

# RCポリフェーズ・フィルタの解析と設計

## - 入力インピーダンス、出力終端、素子ばらつきの影響の解析、 通過域平坦利得フィルタ設計 -

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あらまし 携帯電話等の無線送受信機のアナログフロントエンド部で、直交信号 (I, Q 信号) 発生、イメージ除去のため用いられる RC ポリフェーズ・フィルタの設計論の確立のための解析を行ない、また RC パラメータ値の一設計法を提案する。具体的には、入力インピーダンスの導出と出力を終端したときの伝達関数の導出を行い、また抵抗値 R、容量値 C の相対ばらつきの影響を解析し、これらの相対ばらつきによりイメージ信号成分が発生することを示した。さらに 2 次 RC ポリフェーズ・フィルタで通過域のゲインが平坦になるような R, C のパラメータ値の設計法を提案し、そのときのフィルタのイメージ除去比の公式を導出した。

キーワード ポリフェーズ・フィルタ、イメージ信号除去、RF 回路、無線送受信器、複素信号

## Analysis and Design of RC Polyphase Filters

### - Input Impedance, Output Termination, Component Mismatch Effects, Flat-Passband Filter Design -

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**Abstract** This paper analyzes first-, second- and third-order RC polyphase filters; these are important components used for image rejection and in quadrature signal generation circuits in the analog front-ends of wireless transceivers. We clarify their input impedance and the effects of output termination, parasitic capacitance, and component mismatches. We also propose a design algorithm for a flat-passband second-order filter.

**Key words** Polyphase Filter, Image Rejection, RF Circuit, Wireless Transceiver, Complex Signal

### 1. Introduction

RC polyphase filters are important components in analog front-ends of wireless transceivers; they are used for In-Phase and Quadrature (I and Q) signal generation and for image rejection [1] ~ [4]. In our previous papers, we have analyzed their transfer functions including parasitic capacitance effects [5] ~ [7]. In this paper we analyze their characteristics in more detail; we describe their input impedance, and clarify the effects of output termination, parasitic capacitance,

and component mismatches. Also, based on this explicit analysis, we propose a design algorithm for a flat-passband second-order filter.

In this paper, we use the following notation:

$$\omega_k := 1/(R_k C_k), \quad \omega_{ij} := 1/(R_i C_j) \quad (k, i, j = 1, 2, 3).$$

### 2. Input Impedance

We will consider the input impedance of RC polyphase filters. Let  $J_{I+}$ ,  $J_{I-}$ ,  $J_{Q+}$  and  $J_{Q-}$  respectively be the currents which flow from the input voltage sources  $I_{in+}$ ,  $I_{in-}$ ,  $Q_{in+}$

and  $Q_{in-}$  into the RC polyphase filter. Then we have the following (complex) input impedance  $Z_k(j\omega)$ , ( $k = 1, 2, 3$ ):

$$Z_k(j\omega) := \frac{I_{in}(j\omega) + jQ_{in}(j\omega)}{J_I(j\omega) + jJ_Q(j\omega)}.$$

$$\text{Here } J_{Iin}(j\omega) := J_{I+}(j\omega) - J_{I-}(j\omega),$$

$$J_{Qin}(j\omega) := J_{Q+}(j\omega) - J_{Q-}(j\omega).$$

**Fact 1** Input impedance  $Z_1(j\omega)$  of the first-order filter (Fig.1) is given by

$$Z_1(j\omega) = \frac{1}{2j\omega C_1} \frac{1 + j\omega R_1 C_1}{1 + j(1 + \omega R_1 C_1)}.$$

**Fact 2** Input impedance  $Z_2(j\omega)$  of the second-order filter is given by

$$Z_2(j\omega) = \frac{1}{2} \frac{N_{Z2R}(\omega) + jN_{Z2I}(\omega)}{D_{Z2R}(\omega) + jD_{Z2I}(\omega)}.$$

$$\text{Here } N_{Z2R}(\omega) :=$$

$$(R_1 + R_2) - \omega^2(R_1^2 R_2 C_1^2 + R_1 R_2^2 C_2^2) + 4R_1^2 R_2 C_1 C_2 + 2R_1 R_2^2 C_1 C_2 + 2R_1^2 R_2 C_2^2,$$

$$N_{Z2I}(\omega) :=$$

$$\omega(R_1^2 C_1 + 2R_1 R_2 C_1 + 4R_1 R_2 C_2 + R_2^2 C_2) + 2R_1^2 C_2 - \omega^3(2R_1^2 R_2^2 C_1^2 C_2 + R_1^2 R_2^2 C_1 C_2^2),$$

$$D_{Z2R}(\omega) :=$$

$$\omega(R_1 C_1 + R_2 C_2) + \omega^2(R_1^2 C_1^2 + R_2^2 C_2^2) + 6R_1 C_1 R_2 C_2 + R_1^2 C_1 C_2 + R_1 R_2 C_1^2 + R_1 R_2 C_2^2 + R_2^2 C_1 C_2, + \omega^3(R_1^2 R_2 C_1^2 C_2 + R_1 R_2^2 C_1 C_2^2),$$

$$D_{Z2I}(\omega) :=$$

$$-\omega(R_1 C_1 + R_1 C_2 + R_1 C_2 + R_2 C_1) + \omega^3(R_1 C_1 R_2^2 C_2^2 + R_1^2 R_2 C_1^2 C_2 + R_1 R_2^2 C_1^2 C_2 + R_1^2 R_2 C_1 C_2^2).$$

