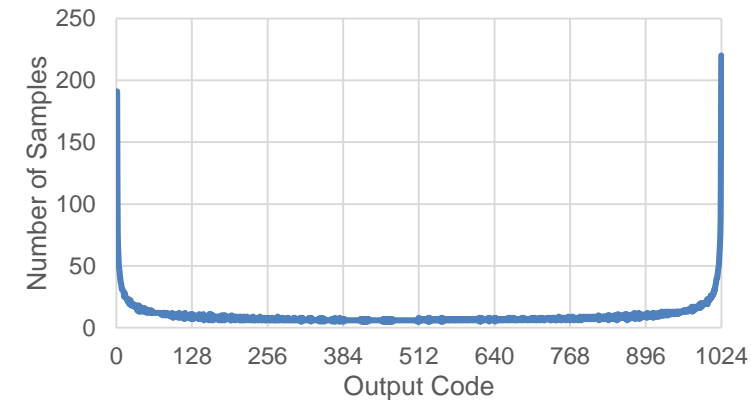
Sine Wave Histogram



- Sampled histogram is compared with **PDF**.
- Histogram is obtained. - DNL , INL are calculated.

PDF:
Probability Distribution Function

$$p(v) = \frac{1}{\pi\sqrt{A^2 - v^2}}$$



Repetitive waveform sampled asynchronously



Reconstruct a 1-period waveform

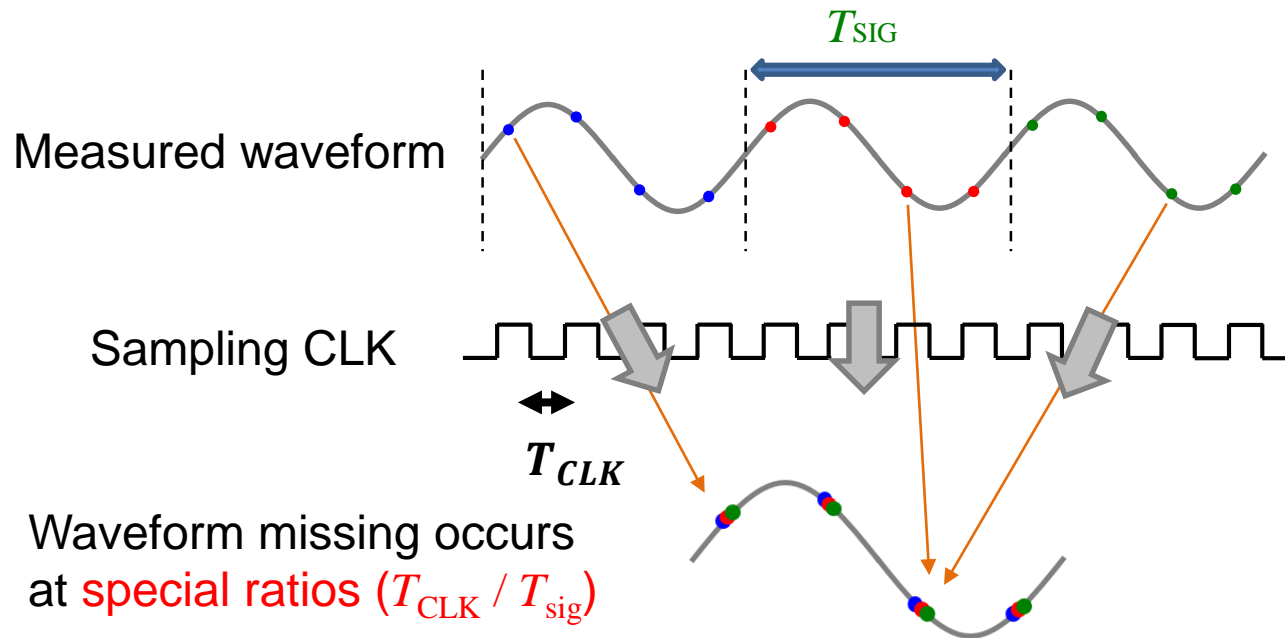


Waveform Missing Phenomena

Repetitive waveform sampled asynchronously



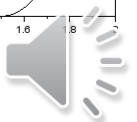
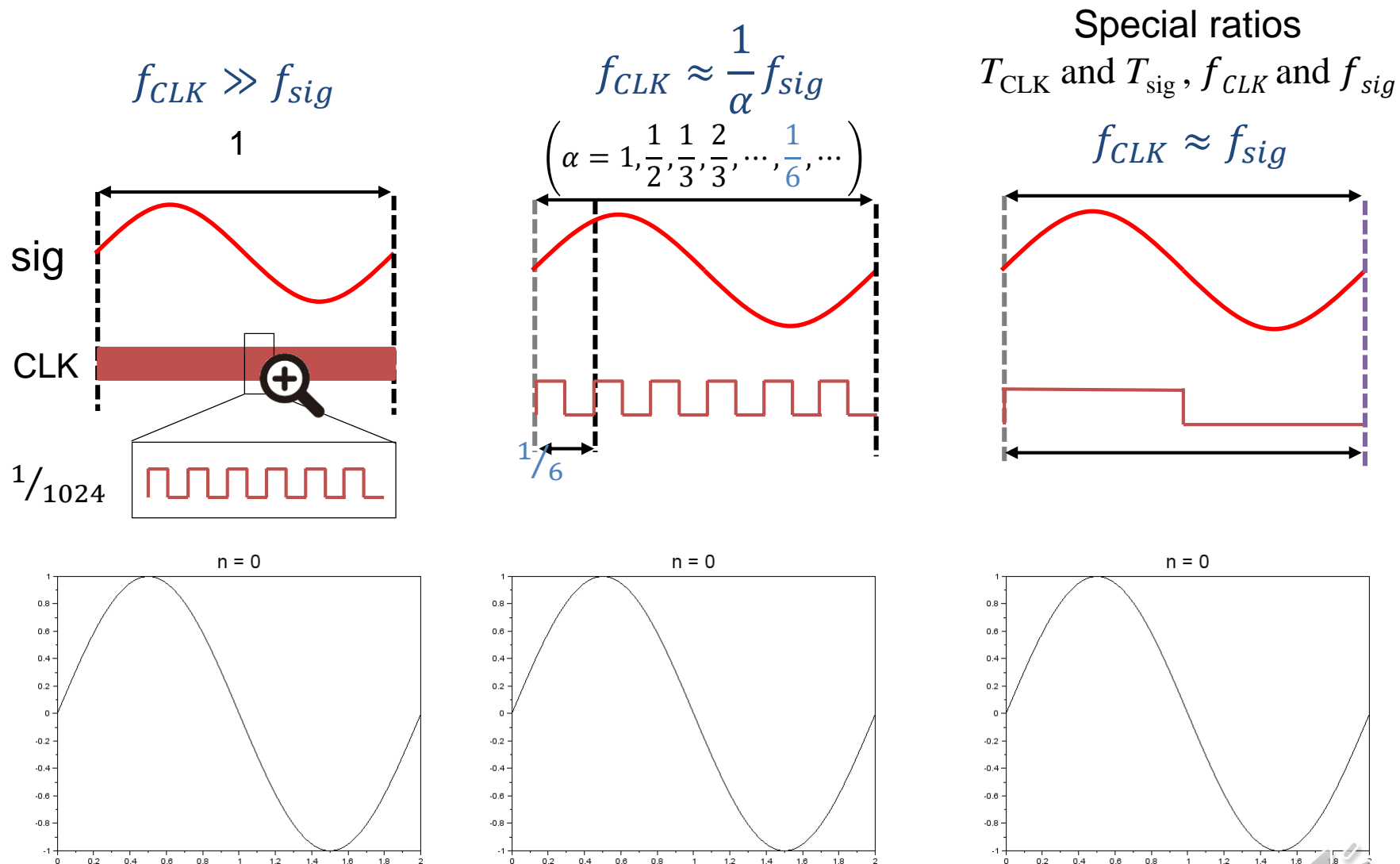
Reconstruct a 1-period waveform



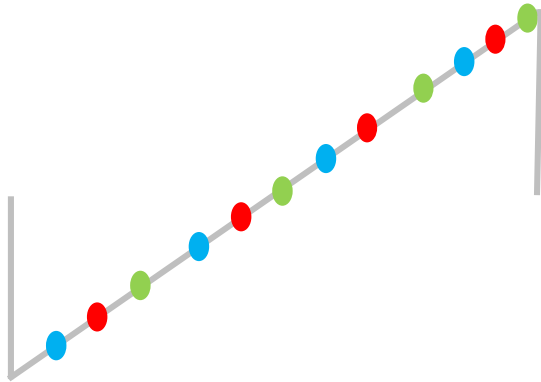
A large amount of data are required to reconstruct the waveform → Test time: long