**Fact 3** Input impedance  $Z_3(j\omega)$  of the third-order filter is given by

$$Z_3(j\omega) = R_1^2 R_3 \frac{N_{Z3R}(\omega) + jN_{Z3I}(\omega)}{D_{Z3R}(\omega) + jD_{Z3I}(\omega)}.$$

$$\text{Here } N_{Z3R}(\omega) :=$$

$$1 - \omega^2(R_1 R_2 C_1 C_2 + R_1 R_3 C_1 C_2 + R_2 R_3 C_2 C_3) + 2R_1 R_2 C_2 C_3 + 2R_1 R_3 C_2 C_3 + 2R_1 R_3 C_1 C_3 + R_2^2 C_2^2 + \omega^4(R_1 R_2^2 C_1 C_2^3 + R_2^2 R_3 C_2^3 C_3 + R_1 R_2^2 R_3 C_1 C_2^3) + 2R_1 R_2^2 C_2^3 C_3 + 2R_1 R_2^2 R_3 C_2^3 C_3 + R_1 R_2^2 R_3 C_1 C_2^3 C_3,$$

$$N_{Z3I}(\omega) :=$$

$$\omega(R_1 C_1 + R_2 C_2 + R_3 C_3 + 2R_1 C_2 + 2R_1 C_3 + 2R_2 C_3) - \omega^3(R_1 R_2 R_3 C_1 C_2 C_3 + R_1 R_2^2 C_1 C_2^2 + R_2^3 C_3^3 + R_2^2 R_3 C_2^2 C_3) + 2R_1 R_2^2 C_2^3 + 2R_1 R_2^2 C_2^2 C_3 + 2R_2^3 C_2^2 C_3 + \omega^5 R_1 R_2^2 R_3 C_1 C_2^3 C_3,$$

$$D_{Z3R}(\omega) := -R_1 R_2 - R_1 R_3 - R_2 R_3 - R_2^2$$

$$- 2\omega(R_1 R_2 R_3 C_3 + R_1 R_2^2 C_2 + R_1^2 R_2 C_1) + \omega^2 [R_1 R_2^2 (C_1 C_2 - C_2^2 + 2C_1 C_3 + 2C_2 C_3)$$

$$+ R_1 R_2^2 R_3 (2C_1 C_2 + 3C_2^2 + 4C_1 C_3 + 4C_2 C_3 + 2C_3^3) + R_1 R_2 R_2^2 R_3^2 (C_1 C_3 + 3C_2 C_3 - C_3^2) + R_2^3 R_3 (4C_2 C_3 + C_2^2 + 2C_3^2) + R_2^2 R_3^2 (2C_2 + C_3) C_3 + R_1^3 R_3 C_1 (C_1 + 2C_2 + 2C_3) - R_1^3 R_2 C_1^2 + R_1^2 R_2 R_3 (C_1^2 + 2C_1 C_2 + 2C_2 C_3) + 2R_1^2 R_2^2 (C_1 + C_2) C_3 + R_1^2 R_2^2 C_1 (C_1 - 4C_2)] - \omega^3 [2R_1 R_2^2 (R_1 C_1 + R_3 C_3) C_2^2 + 2R_1^3 R_2 (R_2 C_2 + R_3 C_3) C_1^2 + 2R_1^2 R_2 R_3 C_1 C_3 (4R_2 C_2 + R_3 C_3)] - \omega^4 [R_1 R_2^2 R_3^2 C_2 C_3 (C_1 C_2 + C_1 C_3 + C_2 C_3 + C_2^2) + R_1^3 R_2^2 R_3 (2C_1 C_3^3 + 2C_1 C_2^2 C_3 + 2C_1 C_2^3) + 4C_1^3 C_2 + 3C_1^2 C_2^2 + 12C_1^2 C_2 C_3 + 2C_1^2 C_3^2) + R_1^3 R_2^2 C_1 (C_1^2 C_2 + 2C_1^2 C_3 + C_1 C_2^2 + 2C_1 C_2 C_3) + R_1^3 R_2 R_2^2 C_1^2 (C_1 C_3 + 3C_2 C_3 + C_3^2) + R_1^2 R_2^3 R_3 (C_1^2 C_2^2 + 2C_1 C_2^3 + 2C_1 C_3^3) + 4C_1^2 C_2 C_3 + 8C_1 C_2^2 C_3 + 2C_2^2 C_3^2] - R_1^2 R_2^2 R_3^2 (C_1^2 C_3^2 + 2C_1^2 C_2 C_3 + 4C_1 C_2 C_3^2) + 2C_1 C_2^2 C_3 + 2C_2^3 C_3] - \omega^5 [R_1^2 R_2^2 R_3 C_1 C_2 C_3 (R_1 R_2 C_1 C_2 + R_1 R_3 C_1 C_3 + R_2 R_3 C_2 C_3) + \omega^6 R_1^3 R_2^2 R_3^2 C_1^2 C_2 C_3 (C_1 + C_2) (C_2 + C_3),$$

$$D_{Z3I}(\omega) :=$$

$$-\omega [R_1 R_2^2 (C_1 + 2C_2 + 2C_3) + R_1 R_2 R_3 (C_1 + 3C_2 + 4C_3) + R_2^2 (C_2 + 2C_3) + 2R_2^2 R_3 (C_2 + 2C_3) + 2R_1^2 R_3 (C_1 + C_2 + C_3) + (R_1 + R_2) R_3^2 C_3] - \omega^2 [R_1^2 R_2 R_3 C_1 C_2 + \omega^3 [R_1^3 R_2^2 C_1^2 (C_1 + 2C_2 + 2C_3) + R_1^2 R_2^3 C_1^2 (C_2 + 2C_3) + R_1^3 R_2^2 C_1 (C_1 + 2C_2) C_3 + R_2^3 R_3^2 C_2 (C_2 + C_3) C_3 + 2R_1^2 R_2^2 R_3 (C_1^2 C_2 + C_1 C_2^2 + 2C_1^2 C_3 + C_2^2 C_3 + 2C_2^3) + R_1^2 R_2 R_2^2 R_3^2 C_1 (C_1 + 2C_2) C_3 + R_1^3 R_2 R_3 C_1 (C_1^2 + 2C_2 C_3 + 3C_1 C_2 + 4C_1 C_3) + R_1 R_2^2 R_2^2 (C_1 C_3^2 + 2C_1 C_2 C_3 + 3C_2^2 C_3 + 4C_2 C_3^2) + R_1 R_2^2 R_3 (C_1 C_2^2 + 2C_1 C_2 C_3 + 4C_1 C_2 C_3 + C_2^2 + 4C_2^2 C_3 + 2C_2 C_3^2)] - \omega^5 [R_1^3 R_2^2 R_3 C_1 (2C_2^2 C_3 + 4C_1^2 C_2 C_3 + C_1^2 C_2^2) + 2C_1^2 C_3^2 + C_1 C_2^3 + 4C_1 C_2^2 C_3 + 2C_1 C_2 C_3^2) + R_1^3 R_2^2 R_3^2 C_1 C_2 C_3 (2C_1^2 C_2 + C_1^2 C_3 + 3C_1 C_2^2 + 2C_1 C_2 C_3 + 2C_2^3) + R_1^2 R_2^2 R_3^2 C_1 C_2 C_3 (C_1 C_2 + C_1 C_3 + 2C_2^2)].$$

### 3. Output Termination

Sometimes the outputs of the RC polyphase filters are connected as shown in Fig.4 [2];  $Q_{out+}$  and  $I_{out+}$  are connected, and  $Q_{out-}$  and  $I_{out+}$  are connected. Letting

$$V_{outk+} := Q_{out+} = I_{out+}$$

$$V_{outk-} := Q_{out-} = I_{out-}$$

$$V_{outk} := V_{outk+} - V_{outk-} \quad (k = 1, 2, 3)$$

then we obtain the following input/output relationships:

**Fact 4** First-order RC polyphase filter case:

$$V_{out1}(j\omega) = \frac{1}{2} I_{in}(j\omega) - \frac{1}{2} \frac{1 - j\omega R_1 C_1}{1 + j\omega R_1 C_1} Q_{in}(j\omega).$$

Note that  $\omega_1 := 1/(R_1 C_1)$ ,

$$\begin{aligned} V_{out1}(j\omega_1) &= \frac{1}{2}[I_{in}(j\omega_1) + jQ_{in}(j\omega_1)], \\ V_{out1}(-j\omega_1) &= \frac{1}{2}[I_{in}(-j\omega_1) - jQ_{in}(-j\omega_1)]. \end{aligned}$$

In other words,

$$\begin{aligned} V_{out1}(j\omega_1) &= a_1 I_{in}(j\omega_1) + b_1 Q_{in}(j\omega_1), \\ \angle a_1 - \angle b_1 &= -\frac{\pi}{2}, \quad |a_1| = |b_1| = \frac{1}{2}, \\ V_{out1}(-j\omega_1) &= c_1 I_{in}(-j\omega_1) + d_1 Q_{in}(-j\omega_1), \\ \angle c_1 - \angle d_1 &= \frac{\pi}{2}, \quad |c_1| = |d_1| = \frac{1}{2}. \end{aligned}$$

**Fact 5** Second-order RC polyphase filter case:

$$\begin{aligned} V_{out2}(j\omega) &= \\ & \left[ (1 + \omega^2 R_1 R_2 C_1 C_2) + j\omega(R_1 C_1 + R_2 C_2) \right] I_{in}(j\omega) \\ & - \left[ (1 + \omega^2 R_1 R_2 C_1 C_2) - j\omega(R_1 C_1 + R_2 C_2) \right] Q_{in}(j\omega) \\ & / \left[ 2(1 - \omega^2 R_1 R_2 C_1 C_2) + j\omega(R_1 C_1 + R_2 C_2 + 2R_1 C_2) \right]. \end{aligned}$$

Note that when  $R_1 C_1 = R_2 C_2$  and  $\omega_1 := 1/(R_1 C_1)$ ,

$$\begin{aligned} V_{out2}(j\omega_1) &= \frac{1}{4}[(1-j)I_{in}(j\omega_1) + (1+j)Q_{in}(j\omega_1)], \\ V_{out2}(-j\omega_1) &= \frac{1}{4}[(1+j)I_{in}(-j\omega_1) + (1-j)Q_{in}(-j\omega_1)]. \end{aligned}$$

In other words,

$$\begin{aligned} V_{out2}(j\omega_1) &= a_2 I_{in}(j\omega_1) + b_2 Q_{in}(j\omega_1), \\ \angle a_2 - \angle b_2 &= -\frac{\pi}{2}, \quad |a_2| = |b_2| = \frac{1}{2\sqrt{2}}, \\ V_{out2}(-j\omega_1) &= c_2 I_{in}(-j\omega_1) + d_2 Q_{in}(-j\omega_1), \\ \angle c_2 - \angle d_2 &= \frac{\pi}{2}, \quad |c_2| = |d_2| = \frac{1}{2\sqrt{2}}. \end{aligned}$$