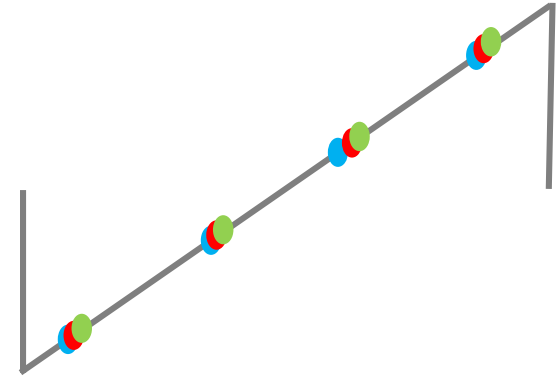
Waveform Missing for Sine Signal



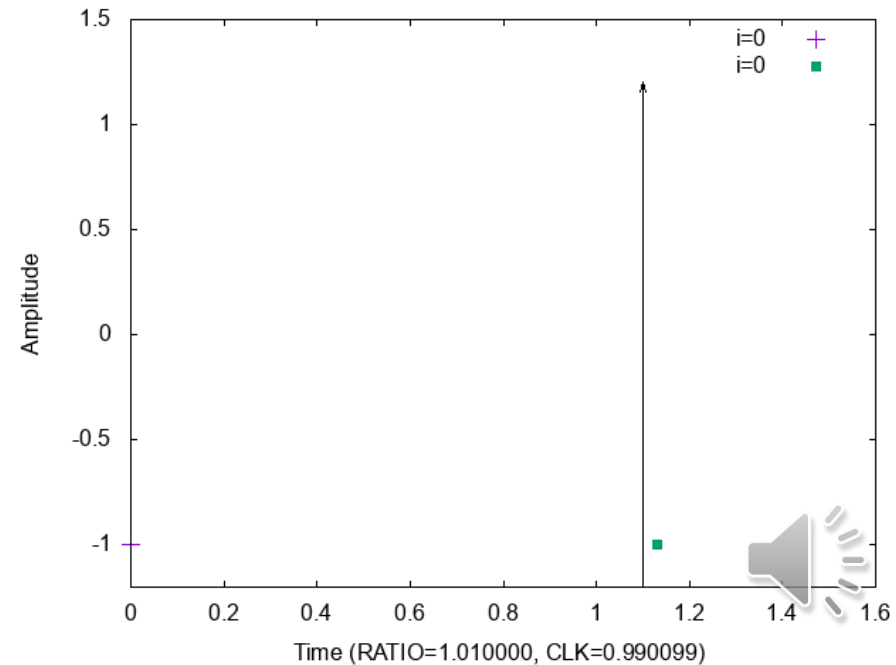
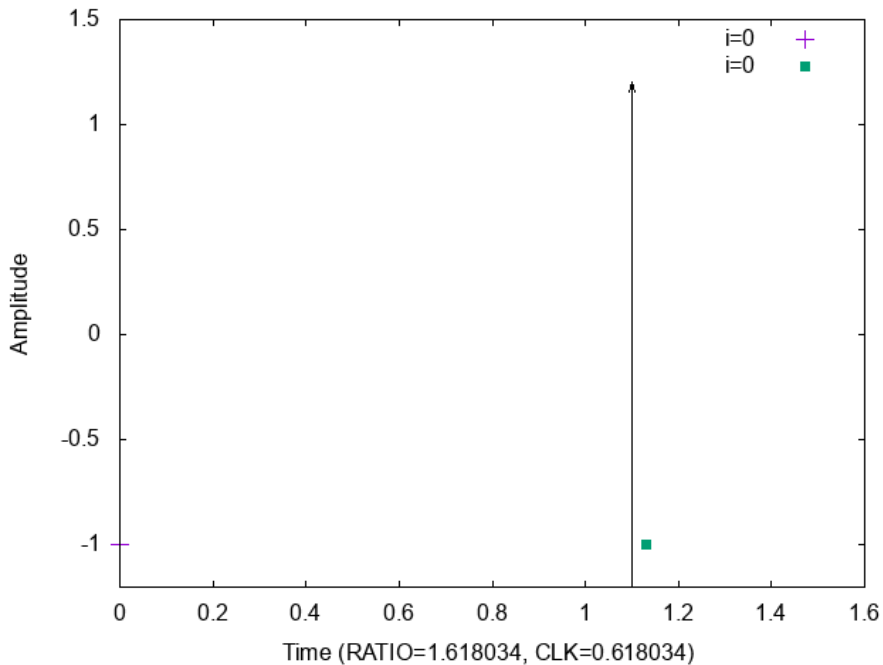
Waveform Missing for Saw Signal



Normal situation

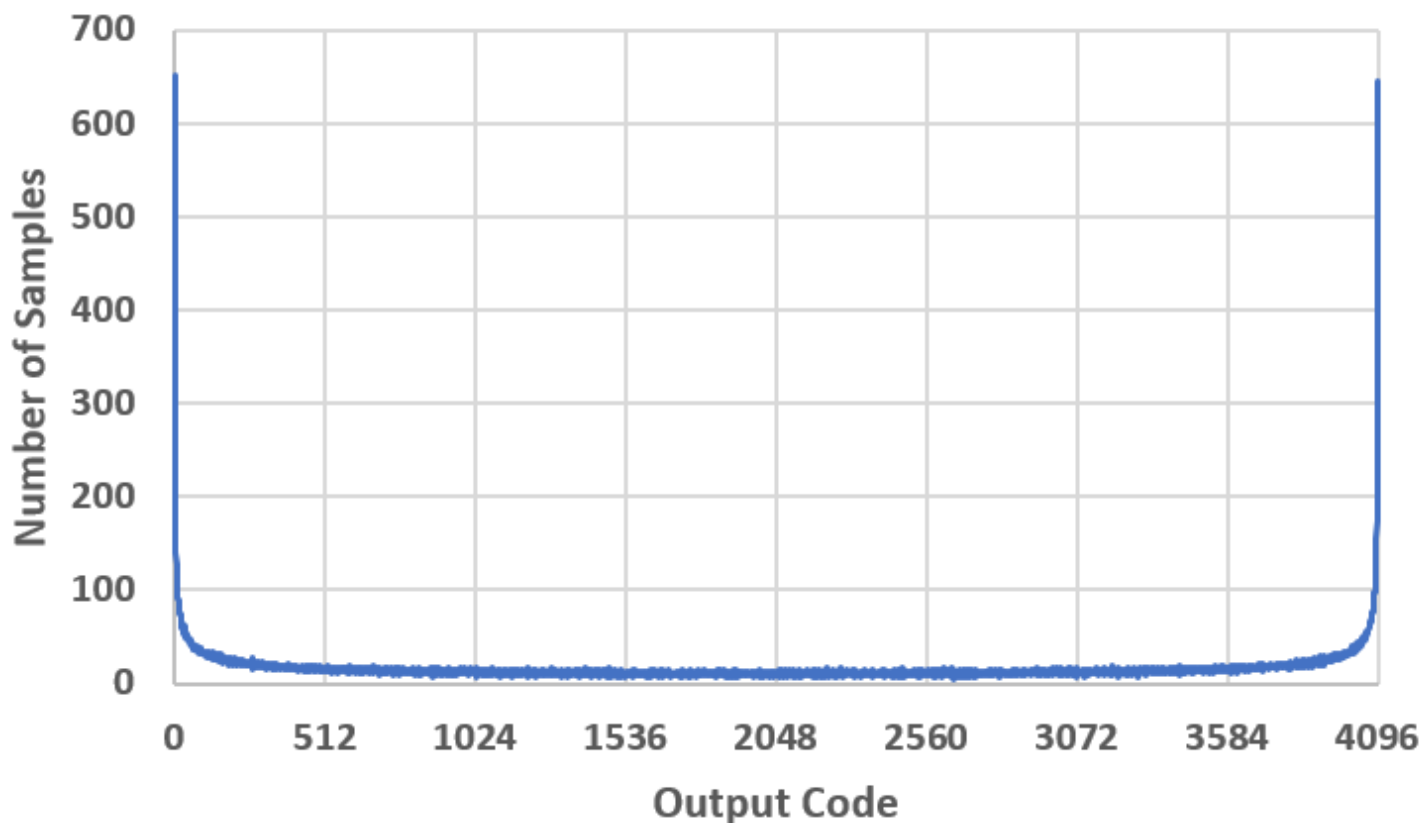


Waveform Missing



Use Waveform Missing

Using waveform missing → Focus on specific codes

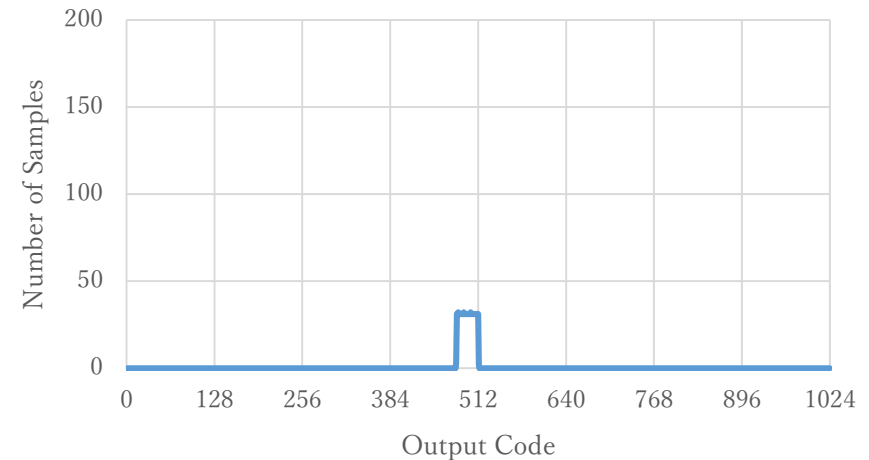
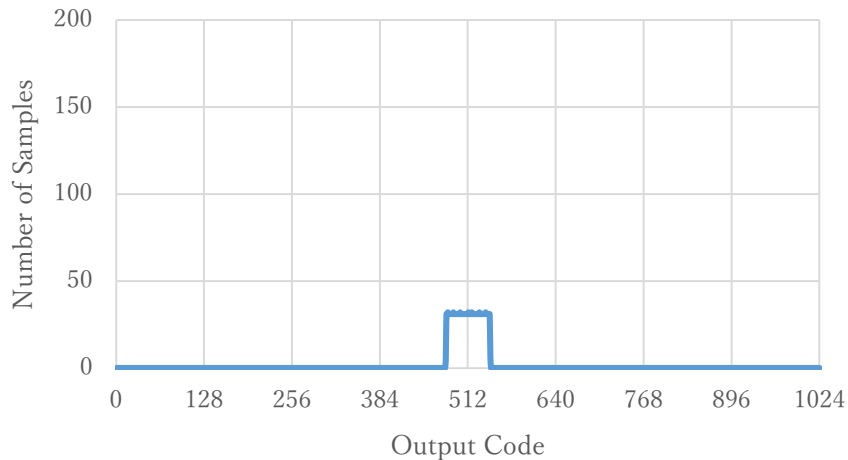


Sine Wave Histogram



Examples of Using Waveform Missing

Histogram can be focused on specific codes:



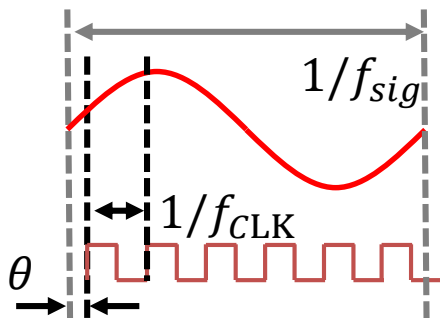
$$f_{CLK} = f_{sig} \times \frac{2 \times (1 + 100000)}{100000}$$

$$f_{CLK} = f_{sig} \times \frac{1 + 100000}{100000}$$



Problem of Using Waveform Missing

	$f_{CLK} = f_{sig} \times \frac{2 \times (1 + 100000)}{100000}$	$f_{CLK} = f_{sig} \times \frac{1 + 100000}{100000}$
$\theta = \pi/2 \times 0.5$		
$\theta = \pi/2 \times 0.9$		



$f_{CLK} = f_{sig} \times \bullet \rightarrow$ Concentration
 $\theta \rightarrow$ Position to concentrate
 $\} \rightarrow$ Can be adjusted



For implementation, free adjust $\theta \rightarrow$ difficult



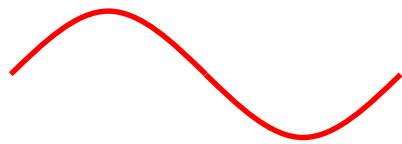
Outline

- 1. Research Background and Objective
- **2. ADC Input Signal**
for Code Selective ADC Histogram Test Method
 - ADC Test with Histogram Method and Waveform Missing
 - **Combine Multiple Sine Waves**
 - General Two-Tone Input Signal
- 3. Ratio of Input and Sampling Frequencies
for Efficient ADC Histogram Test Method
 - Golden Ratio Sampling
 - Metallic Ratio Sampling
 - Prime Number Ratio Sampling
- 4. Conclusion



Our Previous research

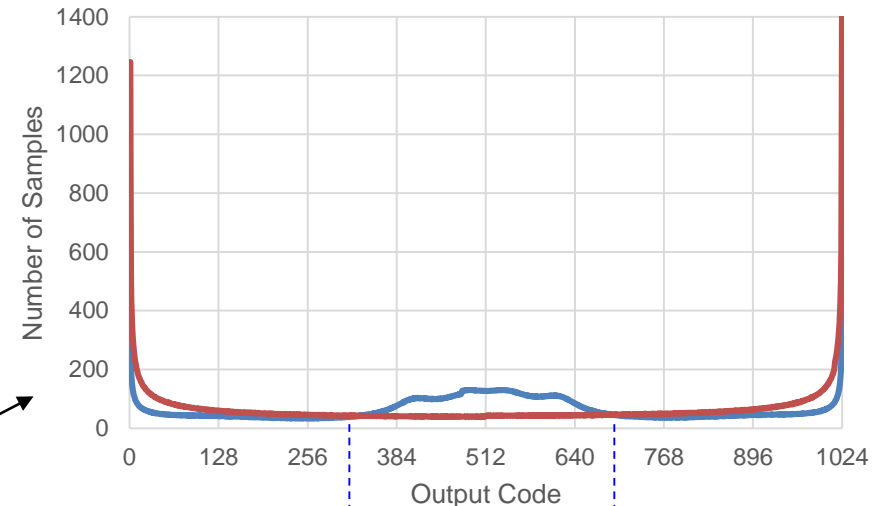
Single sine wave (red)



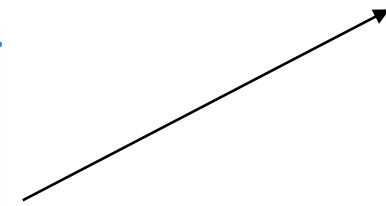
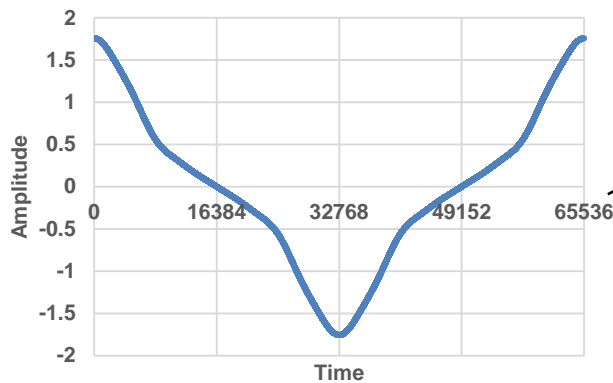
$$f(t) = \sin \omega t$$



histogram



Two-tone signal (blue)



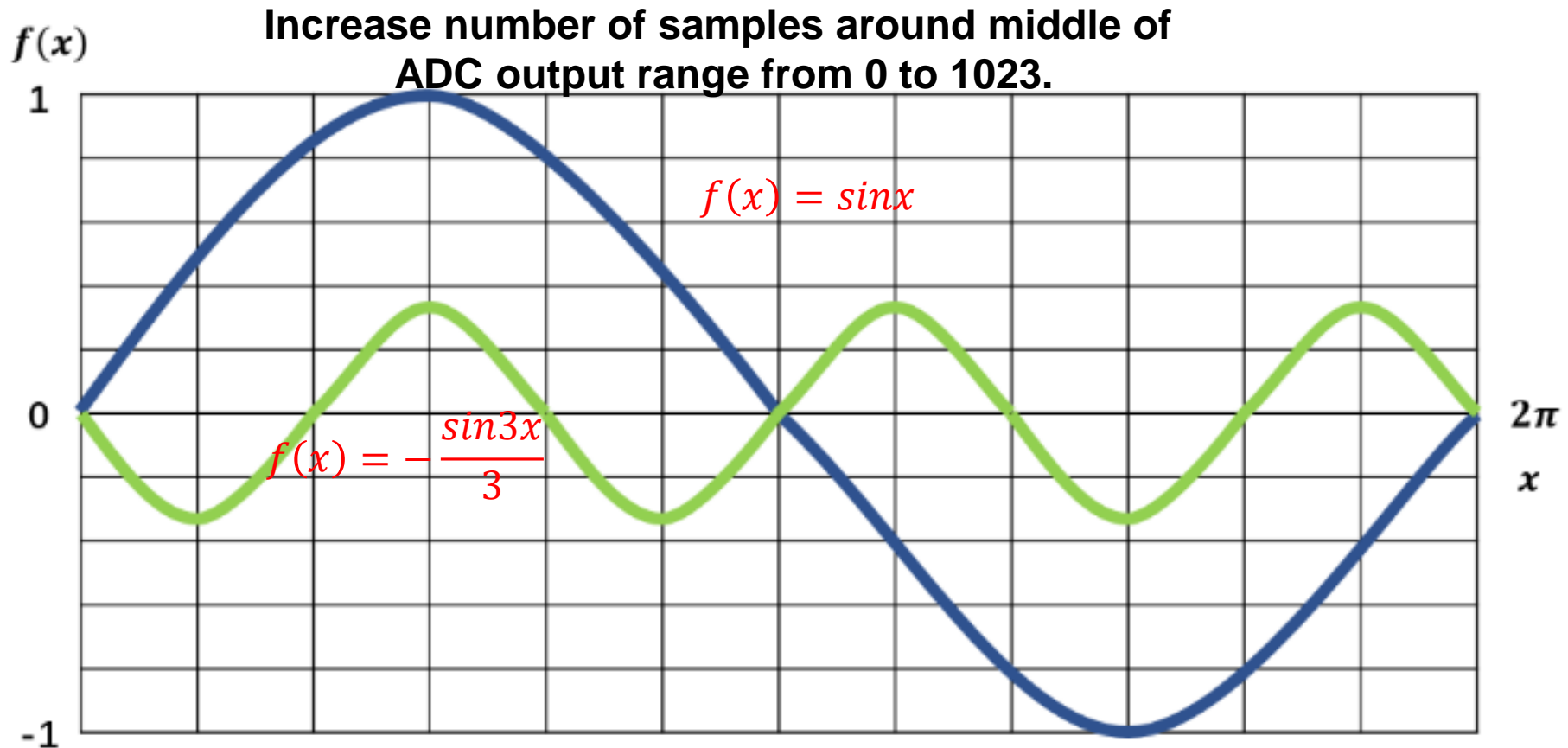
Histogram focused on a specific code

$$f(t) = A(W_1 + 2.6 \cdot W_2 + 1.8 \cdot W_3 + 1.4 \cdot W_6 + 1.2 \cdot W_7) + V_{OS}$$

$$W_m = \frac{\cos((2m-1)\omega t)}{(2m-1)^2} \quad A = 2.90[V] \quad V_{OS} = 4.0[V]$$



Multiple Sine Waves Combination

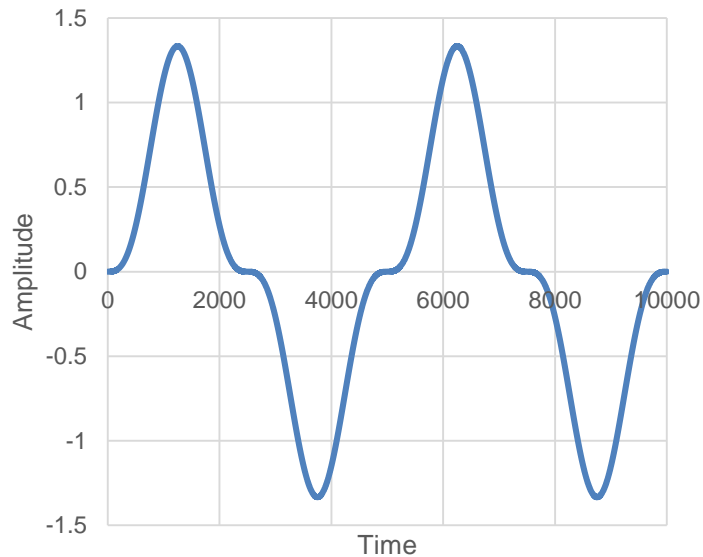



Smaller slope of input signal → More samples in histogram

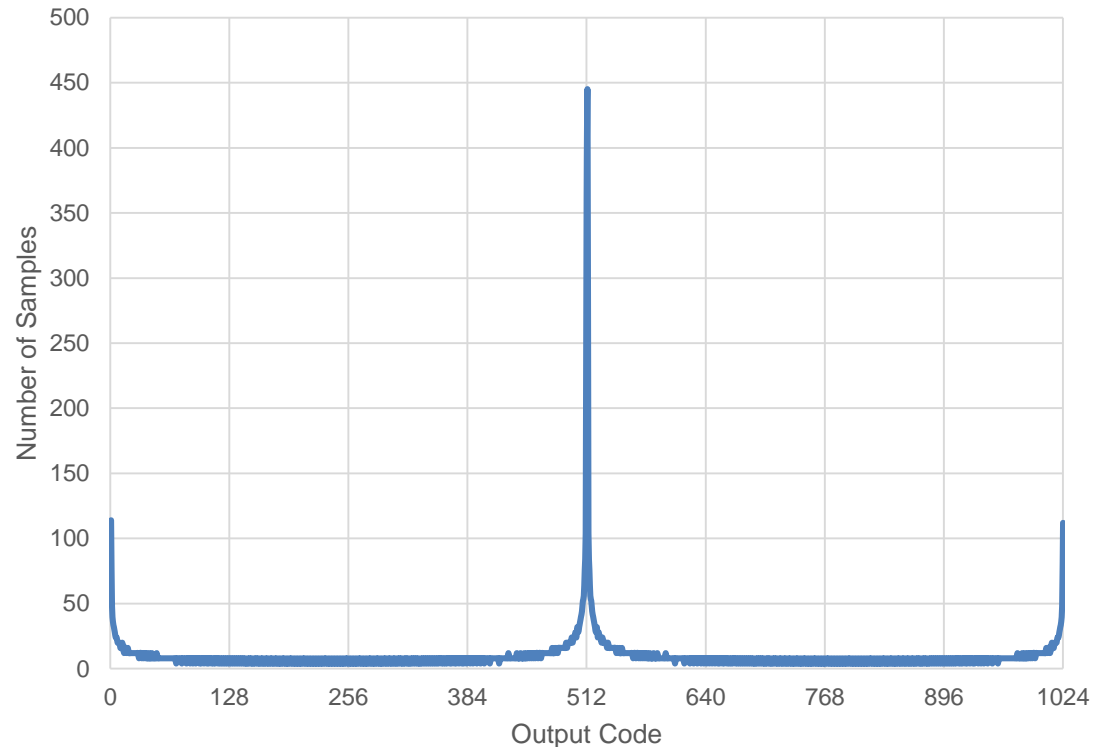
Input signal slope reduction at target amplitude positions by combining sine waves.