**Fact 6** Third-order RC polyphase filter case:

$$\begin{aligned} V_{out3}(j\omega) &= \\ & \frac{1}{2} \frac{[A(\omega) + jB(\omega)]I_{in} - [C(\omega) + jD(\omega)]Q_{in}}{D_{V3R}(\omega) + jD_{V3I}(\omega)} \end{aligned}$$

Here  $A(\omega) := R_2 + R_3$

$$\begin{aligned} & + \omega^2(R_1 R_2^2 C_1 C_2 + R_1 R_3^2 C_1 C_3 - R_2^2 R_3 C_2^2 - R_2 R_3^2 C_3^2) \\ & - \omega^4 R_1 R_2^2 R_3^2 C_1 C_2 C_3 (C_2 + C_3), \end{aligned}$$

$B(\omega) :=$

$$\begin{aligned} & \omega(R_1 R_2 C_1 + R_2^2 C_2 + 2R_2 R_3 C_3 + R_1 R_3 C_1 + 2R_2 R_3 C_2 \\ & + R_3^2 C_3) + \omega^3 [2(R_2 + R_3)R_1 R_2 R_3 C_1 C_2 C_3 + \\ & R_2^2 R_3^2 C_2 C_3 (C_2 + C_3) + R_1 R_2 R_3 C_1 (R_2 C_2 + R_3 C_3)] \end{aligned}$$

$C(\omega) := R_2 + R_3$

$$\begin{aligned} & + \omega^2 [R_1 R_2^2 C_1 C_2 + R_1 R_3^2 C_1 C_3 + R_2^2 R_3 C_2^2 + R_2 R_3^2 C_3^2 \\ & + 2R_2 R_3 (R_1 C_1 C_2 + R_1 C_1 C_3 + R_2 C_2 C_3 + R_3 C_2 C_3)] \\ & + \omega^4 R_1 R_2^2 R_3^2 C_1 C_2 C_3 (C_2 + C_3), \end{aligned}$$

$D(\omega) :=$

$$\begin{aligned} & - \omega(R_1 R_2 C_1 + R_1 R_3 C_1 + R_2^2 C_2 + R_3^2 C_3) \\ & + \omega^3 (R_1 R_2^2 R_3 C_1 C_2^2 + R_2^2 R_3^2 C_2^2 C_3 + R_2^2 R_3^2 C_2 C_3^2 \\ & + R_1 R_2 R_3^2 C_1 C_3^2), \end{aligned}$$

$$D_{V3R}(\omega) := R_2 + R_3$$

$$\begin{aligned} & - \omega^2 [R_1 R_2^2 C_1 C_2 + R_1 R_3^2 C_1 C_3 + R_1 R_2^2 C_2 C_3 \\ & + R_1 R_2 R_3 C_3^2 + R_2 R_3^2 C_3^2 + R_2^2 R_3 C_2^2 \\ & + 2(R_1 R_2 R_3 C_1 C_2 + R_2 R_3^2 C_2 C_3 + R_1 R_2^2 C_1 C_3 \\ & + R_1 R_3^2 C_2 C_3 + R_1 R_2^2 C_2 C_3 + R_1 R_2 R_3 C_2^2 + R_2^2 R_3 C_3^2 \\ & + R_1 R_3^2 C_3^2) + 4(R_1 R_2 R_3 C_1 C_3 + R_2^2 R_3 C_2 C_3) \\ & + 7R_1 R_2 R_3 C_2 C_3 - R_1 R_3^2 C_3^2] \\ & + \omega^4 R_1 R_2^2 R_3^2 C_1 C_2 C_3 (C_2 + C_3), \end{aligned}$$

$$D_{V3I}(\omega) :=$$

$$\begin{aligned} & \omega [R_1 R_2 C_1 + R_1 R_3 C_1 + R_1 R_3 C_3 + R_2^2 C_2 + R_3^2 C_3 \\ & + 2(R_2 R_3 C_2 + R_1 R_3 C_2 + R_1 R_2 C_2 + R^2 C_3) \\ & + 3R_1 R_2 C_3 + 4R_2 R_3 C_3] \\ & - \omega^3 [R_1 R_2^2 R_3 C_1 C_2 C_3 + R_2^2 R_3^2 C_2^2 C_3 + R_2^2 R_3^2 C_2 C_3^2 \\ & + R_1 R_2^2 R_3 C_2 C_3^2 + R_1 R_2^2 R_3 C_1 C_2^2 + R_1 R_2 R_3^2 C_2 C_3^2 \\ & + R_1 R_2 R_3^2 C_1 C_3^2 + 2(R_1 R_2 R_3^2 C_1 C_2 C_3 + R_1 R_2 R_3^2 C_2^2 C_3 \\ & + R_1 R_2^2 R_3 C_2^2 C_3 + R_1 R_2^2 R_3 C_2 C_3^2 + R_1 R_2^2 R_3 C_1 C_3^2) \\ & + 3R_1 R_2^2 R_3 C_1 C_2 C_3]. \end{aligned}$$

Note that when  $R_1 = R_2 = R_3$ ,  $C_1 = C_2 = C_3$  and  $\omega_1 := 1/(R_1 C_1)$ ,

$$\begin{aligned} V_{out3}(j\omega_1) &= -\frac{j}{4}[I_{in}(j\omega_1) + jQ_{in}(j\omega_1)], \\ V_{out3}(-j\omega_1) &= \frac{j}{4}[I_{in}(-j\omega_1) - jQ_{in}(-j\omega_1)]. \end{aligned}$$