Result of Multiple Sine Waves



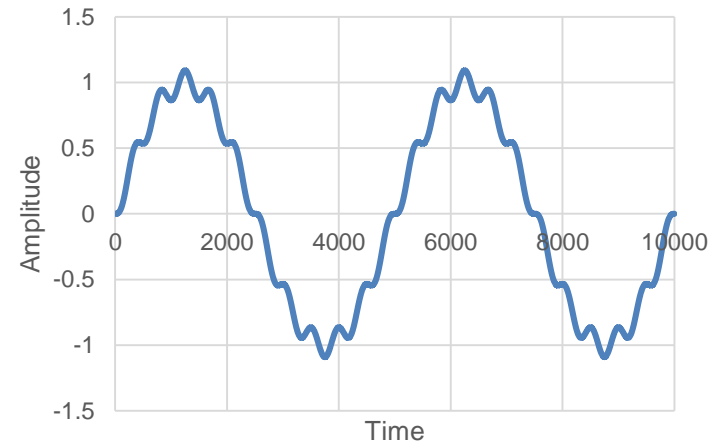
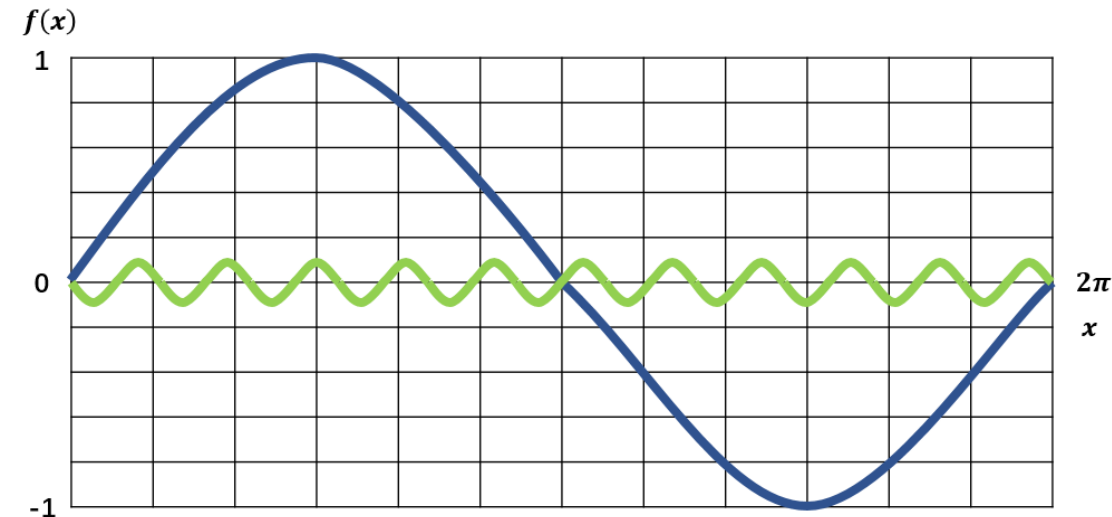
$$f(x) = \sin x - \frac{\sin 3x}{3}$$




Number of samples around the middle (digital output 512) is increased.



Result of Other Codes

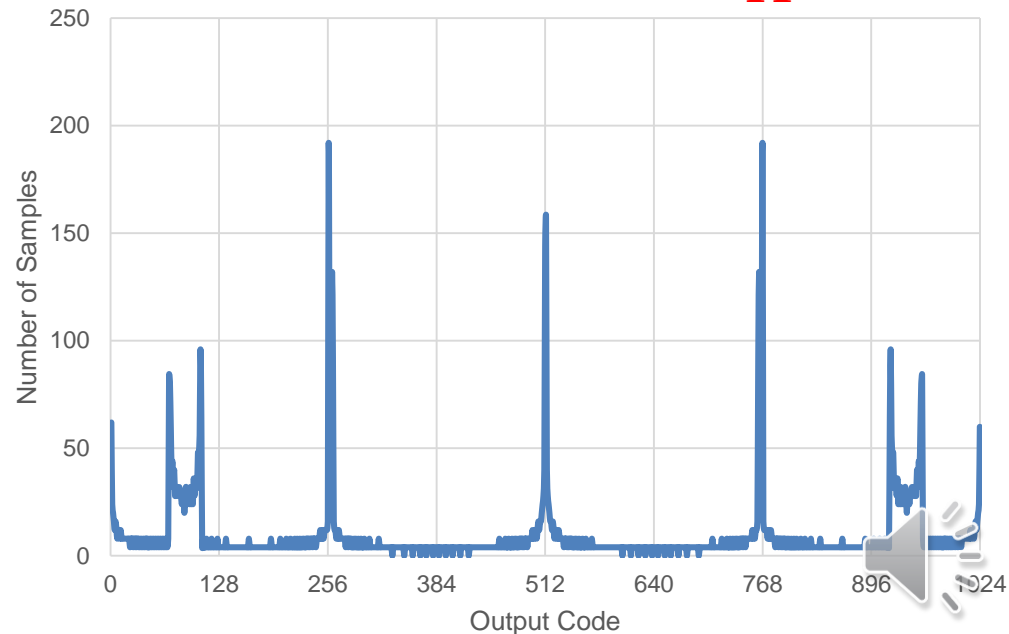


$$f(x) = \sin x - \frac{\sin 11x}{11}$$

Consider to increase 256 and 768
in output range from 0 to 1023.

$$\arcsin \frac{1}{2} = \frac{\pi}{6} \quad \left(\frac{1}{12} \text{ of period } 2\pi\right)$$

Try to use **11**.

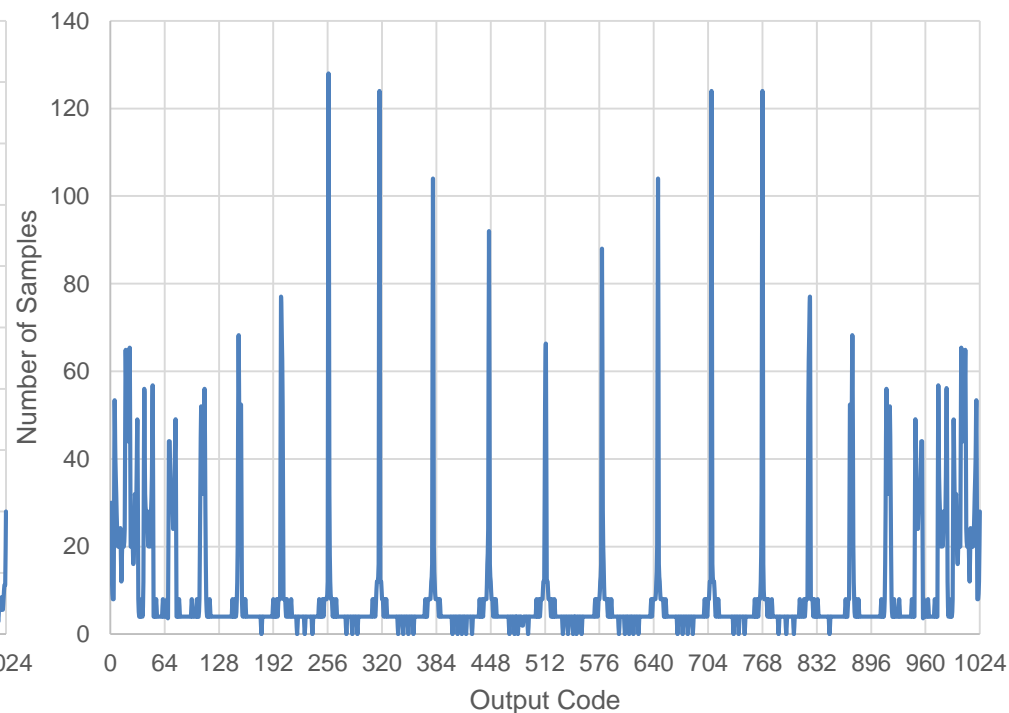
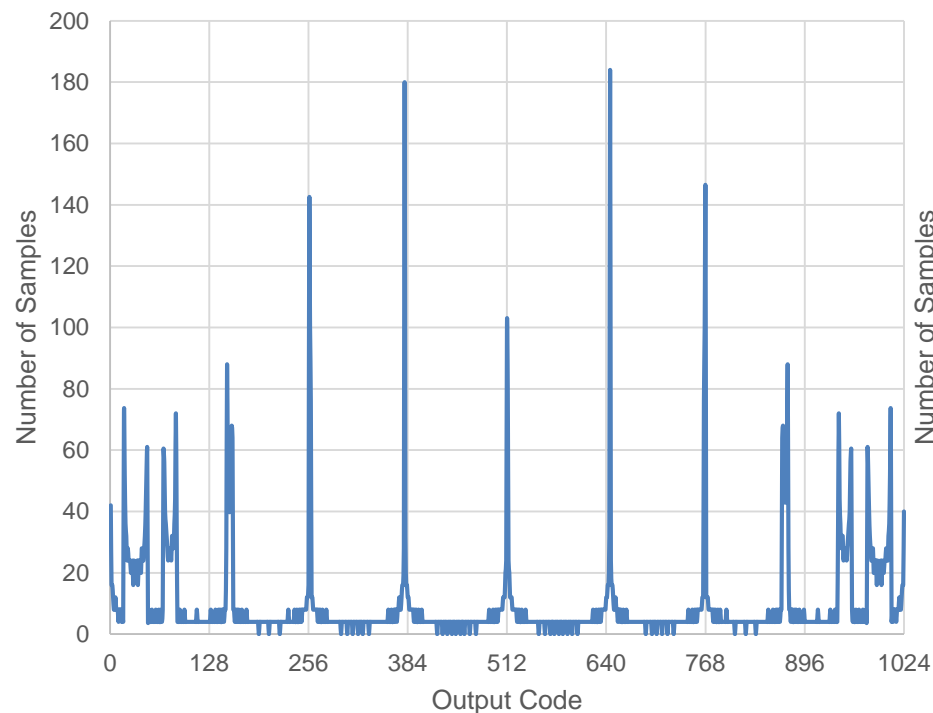


Results of More Codes

In the same way

$$f(x) = \sin x - \frac{\sin 23x}{23}$$

$$f(x) = \sin x - \frac{\sin 49x}{49}$$



Numbers of samples around the target digital output codes  Increase.

$$f(x) = \sin(\omega_1 x) - \frac{\sin(\omega_2 x)}{k}$$



Outline

- 1. Research Background and Objective
- **2. ADC Input Signal**
for Code Selective ADC Histogram Test Method
 - ADC Test with Histogram Method and Waveform Missing
 - Combine Multiple Sine Waves
 - **General Two-Tone Input Signal**
- 3. Ratio of Input and Sampling Frequencies
for Efficient ADC Histogram Test Method
 - Golden Ratio Sampling
 - Metallic Ratio Sampling
 - Prime Number Ratio Sampling
- 4. Conclusion



Two-Tone Input Signal Configuration

$$f(x) = \sin(\omega_1 x) - \frac{\sin(\omega_2 x)}{k}$$

General two-tone input signal for ADC linearity histogram test



Simulating the following waveforms and obtained ADC histograms.

$$g_{3,3}(t) = \sin(t) - \frac{\sin(3t)}{3}$$

$$g_{3,3.5}(t) = \sin(t) - \frac{\sin(3t)}{3.5}$$

$$g_{3,4}(t) = \sin(t) - \frac{\sin(3t)}{4}$$

$$g_{11,11}(t) = \sin(t) - \frac{\sin(11t)}{11}$$

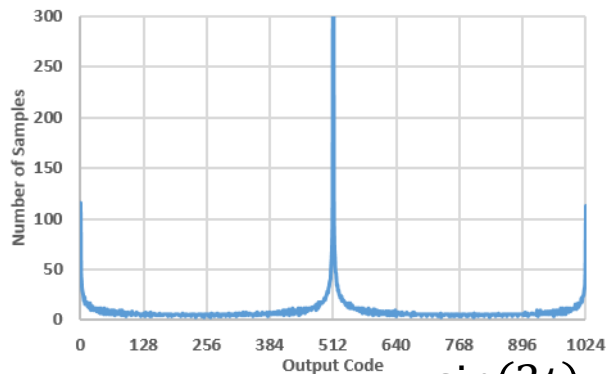
$$g_{11,11.5}(t) = \sin(t) - \frac{\sin(11t)}{11.5}$$

$$g_{11,12}(t) = \sin(t) - \frac{\sin(11t)}{12}$$

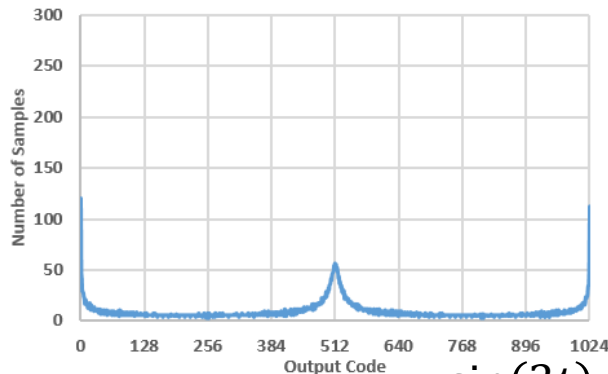
Using AWG (Arbitrary Waveform Generator) for signal generation



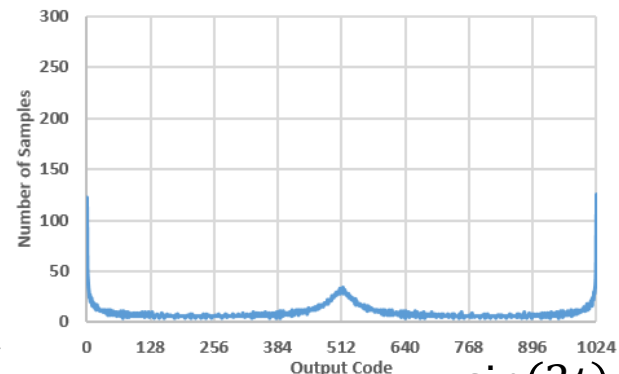
Results of Simple Configuration



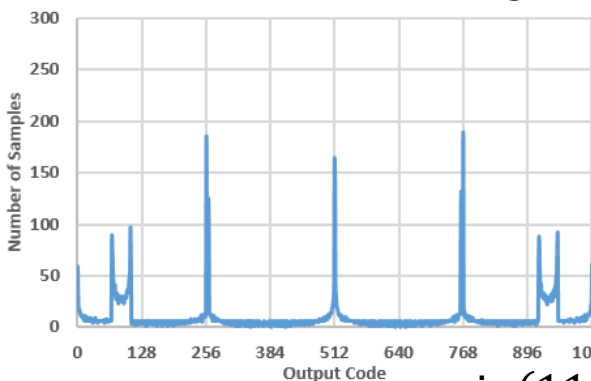
$$g_{3,3}(t) = \sin(t) - \frac{\sin(3t)}{3}$$



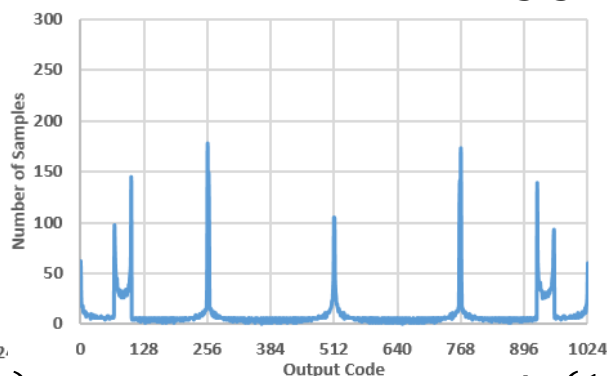
$$g_{3,3.5}(t) = \sin(t) - \frac{\sin(3t)}{3.5}$$



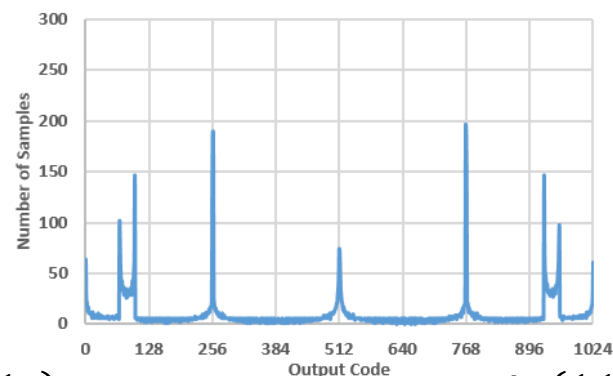
$$g_{3,4}(t) = \sin(t) - \frac{\sin(3t)}{4}$$



$$g_{11,11}(t) = \sin(t) - \frac{\sin(11t)}{11}$$



$$g_{11,11.5}(t) = \sin(t) - \frac{\sin(11t)}{11.5}$$



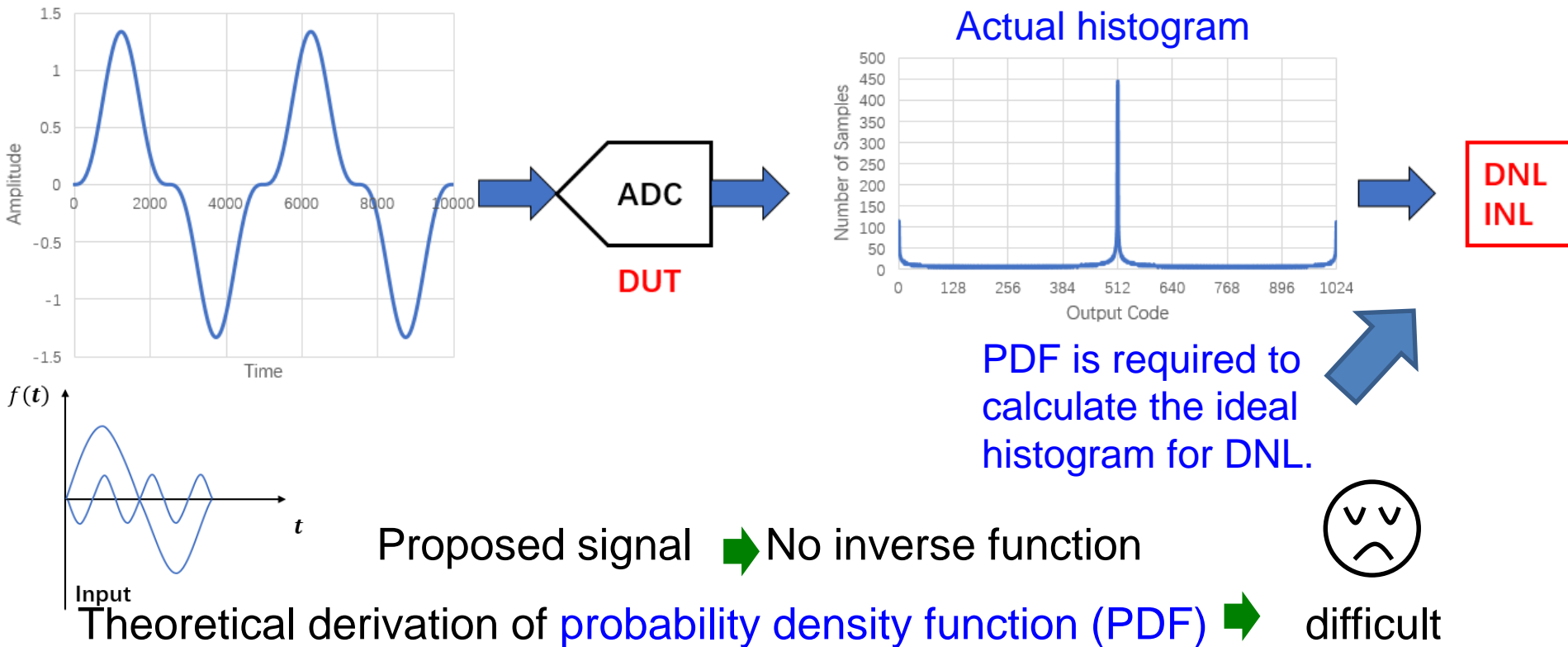
$$g_{11,12}(t) = \sin(t) - \frac{\sin(11t)}{12}$$

$$f(x) = \sin(\omega_1 x) - \frac{\sin(\omega_2 x)}{k}$$

k increases \rightarrow Histogram peaks spread.



Problem of Two-Tone Input Signal



Histogram method requires explicit PDF.

→ Alternatively, PDF obtained by simulation can be used.



Outline

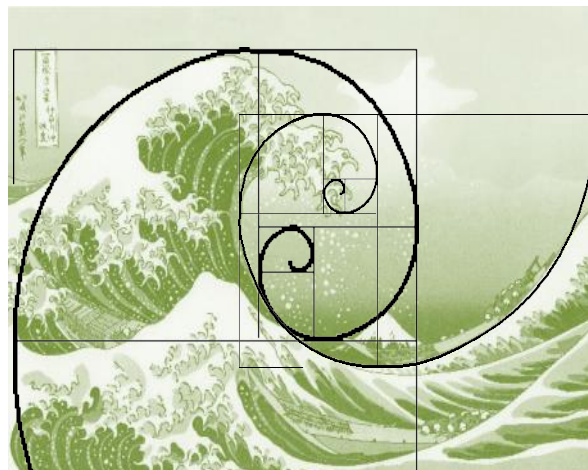
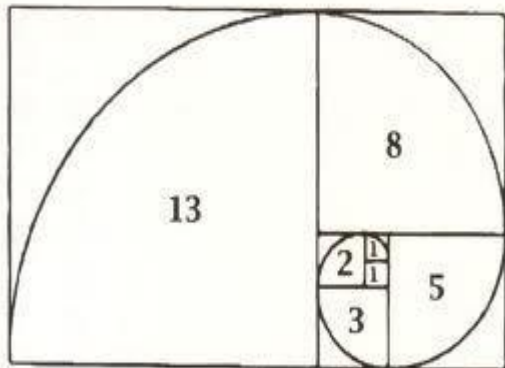
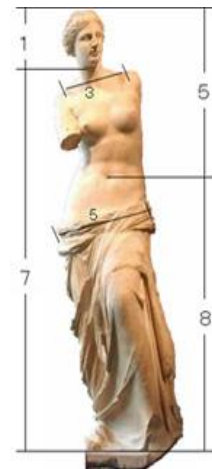
- 1. Research Background and Objective
- 2. ADC Input Signal
for Code Selective ADC Histogram Test Method
 - ADC Test with Histogram Method and Waveform Missing
 - Combine Multiple Sine Waves
 - General Two-Tone Input Signal
- 3. Ratio of Input and Sampling Frequencies
for Efficient ADC Histogram Test Method
 - **Golden Ratio Sampling**
 - Metallic Ratio Sampling
 - Prime Number Ratio Sampling
- 4. Conclusion



Golden Ratio

Golden Ratio: $\lim_{n \rightarrow \infty} \frac{F_n}{F_{n-1}} = 1.618033988749895 = \varphi$