In other words,

$$\begin{aligned} V_{out3}(j\omega_1) &= a_3 I_{in}(j\omega_1) + b_3 Q_{in}(j\omega_1), \\ \angle a_3 - \angle b_3 &= -\frac{\pi}{2}, \quad |a_3| = |b_3| = \frac{1}{4}, \\ V_{out3}(-j\omega_1) &= c_3 I_{in}(-j\omega_1) + d_3 Q_{in}(-j\omega_1), \\ \angle c_3 - \angle d_3 &= \frac{\pi}{2}, \quad |c_3| = |d_3| = \frac{1}{4}. \end{aligned}$$

#### 4. Component Mismatch Effects

Next we will study component mismatch effects. Suppose that the resistors (four  $R_1$ 's) and capacitors (four  $C_1$ 's) of the first-order RC polyphase filter in Fig.1) have (relative) component mismatches described as follows:

$$\begin{aligned} R_{1Q+} &:= R_1 + \Delta R_{1Q+}, & R_{1Q-} &:= R_1 + \Delta R_{1Q-}, \\ R_{1I+} &:= R_1 + \Delta R_{1I+}, & R_{1I-} &:= R_1 + \Delta R_{1I-}, \\ C_{1Q+} &:= C_1 + \Delta C_{1Q+}, & C_{1Q-} &:= C_1 + \Delta C_{1Q-}, \\ C_{1I+} &:= C_1 + \Delta C_{1I+}, & C_{1I-} &:= C_1 + \Delta C_{1I-}, \end{aligned}$$

where

$$\begin{aligned} R_1 &:= (R_{1Q+} + R_{1I+} + R_{1Q-} + R_{1I-})/4, \\ C_1 &:= (C_{1Q+} + C_{1I+} + C_{1Q-} + C_{1I-})/4, \\ \Delta R_{1Q+} + \Delta R_{1I+} + \Delta R_{1Q-} + \Delta R_{1I-} &= 0, \\ \Delta C_{1Q+} + \Delta C_{1I+} + \Delta C_{1Q-} + \Delta C_{1I-} &= 0. \end{aligned}$$

Then we have the following:

**Fact 6**

$$V_{out}(j\omega) = G_1(j\omega)V_{in}(j\omega) + E_1(j\omega) \cdot \Delta X \cdot \overline{V_{in}(j\omega)}.$$

$$\overline{V_{out}(j\omega)} = \overline{G_1(j\omega)} \cdot \overline{V_{in}(j\omega)} + \overline{E_1(j\omega)} \cdot \Delta X \cdot V_{in}(j\omega).$$

Here

$$\overline{V_{in}} := I_{in} - jQ_{in}, \quad \overline{V_{out}} := I_{out} - jQ_{out},$$

$$\Delta X := \left( \frac{\Delta R_{I+}}{R_1} + \frac{\Delta R_{I-}}{R_1} + \frac{\Delta C_{I+}}{C_1} + \frac{\Delta C_{I-}}{C_1} \right),$$

$$G_1(j\omega) = \frac{1 + \omega R_1 C_1}{1 + j\omega R_1 C_1},$$

$$E_1(j\omega) := -\frac{(1+j)\omega R_1 C_1}{2(1+j\omega R_1 C_1)^2}, \quad \overline{E_1(j\omega)} := -\frac{(1-j)\omega R_1 C_1}{2(1-j\omega R_1 C_1)^2}.$$

The above fact tells us that when there are component mismatches among resistors and capacitors, the input image signal of  $\overline{V_{in}(j\omega)}$  affects the output of  $V_{out}(j\omega)$ , and also the input signal of  $V_{in}(j\omega)$  causes the output image signal of  $\overline{V_{out}(j\omega)}$ ; these effects are the most significant at  $\omega = \pm 1/(R_1 C_1)$  because  $|E_1(j\omega)|$  has a maximum value at  $\omega = \pm 1/(R_1 C_1)$ . We will call  $E_1(j\omega)$  an *image transfer function*; Table 1 and Fig.5 describe its characteristics.

Table 1: Characteristics of  $E_1(j\omega)$ .

$\omega$	$E_1(j\omega)$	$ E_1(j\omega) $
$-\infty$	0	0
$(-1 - \sqrt{2})\omega_1$	- 1/4	1/4
$-\omega_1$	- 1/4 + j/4	$\sqrt{2}/4$
$(1 - \sqrt{2})\omega_1$	j/4	1/4
0	0	0
$(-1 + \sqrt{2})\omega_1$	- 1/4	1/4
$\omega_1$	- 1/4 + j/4	$\sqrt{2}/4$
$(1 + \sqrt{2})\omega_1$	j/4	1/4
$\infty$	0	0

## 5. Design Algorithm for Flat-Passband Second-Order Filters

In this section, we propose a design algorithm for second-order filters (Fig.2) to make their passband gain flat. Let us consider the design of a second-order RC polyphase filter for image rejection; we will determine the four parameter values  $R_1, R_2, C_1$  and  $C_2$ .

### Flat Gain Second-order RC Polyphase Filter Design Algorithm :

- Filter Specification :

Stop band:  $-\omega_a < \omega < -\omega_b$

Pass band:  $\omega_b < \omega < \omega_a$ .

Here

$$\frac{\omega_a}{\omega_b} < 12.63556. \quad (1)$$

Gain  $|G_2(j\omega)|$  is very flat in pass band.