The most beautiful ratio

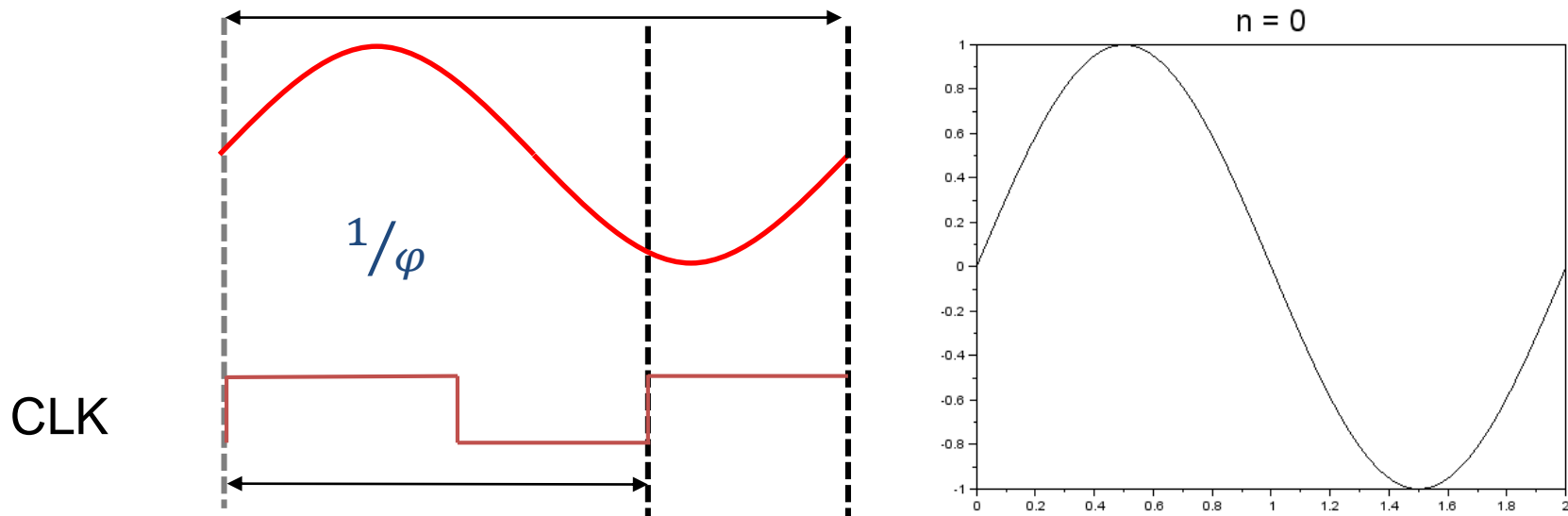


Golden Ratio Sampling

Golden Ratio φ

$$f_{CLK} = \varphi \times f_{sig}$$

$$\varphi = 1.6180339887\dots$$



Proposal of sampling conditions for the highest waveform acquisition efficiency



Yuto Sasaki, Yujie Zhao, Anna Kuwana and Haruo Kobayashi,
 "Highly Efficient Waveform Acquisition Condition in Equivalent-Time Sampling System"
 27th IEEE Asian Test Symposium, Hefei, Anhui, China (Oct. 2018)



Outline

- 1. Research Background and Objective
- 2. ADC Input Signal
for Code Selective ADC Histogram Test Method
 - ADC Test with Histogram Method and Waveform Missing
 - Combine Multiple Sine Waves
 - General Two-Tone Input Signal
- **3. Ratio of Input and Sampling Frequencies
for Efficient ADC Histogram Test Method**
 - Golden Ratio Sampling
 - **Metallic Ratio Sampling**
 - Prime Number Ratio Sampling
- 4. Conclusion



Metallic Ratio

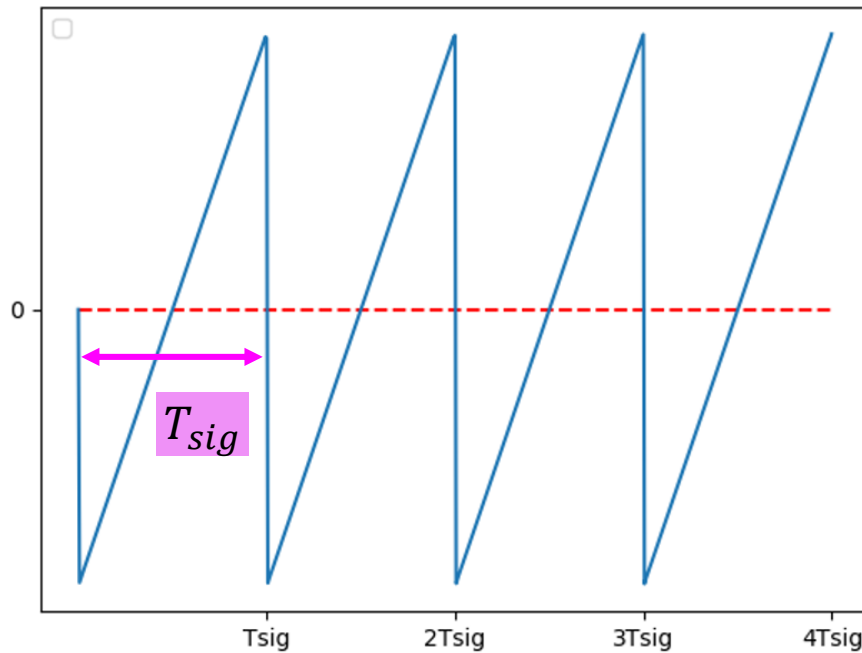
Golden Ratio: $\lim_{n \rightarrow \infty} \frac{F_n}{F_{n-1}} = 1.61803398874989\dots = \varphi$

n		Decimal	
0	1		
1	$\frac{1 + \sqrt{5}}{2}$	1.6180339887...	Golden Ratio
2	$1 + \sqrt{2}$	2.4142135623...	Silver Ratio
3	$\frac{3 + \sqrt{13}}{2}$	3.3027756377...	Bronze Ratio
4	$2 + \sqrt{5}$	4.2360679774...	
...		...	
n		$\frac{n + \sqrt{n^2 + 4}}{2}$	

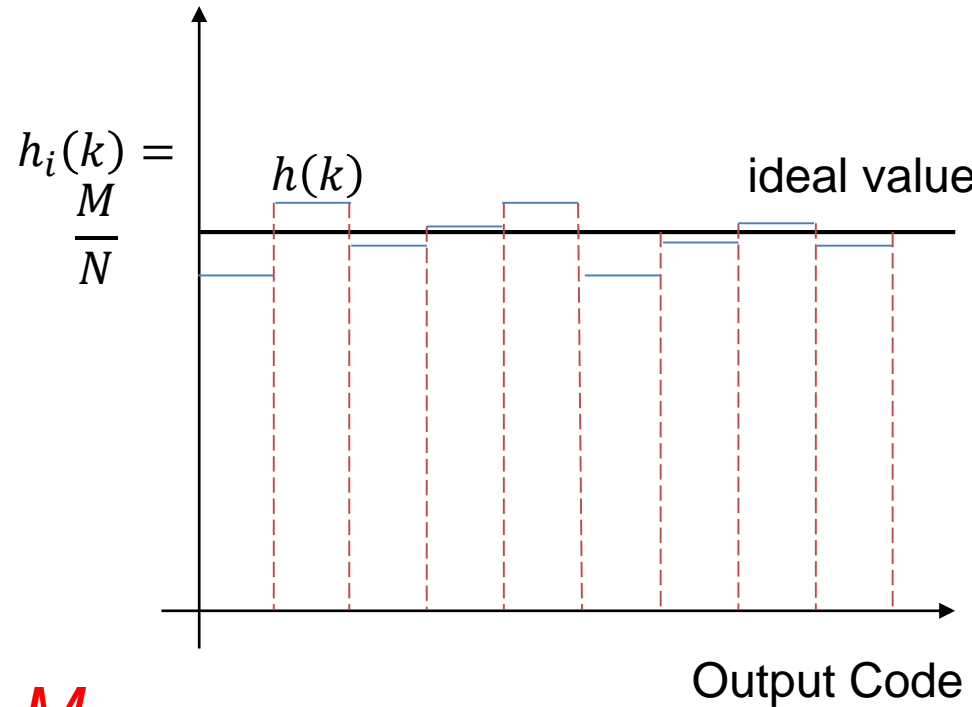
Generalization of Golden Ratio



Histogram of Saw Signal



Number of
Samples



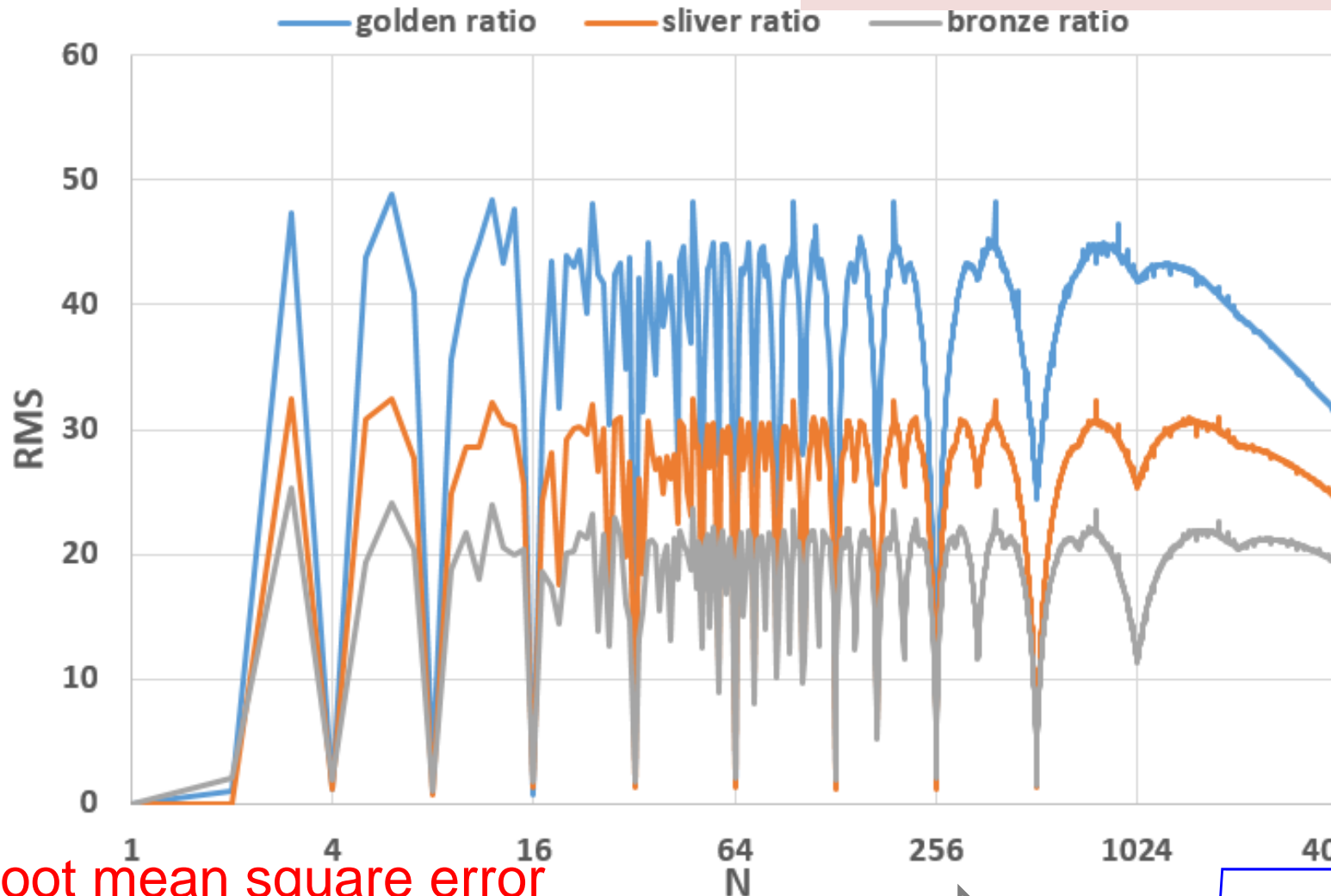
Total number of samples: M

ADC resolution : N .

$$\text{ideal value } h_i(k) = \frac{M}{N}, k = 1, 2, 3, \dots, N \quad \text{error } e(k) = \frac{N \cdot h(k)}{M} - 1$$


RMS Error Calculation

Total number of samples: M=65536



Root mean square error
between actual and ideal histograms



$$RMS = \sqrt{\frac{\sum(e(k))^2}{N}}$$


Outline

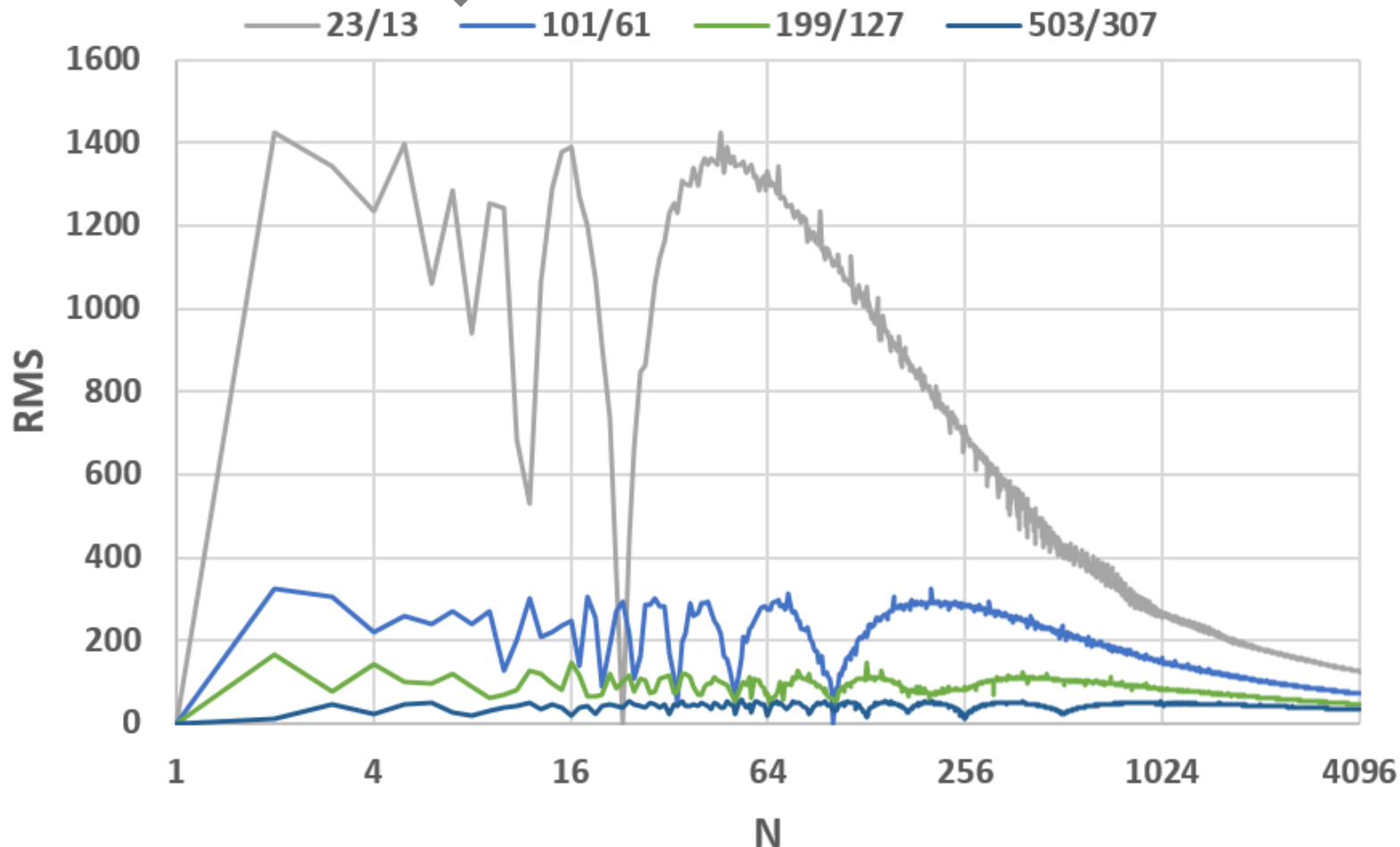
- 1. Research Background and Objective
- 2. ADC Input Signal
 - for Code Selective ADC Histogram Test Method
 - ADC Test with Histogram Method and Waveform Missing
 - Combine Multiple Sine Waves
 - General Two-Tone Input Signal
- **3. Ratio of Input and Sampling Frequencies**
 - for Efficient ADC Histogram Test Method
 - Golden Ratio Sampling
 - Metallic Ratio Sampling
 - **Prime Number Ratio Sampling**
- 4. Conclusion



RMS of Prime Number Sampling

$$f_{CLK} = f_{sig} \times \text{RATIO} \quad \downarrow$$

Total number of samples: M=65536

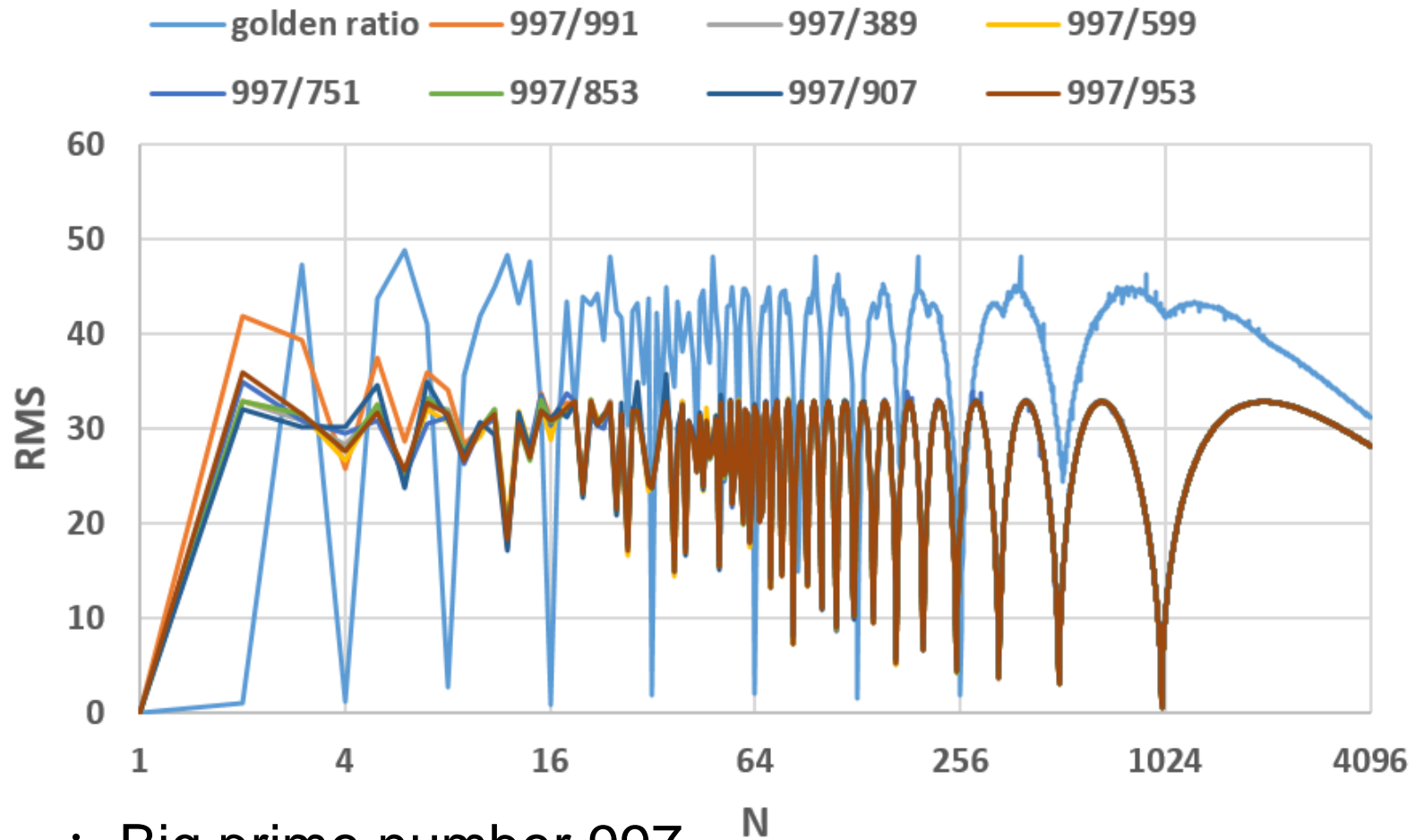


Ratio with two large prime numbers \Rightarrow Small RMS
 Golden ratio has a smaller RMS



RMS of Prime Number Sampling: Big Number Case

Total number of samples: M=65536

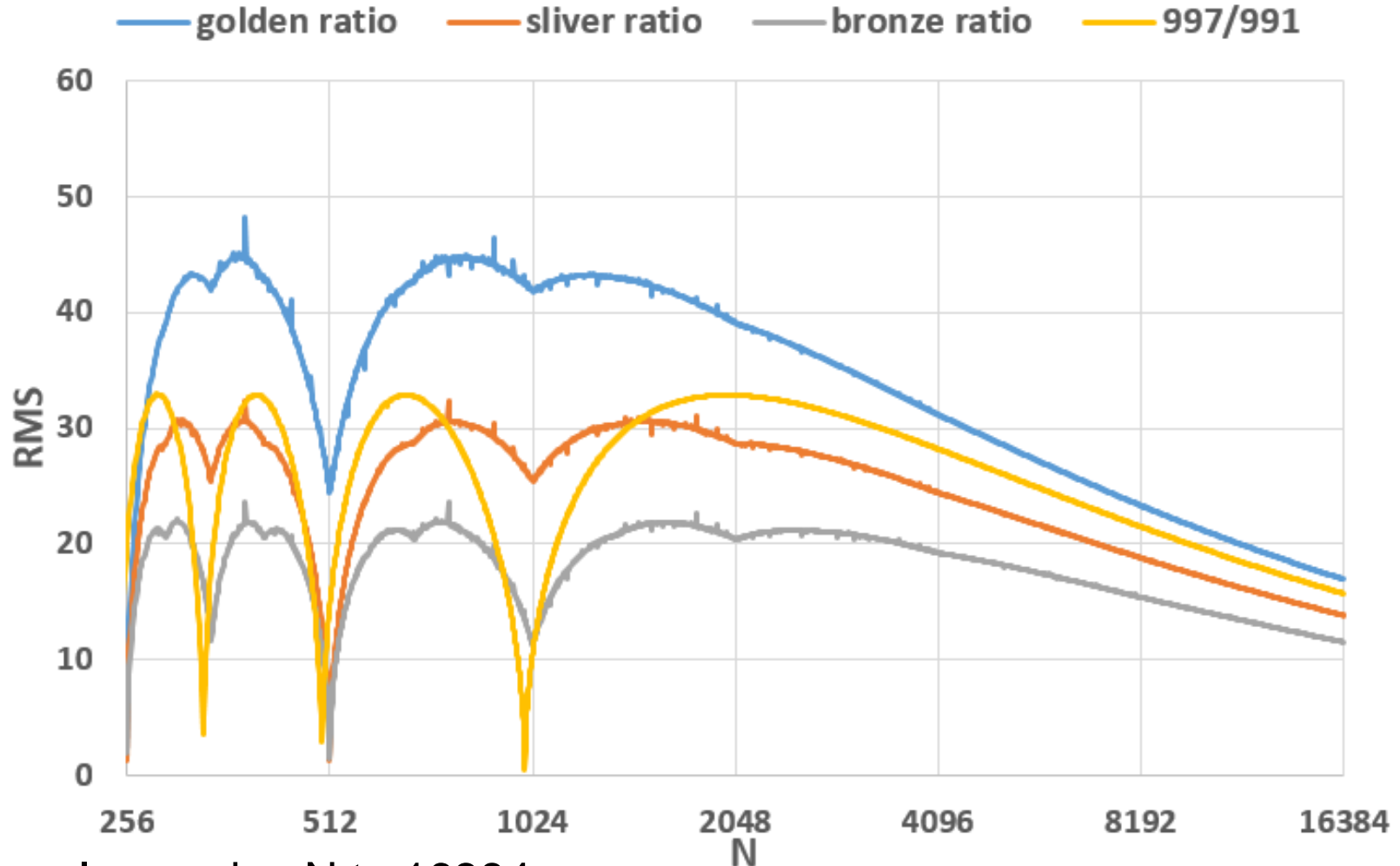


f_{CLK} : Big prime number 997
 f_{SIG} : Some prime numbers 389, 599, 751, 853, 907, 953, 991
→ almost the same