( $|G_2(j\omega)| \approx \text{constant}$  for  $\min(\omega_1, \omega_2) < \omega < \max(\omega_1, \omega_2)$ ).

- Design Algorithm:

Choose the values of  $R_1, R_2, C_1$  and  $C_2$  to satisfy

$$\frac{1}{R_1 C_1} = \omega_a, \quad \frac{1}{R_2 C_2} = \omega_b, \quad \frac{1}{R_2 C_1} = \frac{-\beta + \sqrt{\beta^2 - 4\alpha\gamma}}{2\alpha} \quad (2)$$

or

$$\frac{1}{R_1 C_1} = \omega_b, \quad \frac{1}{R_2 C_2} = \omega_a, \quad \frac{1}{R_2 C_1} = \frac{-\beta + \sqrt{\beta^2 - 4\alpha\gamma}}{2\alpha}. \quad (3)$$

$$\text{Here } \alpha := 6\omega_1^2 + 6\omega_2^2 + 4\omega_1\omega_2 - 8\sqrt{\omega_1\omega_2}(\omega_1 + \omega_2), \quad (4)$$

$$\beta := 6\omega_1^3 + 6\omega_2^3 + 10\omega_1\omega_2(\omega_1 + \omega_2) - 8\sqrt{\omega_1\omega_2}(\omega_1 + \omega_2)^2, \quad (5)$$

$$\begin{aligned} \gamma := & \omega_1^4 + \omega_2^4 + 2\omega_1\omega_2(\omega_1^2 + \omega_2^2 + 5\omega_1\omega_2) \\ & - 4\sqrt{\omega_1\omega_2}(\omega_1^3 + \omega_2^3 + \omega_1\omega_2^2 + \omega_2\omega_1^3). \end{aligned} \quad (6)$$

- Image Rejection Ratio (IRR)

When eq.(2) or eq.eq.(3) is satisfied, the image rejection ratio is given by

$$\begin{aligned} IRR := & 20 \log_{10} \frac{|G_2(j\omega)|_{\omega_b < \omega < \omega_a}}{\max_{-\omega_a < \omega < -\omega_b} |G_2(j\omega)|} \\ = & 20 \log_{10} \frac{(\sqrt{\omega_a} + \sqrt{\omega_b})^2}{(\sqrt{\omega_a} - \sqrt{\omega_b})^2} [dB]. \end{aligned}$$

**Remark** The number of parameters ( $R_1, R_2, C_1, C_2$ ) is 4 while the number of their constraint equations (eq.(2) or eq.(3)) is 3. So the designer can add one more constraint arbitrarily, for example, by considering their physical implementation.

Now we will explain why the proposed algorithm can make the passband gain flat. Note that the transfer function of the second-order filter is given by

$$G_2(j\omega) = \frac{(1 + \omega R_1 C_1)(1 + \omega R_2 C_2)}{1 - \omega^2 R_1 C_1 R_2 C_2 + j\omega(C_1 R_1 + C_2 R_2 + 2R_1 C_2)} \quad (7)$$

It follows from eq.(7) that  $|G_2(j\omega_1)| = |G_2(j\omega_2)|$  is always satisfied, and

$$|G_2(j\omega_1)| = |G_2(j\omega_2)| = \frac{\sqrt{2}(\omega_1 + \omega_2)}{\sqrt{\omega_1^2 + \omega_2^2 + 2\omega_{21}(\omega_1 + \omega_2 + \omega_{21})}} \quad (8)$$

$$|G_2(j\sqrt{\omega_1\omega_2})| = \frac{(\sqrt{\omega_1} + \sqrt{\omega_2})^2}{\omega_1 + \omega_2 + 2\omega_{21}}. \quad (9)$$

Recall Fact 8 in [7] and look at the Nyquist chart of  $G_2(j\omega)$  in Fig.6 (b). Then we will propose the following algorithms: For given  $\omega_1$  and  $\omega_2$ , choose  $\omega_{21} := 1/(R_2 C_1)$  such that

$$|G_2(j\omega_1)| (= |G_2(j\omega_2)|) = |G_2(j\sqrt{\omega_1\omega_2})|. \quad (10)$$

Using eqs.(8) and (9), we have the following equation to satisfy eq.(10):

$$\alpha\omega_{21}^2 + \beta\omega_{21} + \gamma = 0. \quad (11)$$

Here  $\alpha$ ,  $\beta$  and  $\gamma$  are defined by eqs.(4), (5), (6) respectively. Note that

$$\alpha \geq 2(\omega_1 - \omega_2)^2 \geq 0, \quad \beta \geq 2(\omega_1 - \omega_2)^2(\omega_1 + \omega_2) \geq 0,$$

we see that the condition that “eq.(11) has a positive real solution of  $\omega_{21}$ ” is “ $\gamma < 0$ ”. We have obtained the following from numerical calculation:

$$\gamma < 0 \quad \text{for } 0.079142 < \omega_1/\omega_2 < 12.63556.$$

In this case, we have

$$\omega_{21} = \frac{-\beta + \sqrt{\beta^2 - 4\alpha\gamma}}{2\alpha} > 0$$

and we obtain the above-mentioned proposed algorithm.

Our simulation showed that the passband gain becomes very flat (its ripple is less than 0.1%) when these conditions are satisfied (Figs.7, 8).

Next we will consider the image rejection ratio (IRR) when eq.(10) is satisfied. It follows from eq.(7) and Fig.6 (b) that

$$\max_{-\omega_a < \omega < -\omega_b} |G_2(j\omega)| = |G_2(-j\sqrt{\omega_1\omega_2})| = \frac{(\sqrt{\omega_1} - \sqrt{\omega_2})^2}{\omega_1 + \omega_2 + 2\omega_{21}}.$$

Hence we have obtained the following IRR:

$$\begin{aligned} IRR &:= 20 \log_{10} \frac{|G_2(j\omega)|_{\omega_b < \omega < \omega_a}}{\max_{-\omega_a < \omega < -\omega_b} |G_2(j\omega)|} \\ &= 20 \log_{10} \frac{|G_2(j\sqrt{\omega_1\omega_2})|}{|G_2(-j\sqrt{\omega_1\omega_2})|} \\ &= 20 \log_{10} \frac{(\sqrt{\omega_1} + \sqrt{\omega_2})^2}{(\sqrt{\omega_1} - \sqrt{\omega_2})^2} [dB]. \end{aligned}$$

We see that in order to have a large IRR,  $\omega_1/\omega_2$  has to be close to one (in other words, it should not be that  $\omega_1/\omega_2 \ll 1$  or  $\omega_1/\omega_2 \gg 1$ ) in any case; hence in our algorithm, eq.(1) is not a serious restriction.

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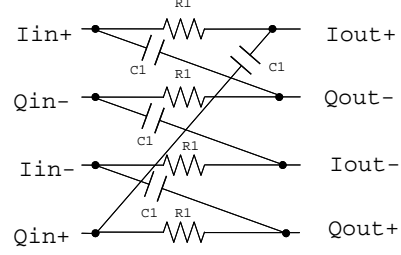


Fig.1: The first-order RC polyphase filter.

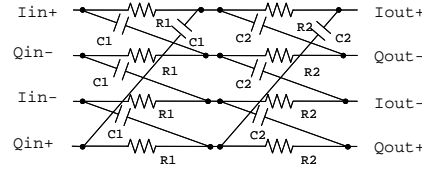


Fig.2: The second-order RC polyphase filter.

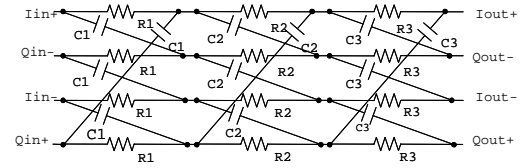


Fig.3: The third-order RC polyphase filter.

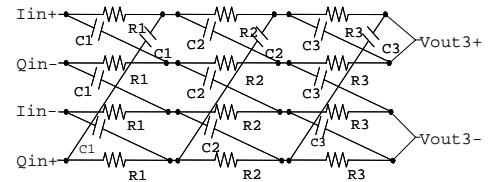


Fig.4: Output termination of the third-order RC polyphase filter.

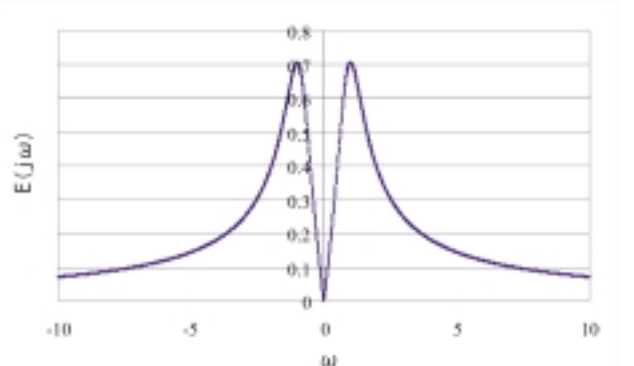


Fig.5 (a): Gain characteristics of an image transfer function  $E_1(j\omega)$  with  $R_1C_1 = 1$ .

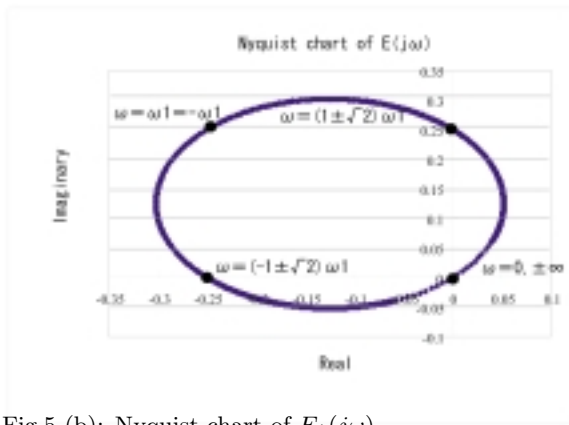


Fig.5 (b): Nyquist chart of  $E_1(j\omega)$ .

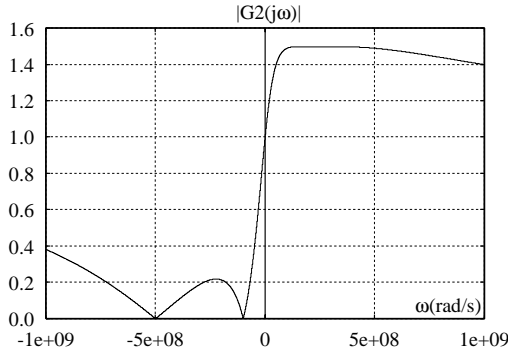


Fig.6 (a): Gain characteristics of  $G_2(j\omega)$ .