RMS Comparison

Total number of samples: $M=65536$

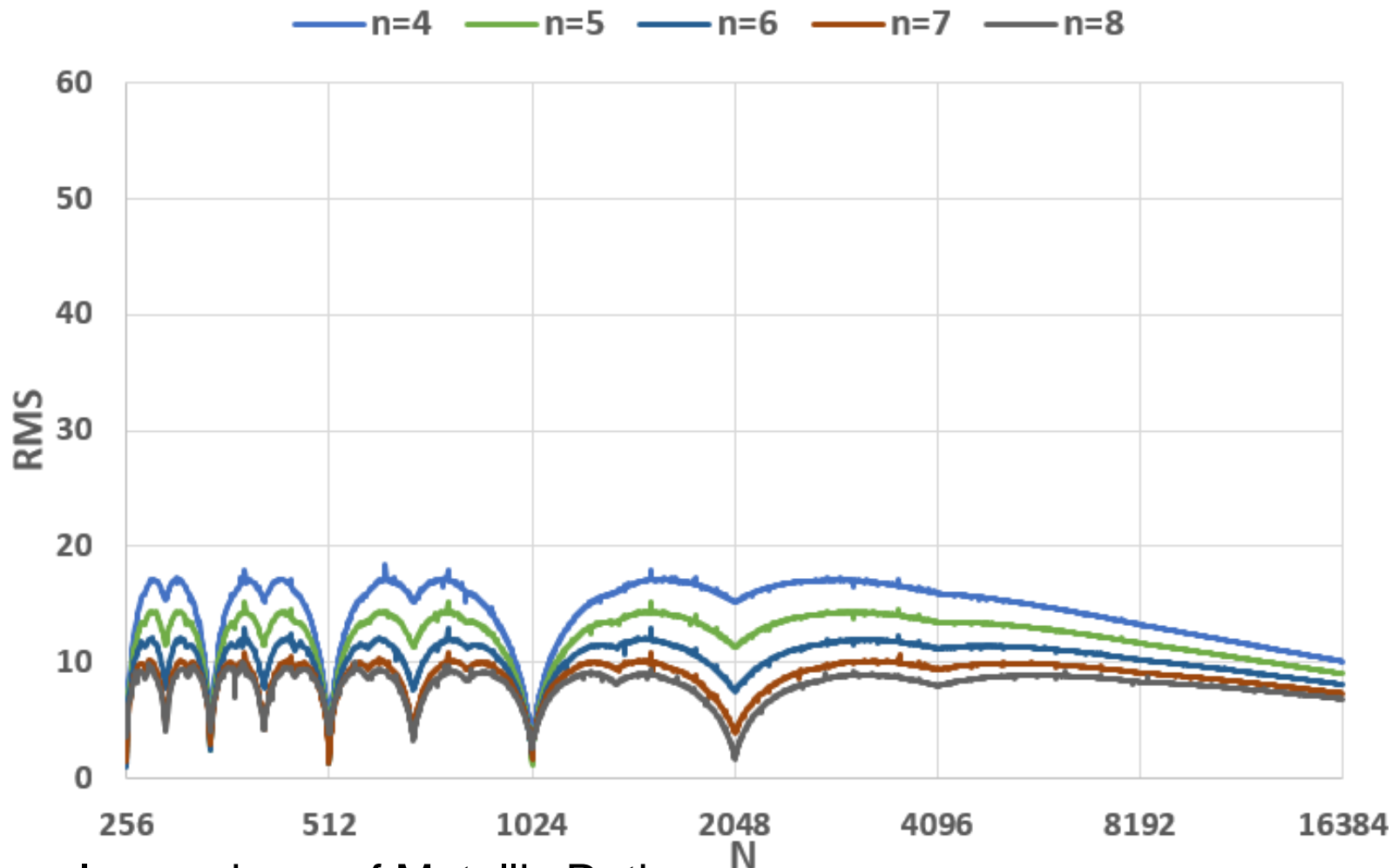


Increasing N to 16384,
Bronze ratio result is better (RMS range is smaller)



RMS Comparison of Metallic Ratio

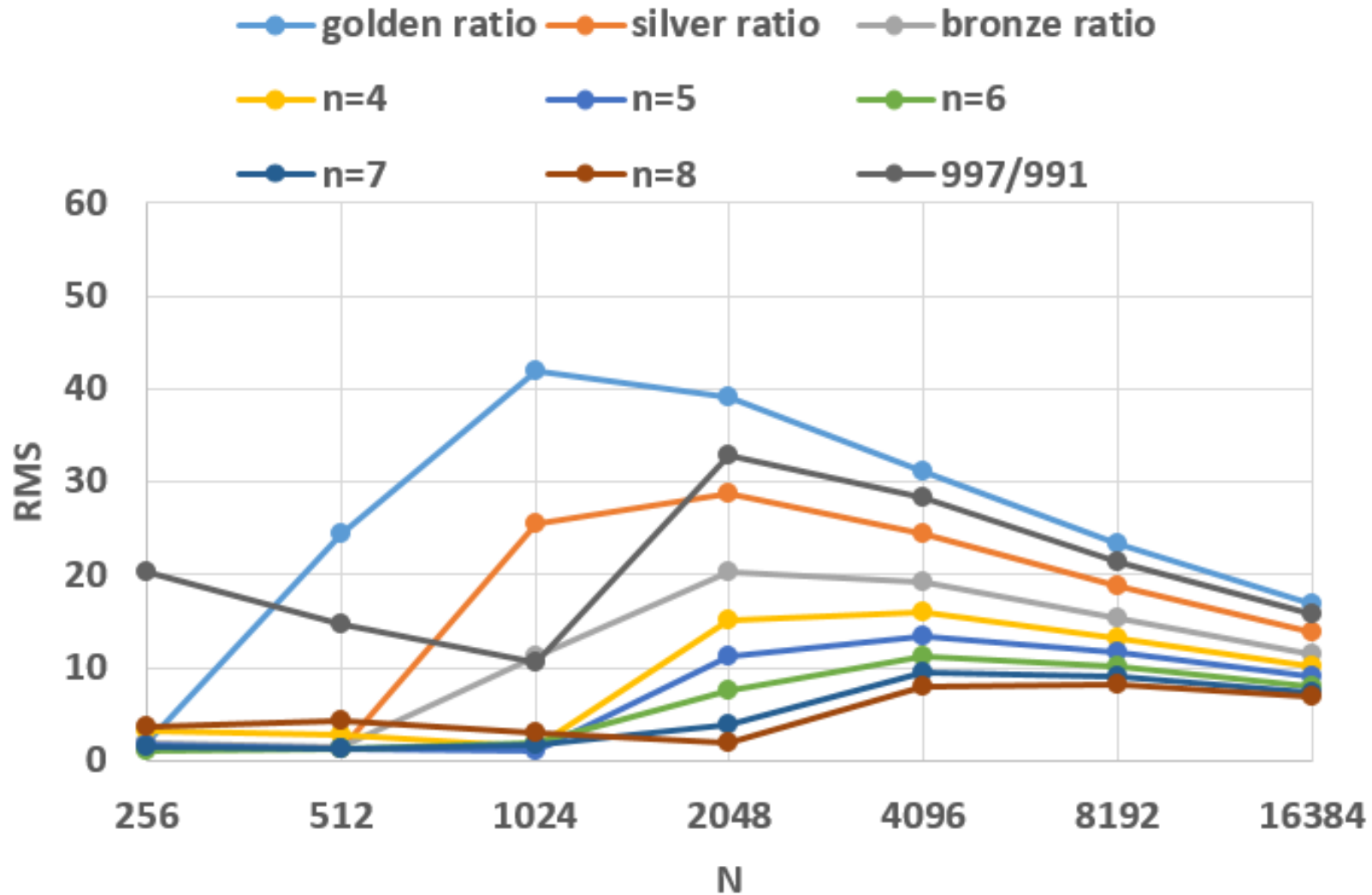
Total number of samples: M=65536



Increasing n of Metallic Ratio,
result become better (RMS range is smaller)



Result of RMS: Big N Case



Outline

- 1. Research Background and Objective
- 2. ADC Input Signal
for Code Selective ADC Histogram Test Method
 - ADC Test with Histogram Method and Waveform Missing
 - Combine Multiple Sine Waves
 - General Two-Tone Input Signal
- 3. Ratio of Input and Sampling Frequencies
for Efficient ADC Histogram Test Method
 - Golden Ratio Sampling
 - Metallic Ratio Sampling
 - Prime Number Ratio Sampling
- 4. Conclusion



Conclusion

1. Input Signal for Code Selective ADC Histogram

- ADC output histogram on specific codes by a two-tone wave for short time ADC linearity testing.

2. Ratio of Input and Sampling Frequencies For Efficient ADC Histogram Test

- Proper ratio between input signal and sampling frequencies can attain better testing accuracy with fewer samples.
→ **Metallic ratio, Prime number ratio**



Conclusion

Golden Ratio sampling

Efficiency: **high**

Sampling frequency: **low**



Metallic ratio sampling

Efficiency: **high**

Sampling frequency: **high**



Prime number ratio sampling

Efficiency: **Not good**

Sampling frequency: **low**

Next work

- Like the golden ratio

Find conditions for efficient sampling

at a specific location

(ADC resolution $N=256, 512, 1024, 2048, 4096$)



Thanks for your attention.



Q&A

Is it possible to combine the two proposed techniques?

We haven't discussed it before. I think this can be done.

Can your method DNL/INL for the entire code space?

Because the probability density function cannot be used to calculate the ideal histogram, it cannot be calculated. We are solving this problem.

Why have you used some functions like $\sin(t) - \sin(3t)/3.5$? Reference slide 27

The histogram obtained before is too concentrated, we want to disperse the peaks of the histogram.

How much can your methods reduce test time?

In previous studies, the total number of samples can be reduced by half when the number of central samples is close.