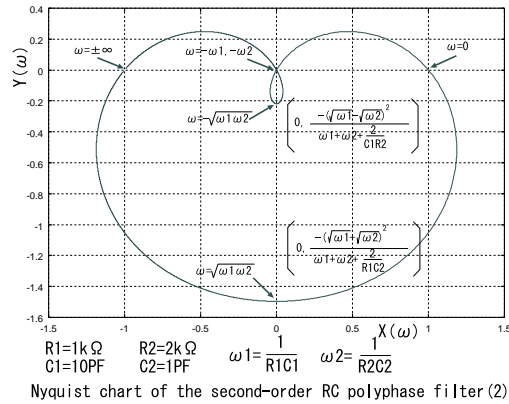


Fig.6 (b): Nyquist chart of  $G_2(j\omega) := X_2(\omega) + jY_2(\omega)$ .

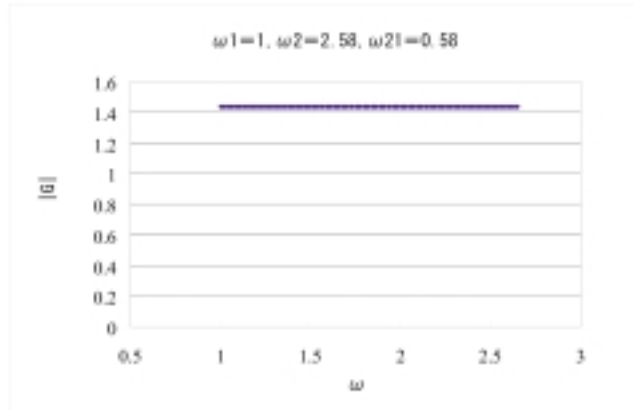


Fig.7 (a) : Example 1 ( $\omega_1 = 1, \omega_2 = 2.58, \omega_{21} = 0.58$ ).

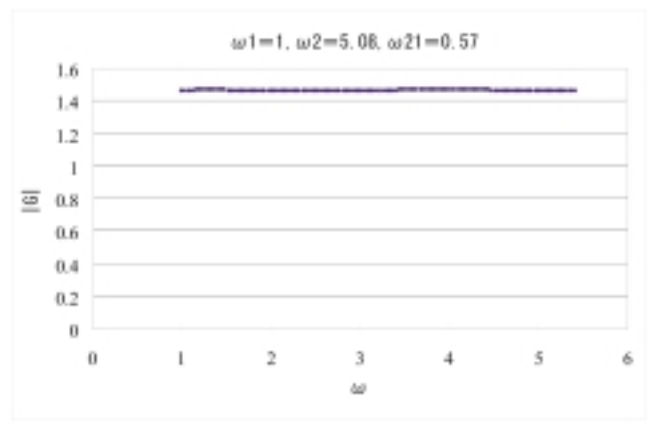


Fig.7 (b) : Example 2 ( $\omega_1 = 1, \omega_2 = 5.08, \omega_{21} = 0.57$ ).

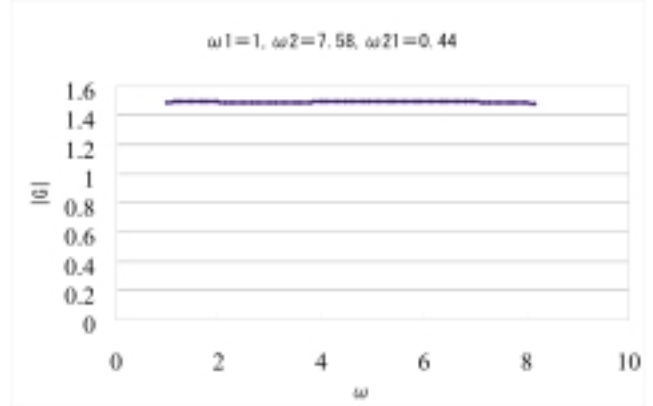


Fig.7 (c) : Example 3 ( $\omega_1 = 1, \omega_2 = 7.58, \omega_{21} = 0.44$ ).

Fig.7 : Gain characteristics of second-order RC polyphase filters for passband ( $\omega_1 < \omega < \omega_2$ ) using the proposed flat-passband algorithm.

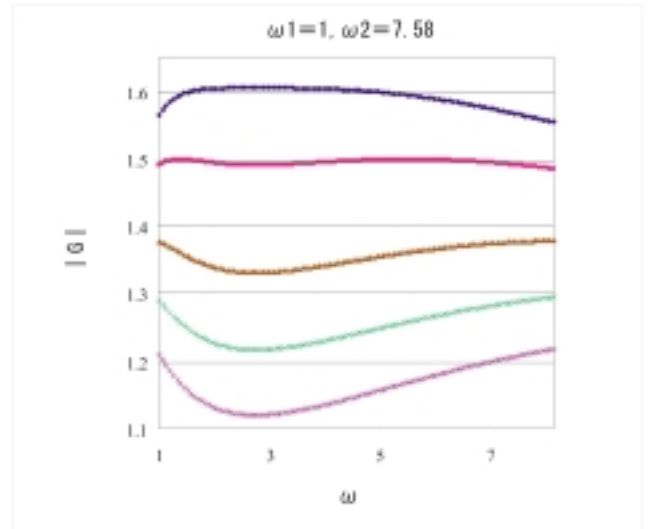


Fig.8 : Passband gain characteristics of several second-order RC polyphase filters with  $\omega_1 = 1.0, \omega_2 = 7.58$ . From the top to bottom lines,  $\omega_{21} = 0.10, 0.44, 1.0, 1.5, 2.0$  respectively. We see that the passband gain is very flat for  $\omega_{21} = 0.44$  which is obtained by our proposed algorithm.